

**U.S. District Court**  
**Western District of Wisconsin (Madison)**  
**CIVIL DOCKET FOR CASE #: 3:15-cv-00045-jdp**

United States Securities and Exchange Commission v. Holzhueter, Loren  
et al

Assigned to: District Judge James D. Peterson  
Referred to: Magistrate Judge Stephen L. Crocker

Case in other court: 7th Circuit, 16-03951  
7th Circuit, 16-03952  
7th Circuit, 16-03953

Cause: 15:78m(a) Securities Exchange Act

Date Filed: 01/21/2015

Jury Demand: Plaintiff

Nature of Suit: 850 Securities/Commodities

Jurisdiction: U.S. Government Plaintiff

**Plaintiff**

**United States Securities and Exchange  
Commission**

represented by **Ariella O. Guardi**

U.S. Securities and Exchange  
175 West Jackson Blvd.

Suite 900

Chicago, IL 60604

312-353-7410

Email: [guardia@sec.gov](mailto:guardia@sec.gov)

*LEAD ATTORNEY*

*ATTORNEY TO BE NOTICED*

**Jennifer Peltz**

U.S. Securities and Exchange Commission  
175 West Jackson Blvd., Suite 900

Chicago, IL 60604

312-353-7390

Email: [peltzj@sec.gov](mailto:peltzj@sec.gov)

*LEAD ATTORNEY*

*ATTORNEY TO BE NOTICED*

**Robert MacDonald Moye**

U.S. Securities & Exchange Commission  
175 W. Jackson Blvd.

Suite 900

Chicago, IL 60604

312-353-1051

Fax: 312-353-7398

Email: [moyer@sec.gov](mailto:moyer@sec.gov)

*LEAD ATTORNEY*

*ATTORNEY TO BE NOTICED*

**Timothy Stewart Leiman**

United States Securities and Exchange  
Commission

175 W. Jackson Blvd.

Suite 900  
Chicago, IL 60604  
312-353-5213  
Fax: 312-353-7398  
Email: leimant@sec.gov  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

V.

**Defendant**

**Loren W. Holzhueter**  
*TERMINATED: 06/17/2015*

represented by **Benjamin Joseph Glicksman**  
Kravit, Hovel & Krawczyk, S.C.  
825 North Jefferson Street  
Suite 500  
Milwaukee, WI 53202  
414-271-7100x273  
Fax: 414-271-8135  
Email: bjg@kravitlaw.com  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Stephen E. Kravit**  
Kravit, Hovel & Krawczyk, S.C.  
825 North Jefferson Street  
Suite 500  
Milwaukee, WI 53202  
414-271-7100x105  
Fax: 414-271-8135  
Email: kravit@kravitlaw.com  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Defendant**

**Honefi, LLC**  
*Relief Defendant*

represented by **Benjamin Joseph Glicksman**  
(See above for address)  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Stephen E. Kravit**  
(See above for address)  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Defendant**

**Arlene Holzhueter**  
*Relief Defendant*

represented by **Stephen E. Kravit**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

**Defendant**

**Aaron Holzhueter**  
*Relief Defendant*

represented by **Peyton Barkley Engel**  
Hurley, Burish and Stanton S.C.  
P.O. Box 1528  
33 East Main Street  
Madison, WI 53701-1528  
608-257-0945  
Fax: 608-257-5764  
Email: pengel@hbslawfirm.com  
*ATTORNEY TO BE NOTICED*

**Defendant**

**ISC, Inc.**  
*doing business as*  
Insurance Service Center

represented by **Benjamin Joseph Glicksman**  
(See above for address)  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Stephen E. Kravit**  
(See above for address)  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Defendant**

**The Estate of Loren W. Holzhueter**

represented by **Stephen E. Kravit**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

V.

**Creditor**

**Krizan Insurance Services, Inc.**

represented by **James Burnett**  
Burnett, McDermott, Jahn, King & DesRochers,  
LLP  
50 East Main Street  
P.O. Box 146  
Chilton, WI 53014  
920-849-9323  
Email: burnett@calumetlaw.com  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Mark S. DesRochers**  
DesRochers Law Offices, LLC  
2800 East Enterprise Avenue  
Appleton, WI 54913  
920-560-4532  
Fax: 920-882-0232  
Email: desrochers@calumetlaw.com  
*ATTORNEY TO BE NOTICED*

**Creditor**

**Double Bubble, Ltd.**

represented by **James Burnett**  
(See above for address)  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Derek McDermott**  
Burnett, McDermott, Jahn, King & DesRochers,  
LLP  
50 East Main Street  
P.O. Box 146  
Chilton, WI 53014  
920-849-9323  
Email: mcdermott@calumetlaw.com  
*ATTORNEY TO BE NOTICED*

**Mark S. DesRochers**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

**Creditor**

**Larry Schneider**

represented by **Mark S. DesRochers**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

**Creditor**

**Partnership Community Bank**

represented by **Timothy F. Nixon**  
Godfrey & Kahn, S.C.  
333 Main Street  
PO BOX 13067  
Green Bay, WI 54307-3067  
920-436-7693x7693  
Fax: 920-436-7988  
Email: tnixon@gklaw.com  
*ATTORNEY TO BE NOTICED*

**Claimant**

**Stanley Kuehl**

represented by **Timothy J. Peyton**  
Kepler & Peyton  
634 West Main Street, Suite 202  
Madison, WI 53703  
608-257-5424  
Fax: 608-257-2377  
Email: tim@keplerpeyton.com  
*ATTORNEY TO BE NOTICED*

**Claimant**

**Louann Kuehl**

represented by **Timothy J. Peyton**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

**Claimant**

**T & J Liquidation, Inc.**

C/O Sager & Colwin Law Offices, S.C.

201 S. Marr Street

Fond du Lac, WI 54935

represented by **Christopher James Smith**

Sager & Colwin Law Offices, S.C.

201 S. Marr St.

Fond du Lac, WI 54935

920-948-2123

Email: cjsmith@sagerlaw.com

*LEAD ATTORNEY*

*ATTORNEY TO BE NOTICED*

**Claimant**

**Martin J. Cowie**

represented by **Paul Gregory Swanson**

Steinhilber Swanson LLP

107 Church Avenue

PO Box 617

Oshkosh, WI 54901

920-235-6690

Fax: 920-426-5530

Email: pswanson@oshkoshlawyers.com

*ATTORNEY TO BE NOTICED*

**Interested Party**

**State Court Plaintiffs**

*TERMINATED: 10/20/2016*

represented by **Francis D. Schmitz**

Law Offices of Francis D. Schmitz, LLC

1288 Summit Avenue

Suite 107-103

Oconomowoc, WI 53066

262-549-0579

Email: fschmitzlaw@gmail.com

*ATTORNEY TO BE NOTICED*

**Patrick J. Schott**

Schott, Bublitz & Engel S.C.

16655 West Bluemound Road

Suite #270

Brookfield, WI 53005

262-827-1700

Fax: 262-827-1701

Email: pschott@sbe-law.com

*ATTORNEY TO BE NOTICED*

**Interested Party**

**Michael S Polsky**

*Nominated Receiver*

represented by **Joseph M. Peltz**

Beck, Chaet, Bamberger & Polsky, S.C.

Two Plaza East, Suite 1085

330 East Kilbourn Avenue

Milwaukee, WI 53202

(414) 273-4200

Fax: (414) 273-7786

Email: jpeltz@bcblaw.net

*ATTORNEY TO BE NOTICED*

**Lauren Catherine Stanley**

Beck, Chaet, Bamberger & Polsky, S.C.  
Two Plaza East, Suite 1085  
330 East Kilbourn Avenue  
Milwaukee, WI 53202  
414-273-4200  
Fax: 414-273-7786  
Email: lstanley@bcblaw.net  
*ATTORNEY TO BE NOTICED*

**Michael S. Polsky**  
Beck, Chaet, Bamberger & Polsky, S.C.  
Two Plaza East, Suite 1085  
330 East Kilbourn Avenue  
Milwaukee, WI 53202  
414-273-4200  
Fax: 414-273-7786  
Email: mpolsky@bcblaw.net  
*ATTORNEY TO BE NOTICED*

**Interested Party**

**AVID Acquisitions LLC**

represented by **Mary Carolyn Turke**  
Turke & Strauss LLP  
613 Williamson Street, Suite 210  
Madison, WI 53703  
608.237.1776  
Email: mary@turkestrauss.com  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

<b>Date Filed</b>	<b>#</b>	<b>Docket Text</b>
01/21/2015	<a href="#">1</a>	COMPLAINT against All Defendants. Service to be completed by Waiver of Service of Summons. Filed by United States Securities and Exchange Commission. (Attachments: # <a href="#">1</a> JS-44 Civil Cover Sheet, # <a href="#">2</a> Exhibit - counsel information, # <a href="#">3</a> Summons - Loren Holzhueter, # <a href="#">4</a> Summons - ISC, Inc., # <a href="#">5</a> Summons - Honefi, LLC) (Moye, Robert) (Entered: 01/21/2015)
01/21/2015	<a href="#">2</a>	Notice of Appearance <i>for Plaintiff</i> filed by Robert MacDonald Moye for Plaintiff United States Securities and Exchange Commission. (Moye, Robert) (Entered: 01/21/2015)
01/21/2015		Case randomly assigned to District Judge James D. Peterson and Magistrate Judge Stephen L. Crocker. (jat) (Entered: 01/21/2015)
01/21/2015		Standard attachments for Judge James D. Peterson required to be served on all parties with summons or waiver of service: <a href="#">NORTC</a> , <a href="#">Corporate Disclosure Statement</a> . (jat) (Entered: 01/21/2015)
01/21/2015	<a href="#">3</a>	Summons Issued as to Loren W. Holzhueter, Honefi, LLC, ICS, Inc.. (Attachments: # <a href="#">1</a> Summons ISC, # <a href="#">2</a> Summons Honefi) (jat) Modified on 1/21/2015 (jat). (Entered: 01/21/2015)

01/21/2015	<a href="#">4</a>	Emergency Motion for Temporary Restraining Order <i>and Appointment of an Independent Monitor for ISC, Inc.</i> by Plaintiff United States Securities and Exchange Commission. (Attachments: # <a href="#">1</a> Text of Proposed Order - Proposed TRO, # <a href="#">2</a> Text of Proposed Order - Proposed Order for Independent Monitor) (Moye, Robert) (Entered: 01/21/2015)
01/21/2015	<a href="#">5</a>	Notice of Appearance filed by Jennifer Peltz for Plaintiff United States Securities and Exchange Commission. (Peltz, Jennifer) (Entered: 01/21/2015)
01/21/2015	<a href="#">6</a>	Notice of Appearance filed by Timothy Stewart Leiman for Plaintiff United States Securities and Exchange Commission. (Leiman, Timothy) (Entered: 01/21/2015)
01/21/2015	<a href="#">7</a>	Notice of Appearance filed by Ariella O. Guardi for Plaintiff United States Securities and Exchange Commission. (Guardi, Ariella) (Entered: 01/21/2015)
01/21/2015	<a href="#">8</a>	Declaration of Randall Dorn filed by Plaintiff United States Securities and Exchange Commission re: <a href="#">4</a> Motion for TRO, (Attachments: # <a href="#">1</a> Exhibit 1 - Promissory Note, # <a href="#">2</a> Exhibit 2 - Modification to the Terms of the Loan) (Leiman, Timothy) Modified on 1/22/2015 to add exhibit descriptions. (lak) (Entered: 01/21/2015)
01/21/2015	<a href="#">9</a>	Supplemental Declaration of Randall Dorn filed by Plaintiff United States Securities and Exchange Commission re: <a href="#">4</a> Motion for TRO, (Attachments: # <a href="#">1</a> Exhibit 1 - Letter Dated 1/9/2015) (Leiman, Timothy) Modified on 1/22/2015 to add exhibit description.(lak) (Entered: 01/21/2015)
01/21/2015	<a href="#">10</a>	Declaration of Michelle Duddeck filed by Plaintiff United States Securities and Exchange Commission re: <a href="#">4</a> Motion for TRO. (Leiman, Timothy) Modified on 1/22/2015. (lak) (Entered: 01/21/2015)
01/21/2015	<a href="#">11</a>	Declaration of Louise Kenevan filed by Plaintiff United States Securities and Exchange Commission re: <a href="#">4</a> Motion for TRO, (Attachments: # <a href="#">1</a> Exhibit 1 - Balloon Payment Promissory Note) (Leiman, Timothy) Modified on 1/22/2015 to add exhibit description. (lak) (Entered: 01/21/2015)
01/21/2015	<a href="#">12</a>	Declaration of Carl Nicholson filed by Plaintiff United States Securities and Exchange Commission re: <a href="#">4</a> Motion for TRO, (Attachments: # <a href="#">1</a> Exhibit 1 - American Funds Year-End Statement, # <a href="#">2</a> Exhibit 2 - Promissory Notes, # <a href="#">3</a> Exhibit 3 - Insurance Service Center Letter Dated 12/18/2013) (Leiman, Timothy) Modified on 1/22/2015 to add exhibit descriptions. (lak) (Entered: 01/21/2015)
01/21/2015	<a href="#">13</a>	Brief in Support of <a href="#">4</a> Motion for TRO, <i>and Other Relief</i> by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit A, DFI records, # <a href="#">2</a> Exhibit B, Journal Sentinel article, # <a href="#">3</a> Exhibit C, Investor receipt, # <a href="#">4</a> Exhibit D, Summary sheets, # <a href="#">5</a> Exhibit E, Summary sheet, # <a href="#">6</a> Exhibit F, Transaction details, # <a href="#">7</a> Exhibit G, IRS affidavit, # <a href="#">8</a> Exhibit H, SEC subpoena, # <a href="#">9</a> Exhibit I, Testimony transcript, # <a href="#">10</a> Exhibit J, Loan application, # <a href="#">11</a> Exhibit K, Default Notice) (Moye, Robert) (Entered: 01/21/2015)

01/21/2015	<a href="#">14</a>	Declaration of Luz Aguilar filed by Plaintiff United States Securities and Exchange Commission re: <a href="#">4</a> Motion for TRO, (Attachments: # <a href="#">1</a> Exhibit List, # <a href="#">2</a> Exhibit 1, Accounts Summary, # <a href="#">3</a> Exhibit 2, Investors, # <a href="#">4</a> Exhibit 3, Monthly Summary, # <a href="#">5</a> Exhibit 4, Periods, # <a href="#">6</a> Exhibit 5. Since Nov. 2013, # <a href="#">7</a> Exhibit 6, Investor payments, # <a href="#">8</a> Exhibit 7, Since May 2014, # <a href="#">9</a> Exhibit 8, Sources and Uses, # <a href="#">10</a> Exhibit 9, Investor No. 116, # <a href="#">11</a> Exhibit 10, Investor No. 116 Uses, # <a href="#">12</a> Exhibit 11, Balance sheet, # <a href="#">13</a> Exhibit 12, Balance sheet, # <a href="#">14</a> Exhibit 13, Summary of bank balances, # <a href="#">15</a> Exhibit 14, Balance sheet, # <a href="#">16</a> Exhibit 15, Investor No. 39, # <a href="#">17</a> Exhibit 16, Investor No. 39 Uses, # <a href="#">18</a> Exhibit 17, Investor No. 39 repayment, # <a href="#">19</a> Exhibit 18, Settlement transfers, # <a href="#">20</a> Exhibit 19, Settlement payments, # <a href="#">21</a> Exhibit 20, Loan repayments, # <a href="#">22</a> Exhibit 21, Honefi transfers, # <a href="#">23</a> Exhibit 22, Family transfers) (Moye, Robert) Modified on 1/22/2015 to note that page 8 of the declaration is included twice. (lak) (Entered: 01/21/2015)
01/22/2015		Set Deadlines and Hearings re: <a href="#">4</a> Emergency Motion for Temporary Restraining Order <i>and Appointment of an Independent Monitor for ISC, Inc.</i> Hearing set for 1/22/2015 at 10:00 AM in Courtroom 260 before District Judge James D. Peterson. (arw) (Entered: 01/22/2015)
01/22/2015	<a href="#">15</a>	Minute Entry for proceedings held before District Judge James D. Peterson: Motion Hearing held on 1/22/2015 re <a href="#">4</a> Emergency Motion for Temporary Restraining Order <i>and Appointment of an Independent Monitor for ISC, Inc.</i> filed by United States Securities and Exchange Commission [:43] (Court Reporter LS.) (nln) (Entered: 01/22/2015)
01/22/2015	<a href="#">16</a>	Notice of Appearance filed by Stephen E. Kravit for Defendants Loren W. Holzhueter, Honefi, LLC, ISC, Inc. (Kravit, Stephen) (Entered: 01/22/2015)
01/23/2015	<a href="#">17</a>	Status Report <i>Related to Motion for Temporary Restraining Order</i> by Plaintiff United States Securities and Exchange Commission. (Leiman, Timothy) (Entered: 01/23/2015)
01/26/2015	<a href="#">18</a>	Motion for Entry of Proposed Temporary Restraining Order by Plaintiff United States Securities and Exchange Commission. Response due 2/2/2015. (Attachments: # <a href="#">1</a> Exhibit A - Proposed TRO) (Leiman, Timothy) (Entered: 01/26/2015)
01/26/2015	<a href="#">19</a>	Motion for Temporary Restraining Order by Defendants Loren W. Holzhueter, Honefi, LLC, ISC, Inc. (Attachments: # <a href="#">1</a> Text of Proposed Order) (Kravit, Stephen) (Entered: 01/26/2015)
01/28/2015	<a href="#">20</a>	TEMPORARY RESTRAINING ORDER. Signed by District Judge James D. Peterson on 1/28/15. (jat) (Entered: 01/28/2015)
02/03/2015	<a href="#">21</a>	Waiver of Service Returned Executed by Defendant Loren W. Holzhueter. Loren W. Holzhueter waiver sent on 1/29/2015, answer due 3/30/2015. (Moye, Robert) (Entered: 02/03/2015)



02/03/2015	<a href="#">22</a>	Waiver of Service Returned Executed by Defendant ISC, Inc. ISC, Inc. waiver sent on 1/29/2015, answer due 3/30/2015. (Moye, Robert) (Entered: 02/03/2015)
02/03/2015	<a href="#">23</a>	Waiver of Service Returned Executed by Defendant Honefi, LLC. Honefi, LLC waiver sent on 1/29/2015, answer due 3/30/2015. (Moye, Robert) (Entered: 02/03/2015)
02/11/2015	<a href="#">24</a>	Joint Motion for Entry of Agreed Orders Appointing Independent Monitor and Ordering an Accounting by Defendants Loren W. Holzhueter, Honefi, LLC, ISC, Inc., Plaintiff United States Securities and Exchange Commission. Response due 2/18/2015. (Attachments: # <a href="#">1</a> Exhibit A - Proposed Agreed Order re Appointment of Monitor, # <a href="#">2</a> Exhibit B - Proposed Agreed Order re Accounting) (Leiman, Timothy) (Entered: 02/11/2015)
02/12/2015	<a href="#">25</a>	ORDER Appointing Independent Monitor Signed by District Judge James D. Peterson on 2/12/15. (jat) (Entered: 02/12/2015)
02/12/2015	<a href="#">26</a>	ORDER for Accounting. Signed by District Judge James D. Peterson on 2/12/15. (jat) (Entered: 02/12/2015)
02/20/2015	<a href="#">27</a>	Joint Motion to Amend/Correct <a href="#">20</a> Temporary Restraining Order <i>to Allow Certain Payments by Honefi, LLC</i> by Plaintiff United States Securities and Exchange Commission., (Attachments: # <a href="#">1</a> Text of Proposed Order) (Moye, Robert) Modified on 2/22/2015. (lak) (Entered: 02/20/2015)
02/20/2015	<a href="#">28</a>	ORDER granting <a href="#">27</a> Joint Motion to Amend Temporary Restraining Order. Signed by District Judge James D. Peterson on 2/20/15. (jat) (Entered: 02/20/2015)
02/20/2015	<a href="#">29</a>	Joint Motion for Appointment of David L. Omachimski as Independent Monitor over ISC, Inc. re <a href="#">25</a> Order on Motion for Miscellaneous Relief by Plaintiff United States Securities and Exchange Commission. (Attachments: # <a href="#">1</a> Exhibit A - Nomination Letter from ISC's counsel) (Moye, Robert) Modified on 2/22/2015. (lak) (Entered: 02/20/2015)
02/23/2015	30	** TEXT ONLY ORDER ** The parties' joint motion, Dkt. <a href="#">29</a> , is GRANTED. Signed by District Judge James D. Peterson on 2/23/15. (jat) (Entered: 02/23/2015)
03/16/2015	<a href="#">31</a>	Joint Motion to Authorize Payment of Independent Monitor by Plaintiff United States Securities and Exchange Commission. (Attachments: # <a href="#">1</a> Exhibit A, Omachinski Invoice) (Moye, Robert) (Entered: 03/16/2015)
03/17/2015	<a href="#">32</a>	Notice by Plaintiff United States Securities and Exchange Commission of <i>Objection to Payment to Defendants' Counsel Authorized by Independent Monitor</i> . (Moye, Robert) Modified on 3/18/2015 (jat). (Entered: 03/17/2015)
03/18/2015		Set Deadlines as to <a href="#">32</a> Notice by Plaintiff United States Securities and Exchange Commission of Objection to Payment to Defendants' Counsel Authorized by Independent Monitor. Response due 3/25/2015. No reply. (jat) (Entered: 03/18/2015)
03/18/2015	33	** TEXT ONLY ORDER ** The joint motion to authorize payment to the independent monitor <a href="#">31</a> is GRANTED. Signed by District Judge James D. Peterson on 3/17/15. (jat) (Entered: 03/18/2015)
03/20/2015	<a href="#">34</a>	Brief in Opposition by Defendants Loren W. Holzhueter, ISC, Inc. re: <a href="#">32</a> Notice by Plaintiff United States Securities and Exchange Commission of Objection to Payment to Defendants' Counsel Authorized by Independent Monitor. (Kravit, Stephen) Modified on 3/23/2015. (lak) (Entered: 03/20/2015)

03/20/2015	<a href="#">35</a>	Declaration of Stephen E. Kravit filed by Defendants Loren W. Holzhueter, ISC, Inc. <i>In Response</i> re: <a href="#">32</a> Notice by Plaintiff United States Securities and Exchange Commission of Objection to Payment to Defendants' Counsel Authorized by Independent Monitor. (Kravit, Stephen) Modified on 3/23/2015. (lak) (Entered: 03/20/2015)
03/27/2015	<a href="#">36</a>	ORDER ON PAYMENT: The SEC's objection, Dkt. <a href="#">32</a> , is OVERRULED, and partial payment of \$13,500 to Kravit, Hovel & Krawczyk, S.C., is approved. Signed by District Judge James D. Peterson on 3/27/15. (jat) (Entered: 03/27/2015)
03/30/2015	<a href="#">37</a>	ANSWER by Defendants Loren W. Holzhueter, ISC, Inc., Relief Defendant Honefi, LLC. (Kravit, Stephen) Modified on 3/31/2015. (lak) (Entered: 03/30/2015)
04/02/2015		Set Telephone Conference: Telephone Pretrial Conference set for 4/29/2015 at 01:30 PM before Magistrate Judge Stephen L. Crocker. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5153. [ <a href="#">Standing Order Governing Preliminary Pretrial Conference</a> attached] (jat) (Entered: 04/02/2015)
04/02/2015	<a href="#">38</a>	Transcript of Temporary Injunction Hearing, held 1/22/15 before Judge James D. Peterson. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (jat) (Entered: 04/02/2015)
04/08/2015	<a href="#">39</a>	Notice by Plaintiff United States Securities and Exchange Commission of <i>Independent Monitor's Report</i> . (Moye, Robert) (Entered: 04/08/2015)
04/08/2015	<a href="#">40</a>	Joint Motion to Authorize Payment to Independent Monitor <i>David Omachinski</i> by Plaintiff United States Securities and Exchange Commission. Response due 4/15/2015. (Attachments: # <a href="#">1</a> Exhibit A, March Invoice) (Moye, Robert) (Entered: 04/08/2015)
04/09/2015	41	** TEXT ONLY ORDER ** The joint motion to authorize payment to the independent monitor, dkt. # <a href="#">40</a> , is GRANTED. Signed by District Judge James D. Peterson on 4/9/15. (jat) (Entered: 04/09/2015)
04/21/2015	<a href="#">42</a>	Joint Motion to Reschedule Telephone Pretrial Conference by Plaintiff United States Securities and Exchange Commission. (Peltz, Jennifer) (Entered: 04/21/2015)
04/21/2015	44	** TEXT ONLY ORDER ** ORDER granting <a href="#">42</a> Motion to Reschedule Hearing. Telephone Pretrial Conference reset for 5/6/2015 at 01:30 PM before Magistrate Judge Stephen L. Crocker. Counsel for Plaintiff responsible for setting up the call to chambers at (608) 264-5153. Signed by Magistrate Judge Stephen L. Crocker on 4/21/15. (jat) (Entered: 04/22/2015)
04/22/2015	<a href="#">43</a>	Suggestion of Death Upon the Record as to Loren W. Holzhueter by Defendant Loren W. Holzhueter. (Kravit, Stephen) (Entered: 04/22/2015)
04/30/2015	<a href="#">45</a>	Joint Preliminary Pretrial Conference Report by Defendants Loren W. Holzhueter, Honefi, LLC, ISC, Inc., Plaintiff United States Securities and Exchange Commission. (Leiman, Timothy) (Entered: 04/30/2015)
05/06/2015	<a href="#">46</a>	Notice by Plaintiff United States Securities and Exchange Commission of <i>Filing of Report of Independent Monitor</i> . (Moye, Robert) (Entered: 05/06/2015)
05/07/2015	<a href="#">47</a>	Joint Motion to Authorize Payment of Independent Monitor <i>David Omachinski</i> by Plaintiff United States Securities and Exchange Commission. Response due 5/14/2015. (Attachments: # <a href="#">1</a> Exhibit A - April Invoice) (Moye, Robert) (Entered: 05/07/2015)
05/08/2015	48	** TEXT ONLY ORDER ** The parties' joint motion to authorize payment to the independent monitor <a href="#">47</a> is GRANTED.

		Signed by District Judge James D. Peterson on 5/8/2015. (kwf) (Entered: 05/08/2015)
05/18/2015	<a href="#">49</a>	Pretrial Conference Order - Amendments to Pleadings due 6/17/2015. Dispositive Motions due 12/1/2015. Settlement Letters due 4/1/2016. Motions in Limine due 4/1/2016. Response to Motion due 4/15/2016. Final Pretrial Conference set for 4/27/2016 at 04:00 PM. Jury Selection and Trial set for 5/9/2016 at 09:00 AM. Signed by Magistrate Judge Stephen L. Crocker on 5/18/15. (jat) (Entered: 05/18/2015)
06/10/2015	<a href="#">50</a>	Notice by Plaintiff United States Securities and Exchange Commission <i>of Report of Independent Monitor</i> . (Moye, Robert) (Entered: 06/10/2015)
06/11/2015	<a href="#">51</a>	Joint Motion to Authorize Payment of Independent Monitor <i>David Omachinski</i> by Plaintiff United States Securities and Exchange Commission. (Attachments: # <a href="#">1</a> Exhibit A, May Invoice) (Moye, Robert) (Entered: 06/11/2015)
06/12/2015	52	** TEXT ONLY ORDER ** ORDER granting <a href="#">51</a> Joint Motion to Authorize Payment of Independent Monitor David Omachinski. Signed by District Judge James D. Peterson on 6/12/15. (jat) (Entered: 06/12/2015)
06/17/2015	<a href="#">53</a>	AMENDED COMPLAINT against Loren W. Holzhueter, Honefi, LLC, ISC, Inc., Estate of Loren Holzhueter, Arlene Holzhueter, Aaron Holzhueter, filed by United States Securities and Exchange Commission. (Attachments: # <a href="#">1</a> Summons Aaron Holzhueter Summons, # <a href="#">2</a> Summons Arlene Holzhueter Summons) (Leiman, Timothy) (Entered: 06/17/2015)
06/18/2015	<a href="#">54</a>	Summons Issued as to Aaron Holzhueter, Arlene Holzhueter. (Attachments: # <a href="#">1</a> Summons Aaron) (jat) (Entered: 06/18/2015)
06/19/2015	<a href="#">55</a>	Waiver of Service Returned Executed by Defendant Arlene Holzhueter. Arlene Holzhueter waiver sent on 6/18/2015, answer due 8/17/2015. (Leiman, Timothy) (Entered: 06/19/2015)
06/19/2015	<a href="#">56</a>	Waiver of Service Returned Executed by Defendant The Estate of Loren W. Holzhueter. The Estate of Loren W. Holzhueter waiver sent on 6/18/2015, answer due 8/17/2015. (Leiman, Timothy) (Entered: 06/19/2015)
07/07/2015	<a href="#">57</a>	Notice by Plaintiff United States Securities and Exchange Commission <i>of Filing of Report of Independent Monitor</i> . (Leiman, Timothy) (Entered: 07/07/2015)
07/07/2015	<a href="#">58</a>	Joint Motion to Approve Payment of Independent Monitor by Plaintiff United States Securities and Exchange Commission. (Attachments: # <a href="#">1</a> Exhibit A - June 2015 Monitor Invoice) (Leiman, Timothy) (Entered: 07/07/2015)
07/08/2015	59	** TEXT ONLY ORDER ** The parties' joint motion to authorize payment, Dkt. <a href="#">58</a> , is GRANTED. The court will hold a telephonic status conference at 9 a.m. on August 20, 2015, for the parties to report on their progress toward resolving this case on the merits. Counsel for plaintiff is responsible for initiating the conference call to chambers at (608) 264-5504. Signed by District Judge James D. Peterson on 7/8/15. (jat) (Entered: 07/08/2015)
07/16/2015	<a href="#">60</a>	Waiver of Service Returned Executed by Defendant Aaron Holzhueter. Aaron Holzhueter waiver sent on 6/18/2015, answer due 8/17/2015. (Leiman, Timothy) (Entered: 07/16/2015)
08/05/2015	<a href="#">61</a>	Notice by Plaintiff United States Securities and Exchange Commission <i>of Filing of Independent Monitor's Report</i> . (Moye, Robert) (Entered: 08/05/2015)
08/06/2015	<a href="#">62</a>	Joint Motion to Authorize Payment to Independent Monitor <i>David L. Omachinski</i> by Plaintiff United States Securities and Exchange Commission, (Attachments:

		# <a href="#">1</a> Exhibit A - July Invoice) (Moye, Robert) (Entered: 08/06/2015)
08/07/2015	63	** TEXT ONLY ORDER ** ORDER granting <a href="#">62</a> Joint Motion to Authorize Payment to Independent Monitor <i>David L. Omachinski</i> . Signed by District Judge James D. Peterson on 8/7/2015. (jls) (Entered: 08/07/2015)
08/13/2015	<a href="#">64</a>	Joint Stipulation to Extend Answer Deadline ( <i>and Proposed Order</i> ) by Defendants Arlene Holzhueter, Loren W. Holzhueter, Honefi, LLC. (Kravit, Stephen) (Entered: 08/13/2015)
08/13/2015	65	** TEXT ONLY ORDER ** ORDER accepting and entering <a href="#">64</a> Joint Stipulation to Extend Answer Deadline. Answer to the Amended Complaint due August 27, 2015. Signed by Magistrate Judge Stephen L. Crocker on 8/13/2015. (jls) (Entered: 08/13/2015)
08/20/2015		Minute Entry for proceedings held before District Judge James D. Peterson: Telephone Status Conference held on 8/20/2015 [:50] (skv) (Entered: 08/20/2015)
08/27/2015	<a href="#">66</a>	ANSWER to Amended Complaint by Defendant Aaron Holzhueter. (Engel, Peyton) (Entered: 08/27/2015)
08/27/2015	<a href="#">67</a>	<i>Relief Defendant Honefi, LLC's</i> ANSWER to Amended Complaint by Defendant Honefi, LLC. (Kravit, Stephen) Modified on 8/28/2015. (lak) (Entered: 08/27/2015)
08/27/2015	<a href="#">68</a>	<i>Relief Defendant Arlene Holzhueter's</i> ANSWER to Amended Complaint by Defendant Arlene Holzhueter. (Kravit, Stephen) (Entered: 08/27/2015)
08/27/2015	<a href="#">69</a>	ANSWER to Amended Complaint by Defendant ISC, Inc. (Kravit, Stephen) Modified on 8/28/2015. (lak) (Entered: 08/27/2015)
08/27/2015	<a href="#">70</a>	ANSWER to Amended Complaint by Defendant The Estate of Loren W. Holzhueter. (Kravit, Stephen) Modified on 8/28/2015. (lak) (Entered: 08/27/2015)
09/01/2015	<a href="#">71</a>	Transcript of Telephonic Status Conference, held 8/20/15 before Judge James D. Peterson. Court Reporter: CS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (jat) (Entered: 09/01/2015)
09/08/2015	<a href="#">72</a>	Notice by Plaintiff United States Securities and Exchange Commission <i>of Report of Independent Monitor for August, 2015</i> . (Moye, Robert) (Entered: 09/08/2015)
09/08/2015	<a href="#">73</a>	Joint Motion to Authorize Payment to Independent Monitor by Plaintiff United States Securities and Exchange Commission. Response due 9/15/2015. (Attachments: # <a href="#">1</a> Exhibit A - August Invoice) (Moye, Robert) Modified on 9/8/2015. (lak) (Entered: 09/08/2015)
09/09/2015	74	** TEXT ONLY ORDER ** ORDER granting <a href="#">73</a> Joint Motion to Authorize Payment to Independent Monitor. Signed by District Judge James D. Peterson on 9/9/15. (jat) (Entered: 09/09/2015)
10/19/2015	<a href="#">75</a>	Notice by Plaintiff United States Securities and Exchange Commission <i>of Filing of Independent Monitor's Report for September</i> . (Moye, Robert) (Entered: 10/19/2015)
10/20/2015	<a href="#">76</a>	Joint Motion to Approve Payment of Independent Monitor <i>David Omachinski</i> by Plaintiff United States Securities and Exchange Commission. Response due 10/27/2015. (Attachments: # <a href="#">1</a> Exhibit A - September Invoice) (Moye, Robert) . (Entered: 10/20/2015)
10/20/2015	77	** TEXT ONLY ORDER ** ORDER granting <a href="#">76</a> Joint Motion to Approve Payment of Independent Monitor <i>David</i>

		<i>Omachinski</i> . Signed by District Judge James D. Peterson on 10/20/2015. (jls) (Entered: 10/20/2015)
10/21/2015	<a href="#">78</a>	Joint Stipulation for Entry of Partial Judgment by Consent Against Defendants ISC, Inc. and the Estate of Loren W. Holzhueter by Plaintiff United States Securities and Exchange Commission. (Attachments: # <a href="#">1</a> Exhibit 1-A Consent and Proposed Partial Judgment as to Estate of Holzhueter, # <a href="#">2</a> Exhibit 1-B Consent and Proposed Partial Judgment as to Defendant ISC) (Leiman, Timothy) (Entered: 10/21/2015)
11/02/2015	79	** TEXT ONLY ORDER ** The court grants the parties' joint motion for entry of partial judgment against defendants ISC, Inc. and the Estate of Loren W. Holzhueter, Dkt. <a href="#">78</a> . The clerk of court will enter judgments consistent with the parties' consent. Please note that the court has added a clarification concerning the appealability of any Final Judgment to reflect the terms stated in the parties' joint motion (at the end of the section ordering disgorgement in each judgment). The court also adopts the parties' proposed briefing schedule for the SEC's forthcoming motion regarding disgorgement, prejudgment interest, and a civil penalty: opening brief due January 29, 2016; response due 45 days after receipt of the opening brief; reply due 21 days after receipt of the response. Signed by District Judge James D. Peterson on 11/2/2015. (jat) (Entered: 11/02/2015)
11/02/2015	<a href="#">80</a>	CONSENT JUDGMENT AS TO ISC, INC. Signed by District Judge James D. Peterson on 11/2/2015. (jat) (Entered: 11/02/2015)
11/02/2015	<a href="#">81</a>	CONSENT JUDGMENT AS TO THE ESTATE OF LOREN HOLZHUETER Signed by District Judge James D. Peterson on 11/2/2015. (jat) (Entered: 11/02/2015)
11/09/2015	<a href="#">82</a>	Notice by Plaintiff United States Securities and Exchange Commission <i>of the Filing of Independent Monitor's Report for October, 2015</i> . (Moye, Robert) (Entered: 11/09/2015)
11/09/2015	<a href="#">83</a>	Joint Motion to Authorize Payment of Independent Monitor <i>David Omachinski</i> by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit A - October Invoice) (Moye, Robert) Modified on 11/10/2015. (lak) (Entered: 11/09/2015)
11/10/2015	84	** TEXT ONLY ORDER ** ORDER granting <a href="#">83</a> Joint Motion to Authorize Payment of Independent Monitor David Omachinski. Signed by District Judge James D. Peterson on 11/10/15. (jat) (Entered: 11/10/2015)
11/18/2015	<a href="#">85</a>	Joint Motion to Deposit Funds <i>from Life Insurance Policies with the Court</i> by Plaintiff United States Securities and Exchange Commission. (Attachments: # <a href="#">1</a> Text of Proposed Order) (Moye, Robert) Modified on 11/18/2015. (lak) (Entered: 11/18/2015)
11/18/2015	<a href="#">86</a>	ORDER granting <a href="#">85</a> Motion to Deposit Funds. Signed by District Judge James D. Peterson on 11/18/15. (jat) (Entered: 11/18/2015)
11/20/2015	<a href="#">87</a>	Motion to Stay <i>State Court Proceedings</i> by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter. Response due 11/30/2015. (Attachments: # <a href="#">1</a> Cover Letter, # <a href="#">2</a> Text of Proposed Order) (Kravit, Stephen) Modified on 11/20/2015: Clarified exhibit descriptions. (lak) (Entered: 11/20/2015)
11/20/2015	<a href="#">88</a>	Brief in Support of <a href="#">87</a> Motion to Stay, by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter. (Kravit, Stephen) (Entered: 11/20/2015)

11/20/2015		Set/Reset Deadlines as to <a href="#">87</a> Motion to Stay <i>State Court Proceedings</i> . Response due 12/4/2015. Brief in Reply due 12/11/2015. (jat) (Entered: 11/20/2015)
11/20/2015	<a href="#">89</a>	ORDER setting briefing on <a href="#">87</a> Motion to Stay. Defense counsel, Mr. Kravit, must serve a copy of this order on all parties to the relevant state court proceedings. All responses to the motion to stay must be filed with the court no later than December 4, 2015. Defendants' reply is due by December 11, 2015. Signed by District Judge James D. Peterson on 11/20/2015. (arw) (Entered: 11/20/2015)
11/21/2015	<a href="#">90</a>	Affidavit of Benjamin J. Glicksman filed by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter <i>of Service on Parties to Relevant State Court Proceedings</i> re: <a href="#">87</a> Motion to Stay, <a href="#">89</a> Order on Motion to Stay. (Glicksman, Benjamin) (Entered: 11/21/2015)
11/25/2015	<a href="#">91</a>	Letter from Lincoln Benefit Life. (jat) (Entered: 11/30/2015)
12/04/2015	<a href="#">92</a>	Brief in Opposition by Plaintiff United States Securities and Exchange Commission re: <a href="#">87</a> Motion to Stay, filed by Arlene Holzhueter, ISC, Inc., The Estate of Loren W. Holzhueter, Honefi, LLC. (Leiman, Timothy) (Entered: 12/04/2015)
12/04/2015	<a href="#">93</a>	Notice of Appearance ( <i>Limited</i> ) filed by Patrick J. Schott for Interested Party State Court Plaintiffs. (Schott, Patrick) Modified on 12/7/2015. (lak) (Entered: 12/04/2015)
12/04/2015	<a href="#">94</a>	(Undated) Brief in Opposition by Interested Party State Court Plaintiffs re: <a href="#">87</a> Motion to Stay, filed by Arlene Holzhueter, ISC, Inc., The Estate of Loren W. Holzhueter, Honefi, LLC. (Schott, Patrick) Modified on 12/7/2015. (lak) (Entered: 12/04/2015)
12/04/2015	<a href="#">95</a>	Declaration of Barbara Wegner filed by Interested Party State Court Plaintiffs re: <a href="#">87</a> Motion to Stay. (Schott, Patrick) (Entered: 12/04/2015)
12/04/2015	<a href="#">96</a>	Declaration of David Groth filed by Interested Party State Court Plaintiffs re: <a href="#">87</a> Motion to Stay. (Schott, Patrick) (Entered: 12/04/2015)
12/04/2015	<a href="#">97</a>	Disregard. See <a href="#">105</a> . Modified on 12/7/2015. (lak) (Entered: 12/04/2015)
12/04/2015	<a href="#">98</a>	Declaration of Gale Groth filed by Interested Party State Court Plaintiffs re: <a href="#">87</a> Motion to Stay. (Schott, Patrick) (Entered: 12/04/2015)
12/04/2015	<a href="#">99</a>	Declaration of James Pritchard filed by Interested Party State Court Plaintiffs re: <a href="#">87</a> Motion to Stay. (Schott, Patrick) (Entered: 12/04/2015)
12/04/2015	<a href="#">100</a>	Declaration of John Tesch filed by Interested Party State Court Plaintiffs re: <a href="#">87</a> Motion to Stay. (Schott, Patrick) (Entered: 12/04/2015)
12/04/2015	<a href="#">101</a>	Disregard. See <a href="#">106</a> . Modified on 12/7/2015. (lak) (Entered: 12/04/2015)
12/04/2015	<a href="#">102</a>	Declaration of Patricia Tesch filed by Interested Party State Court Plaintiffs re: <a href="#">87</a> Motion to Stay. (Schott, Patrick) (Entered: 12/04/2015)
12/04/2015	<a href="#">103</a>	Disregard. See <a href="#">104</a> . Modified on 12/7/2015. (lak) (Entered: 12/04/2015)
12/07/2015	<a href="#">104</a>	Declaration of Patrick J. Schott filed by Interested Party State Court Plaintiffs re: <a href="#">87</a> Motion to Stay, (Attachments: # <a href="#">1</a> Exhibit 1-Complaint filed on behalf of Barbara Wegner, # <a href="#">2</a> Exhibit 2-Complaint filed on behalf of David & Judith Heinecke, # <a href="#">3</a> Exhibit 3-Complaint filed on behalf of David & Gale Groth, # <a href="#">4</a> Exhibit 4-Complaint filed on behalf of John & Patricia Tesch, # <a href="#">5</a> Exhibit 5-Complaint filed on behalf of James Pritchard, # <a href="#">6</a> Exhibit 6-Defendants' Motion to Stay in 15-CV-507,

# [7](#) Exhibit 7-Defendants' Motion for Protective Order to Quash,  
# [8](#) Exhibit 8-Defendants' Motion for Protective Order to Stay Discovery,  
# [9](#) Exhibit 9-Defendants' Motion for TRO,  
# [10](#) Exhibit 10-Order on Motion for TRO,  
# [11](#) Exhibit 11-Defendants' Motion to Consolidate,  
# [12](#) Exhibit 12-Aaron Holzhueter's Motion to Dismiss,  
# [13](#) Exhibit 13-Defendants' Responses to Requests for Admissions,  
# [14](#) Exhibit 14-Defendants' Responses to Interrogatories,  
# [15](#) Exhibit 15-Defendants' Responses to Requests for Documents,  
# [16](#) Exhibit 16-Aaron Holzhueter's Response to Interrogatories,  
# [17](#) Exhibit 17-Aaron Holzhueter's Responses to Requests for Documents,  
# [18](#) Exhibit 18-Arlene Holzhueter's Motion to Dismiss,  
# [19](#) Exhibit 19-Loren Holzhueter & ISC's Motion to Dismiss,  
# [20](#) Exhibit 20-ISC's Answer,  
# [21](#) Exhibit 21-Loren Holzhueter's Answer,  
# [22](#) Exhibit 22-Memorandum Decision in Wegner case,  
# [23](#) Exhibit 23-Affidavit of Benjamin Glicksman,  
# [24](#) Exhibit 24-Affidavit of Stephen E. Kravit (Heinecke case),  
# [25](#) Exhibit 25-Affidavit of Stephen E. Kravit (Wegner),  
# [26](#) Exhibit 26-Transcript of Motion to Stay Hearing (Groth),  
# [27](#) Exhibit 27-Order Denying Motion to Stay (Groth),  
# [28](#) Exhibit 28-Newspaper article) (Schott, Patrick) (Entered: 12/07/2015)

12/07/2015	<a href="#">105</a>	Declaration of David Heinecke filed by Interested Party State Court Plaintiffs re: <a href="#">87</a> Motion to Stay, (Schott, Patrick) (Entered: 12/07/2015)
12/07/2015	<a href="#">106</a>	Declaration of Judith Heinecke filed by Interested Party State Court Plaintiffs re: <a href="#">87</a> Motion to Stay, (Schott, Patrick) (Entered: 12/07/2015)
12/07/2015	<a href="#">107</a>	Response Stating No Opposition by Interested Party State Court Plaintiffs ( <b>Humphrey family</b> ) re: <a href="#">87</a> Motion to Stay, filed by Arlene Holzhueter, ISC, Inc., The Estate of Loren W. Holzhueter, Honefi, LLC (jat) (Entered: 12/07/2015)
12/11/2015	<a href="#">108</a>	Brief in Reply by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter in Support of <a href="#">87</a> Motion to Stay <i>State Court Proceedings</i> . (Kravit, Stephen) (Entered: 12/11/2015)
12/11/2015	<a href="#">109</a>	Declaration of Stephen E. Kravit filed by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter <i>In Support of Defendants' Reply Brief</i> re: <a href="#">87</a> Motion to Stay, (Attachments: # <a href="#">1</a> Exhibit A - Amended Complaint, # <a href="#">2</a> Exhibit B - Motion & Brief for Protective Order, # <a href="#">3</a> Exhibit C - June 24, 2015 e-mail) (Kravit, Stephen) (Entered: 12/11/2015)
12/21/2015	<a href="#">110</a>	Notice by Plaintiff United States Securities and Exchange Commission of <i>Filing of Report of Independent Monitor for November, 2015</i> . (Moye, Robert) (Entered: 12/21/2015)
12/21/2015	<a href="#">111</a>	Joint Motion to Approve Payment to Independent Monitor <i>David Omachinski</i> by Plaintiff United States Securities and Exchange Commission. Response due 12/28/2015. (Attachments: # <a href="#">1</a> Exhibit A - November Invoice) (Moye, Robert) (Entered: 12/21/2015)
12/22/2015	112	** TEXT ONLY ORDER ** ORDER granting <a href="#">111</a> Joint Motion to Approve Payment to Independent Monitor David Omachinski. Signed by District Judge James D. Peterson on 12/22/15. (jat) (Entered: 12/22/2015)

01/08/2016	<a href="#">113</a>	Joint Motion for Extension of Discovery and Briefing Schedule For SEC's Motion for Remedies and Striking All Other Dates in the Court's Scheduling Orders by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit A - Proposed Order) (Peltz, Jennifer) Modified on 1/11/2016. (lak) (Entered: 01/08/2016)
01/11/2016	114	** TEXT ONLY ORDER ** The parties' joint motion for extensions is granted. Dkt. <a href="#">113</a> . Discovery will close on March 11, 2016, and the new briefing schedule for plaintiff's forthcoming motion regarding disgorgement, prejudgment interest, and a civil penalty is as follows: opening brief due March 11, 2016; response due 45 days after receipt of the opening brief; reply due 21 days after receipt of the response. Signed by District Judge James D. Peterson on 1/11/16. (jat) (Entered: 01/11/2016)
01/27/2016	<a href="#">115</a>	Notice by Plaintiff United States Securities and Exchange Commission of <i>Independent Monitor's Report</i> . (Moye, Robert) (Entered: 01/27/2016)
01/27/2016	<a href="#">116</a>	Joint Motion to Authorize Payment to Independent Monitor by Plaintiff United States Securities and Exchange Commission. (Attachments: # <a href="#">1</a> Exhibit A - December Invoice) (Moye, Robert) (Entered: 01/27/2016)
01/28/2016	117	** TEXT ONLY ORDER ** ORDER granting <a href="#">116</a> Joint Motion to Authorize Payment to Independent Monitor. Signed by District Judge James D. Peterson on 1/28/16. (jat) (Entered: 01/28/2016)
02/12/2016	<a href="#">118</a>	Notice by Plaintiff United States Securities and Exchange Commission of <i>Filing of Independent Monitor's Report for January, 2016</i> . (Moye, Robert) (Entered: 02/12/2016)
02/16/2016	<a href="#">119</a>	Joint Motion to Authorize Payment to Independent Monitor <i>David Omachinski</i> by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit A - January Invoice) (Moye, Robert) (Entered: 02/16/2016)
02/17/2016	120	** TEXT ONLY ORDER ** ORDER granting <a href="#">119</a> Joint Motion to Authorize Payment to Independent Monitor David Omachinski. Signed by District Judge James D. Peterson on 2/17/16. (jat) (Entered: 02/17/2016)
02/26/2016	<a href="#">121</a>	Joint Motion for Extension of Time <i>for Discovery and Briefing Schedule for Motion for Remedies</i> by Plaintiff United States Securities and Exchange Commission. Motions referred to Magistrate Judge Stephen L. Crocker. (Attachments: # <a href="#">1</a> Exhibit A - Proposed Order) (Leiman, Timothy) Modified on 2/27/2016. (lak) (Entered: 02/26/2016)
02/29/2016	122	** TEXT ONLY ORDER ** The parties' joint motion to extend discovery and the deadline for plaintiff's forthcoming remedies motion, Dkt. <a href="#">121</a> , is GRANTED. Discovery will close on April 26, 2016, and the new briefing schedule for plaintiff's forthcoming motion regarding disgorgement, prejudgment interest, and civil penalties is as follows: opening brief due April 26, 2016; response due 45 days after receipt of the opening brief; reply due 21 days after receipt of the response. Signed by District Judge James D. Peterson on 2/29/16. (jat) (Entered: 02/29/2016)
03/07/2016	<a href="#">123</a>	Notice by Plaintiff United States Securities and Exchange Commission. <i>Objection to Payment of Legal Fees Incurred by ISC Employee</i> , (Attachments: # <a href="#">1</a> Exhibit A - Indemnification Letter, # <a href="#">2</a> Exhibit B - ISC Counsel Emails, # <a href="#">3</a> Exhibit C - Wisc. Stat.) (Moye, Robert) Modified on 3/8/2016 (jat). (Entered: 03/07/2016)



03/08/2016		Set Deadlines as to <a href="#">123</a> Objection to Payment of Legal Fees Incurred by ISC Employee. Response due 3/18/2016. (jat) (Entered: 03/08/2016)
03/09/2016	<a href="#">124</a>	Notice by Plaintiff United States Securities and Exchange Commission of <i>Filing of Independent Monitor's Report for February, 2016</i> . (Moye, Robert) (Entered: 03/09/2016)
03/18/2016	<a href="#">125</a>	Notice by Defendant ISC, Inc. re: <a href="#">123</a> Motion. ( <i>Notice of Filing Letter for Chad Rosenow.</i> ), (Attachments: # <a href="#">1</a> Chad Rosenow's Letter, With Attachment, to the Court Responding to SEC Objection to Payment of Attorney's Fees) (Glicksman, Benjamin) Modified on 3/18/2016. (lak) (Entered: 03/18/2016)
03/22/2016	<a href="#">126</a>	Joint Motion to Authorize Payment of Independent Monitor <i>David Omachinski</i> by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit A - February Invoice) (Moye, Robert) Modified on 3/23/2016. (lak) (Entered: 03/22/2016)
03/23/2016	127	** TEXT ONLY ORDER ** ORDER granting <a href="#">126</a> Joint Motion to Authorize Payment of Independent Monitor. Signed by District Judge James D. Peterson on 3/23/2016. (voc) (Entered: 03/23/2016)
03/23/2016	<a href="#">128</a>	ORDER sustaining <a href="#">123</a> Objection to Payment of Legal Fees Incurred by ISC Employee. Signed by District Judge James D. Peterson on 3/23/2016. (jls) (Entered: 03/23/2016)
04/07/2016	<a href="#">129</a>	OPINION & ORDER denying <a href="#">87</a> Motion to Stay State Court Proceedings. Signed by District Judge James D. Peterson on 4/7/16. (jat) (Entered: 04/07/2016)
04/11/2016	<a href="#">130</a>	Notice by Plaintiff United States Securities and Exchange Commission of <i>Filing of Independent Monitor's Report for March, 2016</i> . (Moye, Robert) (Entered: 04/11/2016)
04/12/2016	<a href="#">131</a>	Joint Motion to Authorize Payment of Independent Monitor <i>David Omachinski</i> by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit A - March Invoice) (Moye, Robert) Modified on 4/13/2016. (lak) (Entered: 04/12/2016)
04/13/2016	132	** TEXT ONLY ORDER ** ORDER granting <a href="#">131</a> Joint Motion to Authorize Payment of Independent Monitor. Signed by District Judge James D. Peterson on 4/13/16. (jat) (Entered: 04/13/2016)
04/25/2016	<a href="#">133</a>	Joint Motion to Stay <i>Briefing Schedule for Remedies Portion of Case</i> by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Text of Proposed Order) (Leiman, Timothy) Modified on 4/26/2016. (lak) (Entered: 04/25/2016)
04/28/2016	<a href="#">134</a>	Confidential Correspondence Regarding Settlement by Plaintiff United States Securities and Exchange Commission ( <u>Sealed Document</u> ) (Attachments: # <a href="#">1</a> Settlement Related Documents, # <a href="#">2</a> Settlement Related Documents, # <a href="#">3</a> Settlement Related Documents, # <a href="#">4</a> Settlement Related Documents) (jat) (Entered: 04/28/2016)
04/28/2016	135	** TEXT ONLY ORDER ** The court has reviewed the parties' joint motion to stay briefing. Dkt. <a href="#">133</a> . Because it appears that a 60-day stay will encourage the case toward mutually agreeable resolution and will save the parties and the court the time and effort that they may have had to spend engaged in contested motion practice, the court will grant the motion. The SEC's motion regarding disgorgement, prejudgment interest, and a civil penalty will be due June 24, 2016, provided the

		parties are unable to finalize settlement and propose a joint plan for distributing assets at that time. Signed by District Judge James D. Peterson on 4/28/16. (jat) (Entered: 04/28/2016)
05/12/2016	<a href="#">136</a>	Notice by Plaintiff United States Securities and Exchange Commission of <i>Filing of Independent Monitor's Report for April, 2016</i> . (Moye, Robert) Modified on 5/13/2016. (lak) (Entered: 05/12/2016)
06/03/2016	<a href="#">137</a>	Motion to Unseal Document by Interested Party State Court Plaintiffs. Motions referred to Magistrate Judge Stephen L. Crocker. Response due 6/10/2016. (Schott, Patrick) (Entered: 06/03/2016)
06/03/2016	<a href="#">138</a>	Declaration of Patrick J Schott filed by Interested Party State Court Plaintiffs re: <a href="#">137</a> Motion to Unseal Document. (Schott, Patrick) (Entered: 06/03/2016)
06/03/2016	<a href="#">139</a>	Disregard. See <a href="#">140</a> . Modified on 6/6/2016. (lak) (Entered: 06/03/2016)
06/06/2016	<a href="#">140</a>	Brief in Support of <a href="#">137</a> Motion to Unseal Document by Interested Party State Court Plaintiffs, (Attachments: # <a href="#">1</a> Exhibit A - Excerpt of Transcript of TRO Hearing (See <a href="#">38</a> for complete transcript.) (Schott, Patrick) Modified on 6/6/2016. (lak) (Entered: 06/06/2016)
06/06/2016	<a href="#">141</a>	Notice by Plaintiff United States Securities and Exchange Commission of <i>the Report of the Independent Monitor for May 2016</i> . (Moye, Robert) (Entered: 06/06/2016)
06/07/2016	<a href="#">142</a>	Joint Motion (Undated) to Authorize Payment of David Omachinski, the Independent Monitor for ISC, Inc. <i>for his April and May Invoices</i> , by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit A - April Invoice, # <a href="#">2</a> Exhibit B - May Invoice) (Moye, Robert) Modified on 6/8/2016. (lak) (Entered: 06/07/2016)
06/10/2016	<a href="#">143</a>	Brief in Opposition by Plaintiff United States Securities and Exchange Commission re: <a href="#">137</a> Motion to Unseal Document filed by State Court Plaintiffs. (Moye, Robert) (Entered: 06/10/2016)
06/20/2016	<a href="#">144</a>	Brief in Reply by Interested Party State Court Plaintiffs in Support of <a href="#">137</a> Motion to Unseal Document. (Schott, Patrick) (Entered: 06/20/2016)
06/20/2016	<a href="#">145</a>	Unopposed Motion to Approve Consent Judgment <i>and Final Judgment against Defendant the Estate of Loren Holzhueter and Relief Defendants Honefi LLC and Arlene Holzhueter</i> by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit 1 - Consent of Defendant the Estate of Loren W. Holzhueter, # <a href="#">2</a> Exhibit 2 - Consent of Relief Defendant Honefi LLC, # <a href="#">3</a> Exhibit 3 - Consent of Relief Defendant Arlene Holzhueter, # <a href="#">4</a> Exhibit 4 - Final Judgment as to Defendant the Estate of Loren W. Holzhueter, # <a href="#">5</a> Exhibit 5 - Final Judgment as to Relief Defendant Honefi LLC, # <a href="#">6</a> Exhibit 6 - Final Judgment as to Relief Defendant Arlene Holzhueter, # <a href="#">7</a> Exhibit 7 - Relief Defendant Aaron Holzhueter Consent for Final Judgment) (Guardi, Ariella) Modified on 6/21/2016. (lak) (Entered: 06/20/2016)
06/21/2016	146	** TEXT ONLY ORDER ** ORDER granting <a href="#">142</a> Joint Motion to Authorize Payment of David Omachinski, the Independent Monitor for ISC, Inc. for his April and May Invoices. Signed by District Judge James D. Peterson on 6/21/16. (jat) (Entered: 06/21/2016)
06/23/2016	<a href="#">147</a>	ORDER: The state court plaintiffs' motion to unseal <a href="#">137</a> is DENIED as moot. The state court plaintiffs' motion to be heard on the proposed settlements in this case is GRANTED. The state

		court plaintiffs may respond to the proposed settlements no later than July 8, 2016. The SEC, defendants, and relief defendants may reply no later than July 15, 2016. Signed by District Judge James D. Peterson on 6/23/16. (jat) (Entered: 06/23/2016)
06/23/2016		Set Briefing Deadlines as to <a href="#">145</a> Unopposed Motion to Approve Consent Judgment and Final Judgment against Defendant the Estate of Loren Holzhueter and Relief Defendants Honefi LLC and Arlene Holzhueter. Brief in Opposition due 7/8/2016. Brief in Reply due 7/15/2016. (jat) (Entered: 06/23/2016)
06/24/2016	<a href="#">148</a>	Status Report <i>and Plan for the Distribution Phase of Proceedings</i> by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit - Initial Claim Determination Calculations) (Guardi, Ariella) (Entered: 06/24/2016)
07/08/2016	<a href="#">149</a>	Notice by Defendant ISC, Inc. <i>of the Report of the Independent Monitor for July 8, 2016</i> . (Kravit, Stephen) (Entered: 07/08/2016)
07/08/2016	<a href="#">150</a>	Notice by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter re: <a href="#">148</a> Status Report. ( <i>Attorneys Kravit, Hovel &amp; Krawczyk's Objection to SEC's Plan for the Distribution Phase of Proceedings.</i> ) (Kravit, Stephen) Modified on 7/9/2016. (lak) (Entered: 07/08/2016)
07/08/2016	<a href="#">151</a>	Motion for Attorney Fees <i>and Costs (Accrued and Unpaid)</i> by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter. (Kravit, Stephen) (Entered: 07/08/2016)
07/08/2016	<a href="#">152</a>	Brief in Support of <a href="#">151</a> Motion for Attorney Fees <i>and Costs (Accrued and Unpaid)</i> by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter. (Kravit, Stephen) (Entered: 07/08/2016)
07/08/2016	<a href="#">153</a>	Declaration of Stephen E. Kravit filed by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter re: <a href="#">151</a> Motion for Attorney Fees, (Attachments: # <a href="#">1</a> Exhibit A - May 5, 2016 Decision, # <a href="#">2</a> Exhibit B - Stephen E. Kravit's CV) (Kravit, Stephen) (Entered: 07/08/2016)
07/08/2016	<a href="#">154</a>	Brief in Opposition by Interested Party State Court Plaintiffs re: <a href="#">145</a> Motion to Approve Consent Judgment, filed by United States Securities and Exchange Commission. (Schott, Patrick) (Entered: 07/08/2016)
07/08/2016	<a href="#">155</a>	Notice by Plaintiff United States Securities and Exchange Commission <i>of Filing of Independent Monitor's Report for June 2016</i> . (Moye, Robert) (Entered: 07/08/2016)
07/11/2016		Set Briefing Deadlines as to <a href="#">151</a> Motion for Attorney Fees <i>and Costs (Accrued and Unpaid)</i> . Brief in Opposition due 8/1/2016. Brief in Reply due 8/11/2016. (voc) (Entered: 07/11/2016)
07/11/2016	<a href="#">156</a>	Joint Motion to Authorize Payment to Independent Monitor <i>David Omachinski</i> by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit A - June Invoice) (Moye, Robert) (Entered: 07/11/2016)
07/13/2016	157	** TEXT ONLY ORDER ** ORDER granting <a href="#">156</a> Joint Motion to Authorize Payment to Independent Monitor <i>David Omachinski</i> . Signed by District Judge James D. Peterson on 7/13/2016. (jls) (Entered: 07/13/2016)
07/15/2016	<a href="#">158</a>	Brief in Reply by Plaintiff United States Securities and Exchange Commission in Support of <a href="#">145</a> Motion to Approve Consent Judgment, <i>Responding to Objections of State Court Plaintiffs</i> , (Attachments: # <a href="#">1</a> Exhibit A - Omachinski Letter) (Moye, Robert) (Entered: 07/15/2016)

07/15/2016	<a href="#">159</a>	Motion to Appoint Receiver by Plaintiff United States Securities and Exchange Commission. Response due 7/22/2016. (Leiman, Timothy) (Entered: 07/15/2016)
07/15/2016	<a href="#">160</a>	Brief in Support of <a href="#">159</a> Motion to Appoint Receiver by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit A - Resume of Michael Polsky, # <a href="#">2</a> Exhibit B - Proposed Order) (Leiman, Timothy) (Entered: 07/15/2016)
07/21/2016	<a href="#">161</a>	Brief in Opposition by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter re: <a href="#">159</a> Motion to Appoint Receiver filed by United States Securities and Exchange Commission. (Kravit, Stephen) (Entered: 07/21/2016)
07/22/2016	<a href="#">162</a>	Notice of Appearance filed by Michael S. Polsky for Interested Party Michael S Polsky. (Polsky, Michael) (Entered: 07/22/2016)
07/22/2016	<a href="#">163</a>	Motion to Intervene by Interested Party State Court Plaintiffs. Response due 7/29/2016. (Attachments: # <a href="#">1</a> Objection to Appointment of Receiver, # <a href="#">2</a> Objection to Payment of Attorneys' Fees) (Schott, Patrick) (Entered: 07/22/2016)
07/22/2016	<a href="#">164</a>	Brief in Support of <a href="#">163</a> Motion to Intervene by Interested Party State Court Plaintiffs. (Schott, Patrick) (Entered: 07/22/2016)
07/22/2016	<a href="#">165</a>	Declaration of Patrick J. Schott filed by Interested Party State Court Plaintiffs re: <a href="#">163</a> Motion to Intervene, (Attachments: # <a href="#">1</a> Exhibit 1 - Memorandum Decision Denying Consolidation, # <a href="#">2</a> Exhibit 2 - Memorandum Decision of 2.5.16, # <a href="#">3</a> Exhibit 3 - Complaint, # <a href="#">4</a> Exhibit 4 - Affidavit of Defense Counsel, # <a href="#">5</a> Exhibit 5 - Answer, # <a href="#">6</a> Exhibit 6 - Deposition Exhibits, # <a href="#">7</a> Exhibit 7 - Motion to Dismiss, # <a href="#">8</a> Exhibit 8 - Answer to Amended Complaint, # <a href="#">9</a> Exhibit 9 - Checks to Defense Counsel) (Schott, Patrick) Modified on 7/23/2016: Clarified exhibit descriptions. (lak) (Entered: 07/22/2016)
07/29/2016	<a href="#">166</a>	Brief in Opposition by Plaintiff United States Securities and Exchange Commission re: <a href="#">163</a> Motion to Intervene filed by State Court Plaintiffs. (Leiman, Timothy) (Entered: 07/29/2016)
08/01/2016	<a href="#">167</a>	Brief in Opposition by Plaintiff United States Securities and Exchange Commission re: <a href="#">151</a> Motion for Attorney Fees filed by Arlene Holzhueter, ISC, Inc., The Estate of Loren W. Holzhueter, Honefi, LLC. (Leiman, Timothy) (Entered: 08/01/2016)
08/04/2016	<a href="#">168</a>	Notice by Plaintiff United States Securities and Exchange Commission of <i>Filing of Independent Monitor's Report for July 2016</i> . (Moye, Robert) (Entered: 08/04/2016)
08/05/2016	<a href="#">169</a>	Joint Motion to Approve Payment to Independent Monitor, David Omachinski by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit A - July Invoice) (Moye, Robert) (Entered: 08/05/2016)
08/05/2016	<a href="#">170</a>	Brief in Reply by Interested Party State Court Plaintiffs in Support of <a href="#">163</a> Motion to Intervene. (Schott, Patrick) (Entered: 08/05/2016)
08/05/2016	<a href="#">171</a>	Declaration of Patrick J. Schott filed by Interested Party State Court Plaintiffs re: <a href="#">163</a> Motion to Intervene, (Attachments: # <a href="#">1</a> Exhibit 1 - WI CCAP Record, # <a href="#">2</a> Exhibit 2 - Excerpts of Transcript) (Schott, Patrick) Modified on 8/10/2016. (lak) (Entered: 08/05/2016)

		08/05/2016)
08/08/2016	172	** TEXT ONLY ORDER ** ORDER granting <a href="#">169</a> Joint Motion to Approve Payment to Independent Monitor, David Omachinski. Signed by District Judge James D. Peterson on 8/8/16. (jat) (Entered: 08/08/2016)
08/10/2016	<a href="#">173</a>	Brief in Reply by Defendants Arlene Holzhueter, Loren W. Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter in Support of <a href="#">151</a> Motion for Attorney Fees <i>and Costs (Accrued and Unpaid)</i> . (Kravit, Stephen) (Entered: 08/10/2016)
08/10/2016	<a href="#">174</a>	Declaration of Stephen E. Kravit filed by Defendants Arlene Holzhueter, Loren W. Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter re: <a href="#">151</a> Motion for Attorney Fees. (Kravit, Stephen) (Entered: 08/10/2016)
08/18/2016	<a href="#">175</a>	Notice by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter <i>to Court Regarding Pending Motions</i> . (Kravit, Stephen) Modified on 8/19/2016. (lak) (Entered: 08/18/2016)
09/15/2016	<a href="#">176</a>	Notice by Plaintiff United States Securities and Exchange Commission <i>of Filing of Independent Monitor's Report</i> . (Moye, Robert) (Entered: 09/15/2016)
09/16/2016	<a href="#">177</a>	Disregard. See <a href="#">178</a> . Modified on 9/16/2016. (lak) (Entered: 09/16/2016)
09/16/2016	<a href="#">178</a>	Notice <i>of Supplemental Facts</i> by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter re: <a href="#">151</a> Motion for Attorney Fees <i>and Costs (Accrued and Unpaid)</i> , (Attachments: # <a href="#">1</a> E-mail Dated 9/15/2016) (lak) (Entered: 09/16/2016)
09/16/2016	<a href="#">179</a>	Joint Motion to Approve Payment to Independeint Monitor <i>David Omachinski</i> by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit A - August Invoice) (Moye, Robert) Modified on 9/18/2016. (lak) (Entered: 09/16/2016)
09/19/2016	180	** TEXT ONLY ORDER ** ORDER granting <a href="#">179</a> Joint Motion to Approve Payment to Independent Monitor David Omachinski. Signed by District Judge James D. Peterson on 9/19/16. (jat) (Entered: 09/19/2016)
10/04/2016	<a href="#">181</a>	Notice by Plaintiff United States Securities and Exchange Commission <i>of Filing of Independent Monitor's Report</i> . (Moye, Robert) (Entered: 10/04/2016)
10/04/2016	<a href="#">182</a>	Status Report <i>on Relief Defendant Aaron Holzhueter's Bankruptcy</i> by Plaintiff United States Securities and Exchange Commission (Moye, Robert) (Entered: 10/04/2016)
10/04/2016	<a href="#">183</a>	Joint Motion to Authorize Payment to Independent Monitor, <i>David Omachinski</i> by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit A - September Invoice) (Moye, Robert) (Entered: 10/04/2016)
10/05/2016	184	** TEXT ONLY ORDER ** ORDER granting <a href="#">183</a> Joint Motion to Authorize Payment to Independent Monitor, David Omachinski. Signed by District Judge James D. Peterson on 10/5/16. (jat) (Entered: 10/05/2016)
10/06/2016	<a href="#">185</a>	Second Notice of Supplemental Facts by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter re: <a href="#">151</a> Motion for Attorney Fees <i>and Costs (Accrued and Unpaid)</i> , (Attachments: # <a href="#">1</a> Exhibit - 10/5/16 E-mail to Stephen E. Kravit from David Omachinski) (Kravit, Stephen) Modified on 10/7/2016. (lak) (Entered: 10/06/2016)

10/13/2016	<a href="#">186</a>	Joint Motion to Stay <i>Ancillary State Court Litigation (Temporary)</i> by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter. (Kravit, Stephen) (Entered: 10/13/2016)
10/13/2016	<a href="#">187</a>	Joint Brief in Support of <a href="#">186</a> Motion to Stay <i>Ancillary State Court Litigation (Temporary)</i> by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter, (Attachments: # <a href="#">1</a> Exhibit A - Estate Inventory (Last page is upside down.), # <a href="#">2</a> Exhibit B - Groth Case Management Order, # <a href="#">3</a> Exhibit C - Groth Notice of Motion and Motion for Summary Judgment, # <a href="#">4</a> Exhibit D - Attorney Barker's 10/12/16 Letter to Judge Bauer, # <a href="#">5</a> Exhibit E - Attorney Glicksman's 10/12/16 Letter to Judge Bauer) (Kravit, Stephen) Modified on 10/13/2016. (lak) (Entered: 10/13/2016)
10/14/2016	<a href="#">188</a>	Notice by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter of <i>Filing of Special Report of Independent Monitor</i> . (Kravit, Stephen) (Entered: 10/14/2016)
10/17/2016	189	** TEXT ONLY ORDER ** The court is in the process of reviewing and deciding the pending motions in this case. The materials present two unanswered questions. First, does phase I of the distribution plan account for any early preference-type payouts to members of the investor class? And second, how were the shares of the marital property bank accounts determined? The court will hold a telephonic status conference with the parties on Tuesday, October 18, 2016, at 3:00 p.m., to discuss these issues. Counsel for the SEC is responsible for initiating the call to chambers. Signed by District Judge James D. Peterson on 10/17/2016. (kwf) (Entered: 10/17/2016)
10/18/2016		Minute Entry for proceedings held before District Judge James D. Peterson: Telephone Status Conference held on 10/18/2016 [:15] (skv) (Entered: 10/18/2016)
10/19/2016	<a href="#">190</a>	Status Report <i>Related to Potential Preferential Payments</i> by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit A - List of Redeeming Investors Who Received Payment Within 12 Months of TRO) (Leiman, Timothy) (Entered: 10/19/2016)
10/20/2016	<a href="#">191</a>	Brief in Opposition by Interested Party State Court Plaintiffs re: <a href="#">186</a> Motion to Stay filed by Arlene Holzhueter, ISC, Inc., The Estate of Loren W. Holzhueter, Honefi, LLC. (Schott, Patrick) (Entered: 10/20/2016)
10/20/2016	<a href="#">192</a>	OPINION & ORDER granting <a href="#">145</a> Motion to Approve Consent Judgments; granting in part and denying in part <a href="#">151</a> Motion for Attorney Fees; denying <a href="#">163</a> Motion to Intervene; granting <a href="#">159</a> Motion to Appoint Receiver; denying as moot <a href="#">186</a> Motion for Temporary Stay. Signed by District Judge James D. Peterson on 10/20/16. (jat) (Entered: 10/20/2016)
10/20/2016	<a href="#">193</a>	FINAL CONSENT JUDGMENT in favor of United States Securities and Exchange Commission against Arlene Holzhueter in the amount of \$ 1,120,016.00. (jat) (Entered: 10/20/2016)
10/20/2016	<a href="#">194</a>	FINAL CONSENT JUDGMENT entered in favor of Plaintiff United States Securities and Exchange Commission against Honefi, LLC. (jat) (Entered: 10/20/2016)
10/20/2016	<a href="#">195</a>	FINAL CONSENT JUDGMENT in favor of United States Securities and Exchange Commission against The Estate of Loren W. Holzhueter in the amount of \$ 1,125,561.00. (jat) (Entered: 10/20/2016)
10/20/2016	<a href="#">196</a>	ORDER APPOINTING RECEIVER Signed by District Judge James D. Peterson on 10/20/16. (jat) (Entered: 10/20/2016)

10/28/2016	<a href="#">197</a>	Motion to Intervene by Interested Party State Court Plaintiffs. Response due 11/4/2016. (Attachments: # <a href="#">1</a> Cover Letter) (Schott, Patrick) (Entered: 10/28/2016)
10/28/2016	<a href="#">198</a>	Brief in Support of <a href="#">197</a> Motion to Intervene by Interested Party State Court Plaintiffs, (Attachments: # <a href="#">1</a> Text of Proposed Order) (Schott, Patrick) (Entered: 10/28/2016)
10/31/2016	<a href="#">199</a>	Affidavit of HONEFI, LLC ( <i>Sworn Statement</i> ), (Attachments: # <a href="#">1</a> Exhibit A - Commercial Appraisal Report) (Kravit, Stephen) (Entered: 10/31/2016)
11/04/2016	<a href="#">200</a>	Notice of Appearance filed by Lauren Catherine Stanley for Interested Party Michael S Polsky. (Stanley, Lauren) (Entered: 11/04/2016)
11/04/2016	<a href="#">201</a>	Notice of Appearance filed by Joseph M. Peltz for Interested Party Michael S Polsky. (Peltz, Joseph) (Entered: 11/04/2016)
11/04/2016	<a href="#">202</a>	Brief in Opposition by Plaintiff United States Securities and Exchange Commission re: <a href="#">197</a> Motion to Intervene filed by State Court Plaintiffs. (Peltz, Jennifer) (Entered: 11/04/2016)
11/07/2016	<a href="#">203</a>	ORDER denying <a href="#">197</a> Motion to Intervene for purposes of appeal. Signed by District Judge James D. Peterson on 11/7/16. (jat) (Entered: 11/07/2016)
11/10/2016	<a href="#">204</a>	Notice by Interested Party Michael S. Polsky of <i>Filing of Receiver's Phase I Distribution Plan for Life Insurance Proceeds and Certain Other Liquid Assets</i> , (Attachments: # <a href="#">1</a> Exhibit A - Summary of Initial Claim Determinations- Redacted, # <a href="#">2</a> Exhibit B - Claim Notice, # <a href="#">3</a> Text of Proposed Order, # <a href="#">4</a> Certificate of Service) (Polsky, Michael) Modified on 11/13/2016. (lak) Modified on 11/14/2016 (voc). (Entered: 11/10/2016)
11/15/2016	<a href="#">205</a>	Motion to Seal <i>Exhibit A to the Phase I Plan</i> by Interested Party Michael S Polsky. Motions referred to Magistrate Judge Stephen L. Crocker. (Polsky, Michael) Modified on 11/15/2016. (lak) (Entered: 11/15/2016)
11/15/2016	206	** TEXT ONLY ORDER ** The receiver moves to file Exhibit A, identifying the Phase I investors by name, solely for <i>in camera</i> review. Dkt. <a href="#">205</a> . The court GRANTS the motion to complete the record. But the court will not review the investors' identifying information and that information will play no role in the court's consideration of the Phase I distribution plan. Signed by District Judge James D. Peterson on 11/15/16. (jat) (Entered: 11/15/2016)
11/15/2016	<a href="#">207</a>	In Camera Submission. <i>Exhibit A - Summary of Initial Claim Determinations</i> by Interested Party Michael S Polsky. ( <u>Ex Parte Document</u> ) (Polsky, Michael) (Entered: 11/15/2016)
11/18/2016	<a href="#">208</a>	NOTICE OF APPEAL by Interested Party State Court Plaintiffs as to <a href="#">192</a> Order on Motion to Approve Consent Judgment, Order on Motion for Attorney Fees, Order on Motion to Appoint Receiver, Order on Motion to Intervene, Order on Motion to Stay. Filing fee of \$ 505, receipt number 0758-1919917 paid. Docketing Statement filed. (Attachments: # <a href="#">1</a> Docketing Statement) (Schott, Patrick) (Entered: 11/18/2016)
11/18/2016	<a href="#">209</a>	NOTICE OF APPEAL by Interested Party State Court Plaintiffs as to <a href="#">203</a> Order on Motion to Intervene. Filing fee of \$ 505, receipt number 0758-1919921 paid. Docketing Statement filed. (Attachments: # <a href="#">1</a> Docketing Statement) (Schott, Patrick) (Entered: 11/18/2016)

11/18/2016	<a href="#">210</a>	NOTICE OF APPEAL by Interested Party State Court Plaintiffs as to <a href="#">196</a> Order. Filing fee of \$ 505, receipt number 0758-1919922 paid. Docketing Statement filed. (Attachments: # <a href="#">1</a> Docketing Statement) (Schott, Patrick) (Entered: 11/18/2016)
11/18/2016	<a href="#">211</a>	Transmission of Notice of Appeal, Docketing Statement, Docket Sheet and Order to Seventh Circuit Court of Appeals re <a href="#">208</a> Notice of Appeal, (Attachments: # <a href="#">1</a> Docketing Statement, # <a href="#">2</a> Order #192, # <a href="#">3</a> Docket Sheet) (jat) (Entered: 11/18/2016)
11/18/2016	<a href="#">212</a>	Transmission of Notice of Appeal, Docketing Statement, Docket Sheet and Order to Seventh Circuit Court of Appeals re <a href="#">209</a> Notice of Appeal, (Attachments: # <a href="#">1</a> Docketing Statement, # <a href="#">2</a> Order #203, # <a href="#">3</a> Docket Sheet) (jat) (Entered: 11/18/2016)
11/18/2016	<a href="#">213</a>	Transmission of Notice of Appeal, Docketing Statement, Docket Sheet and Order to Seventh Circuit Court of Appeals re <a href="#">210</a> Notice of Appeal (Attachments: # <a href="#">1</a> Docketing Statement, # <a href="#">2</a> Order #196, # <a href="#">3</a> Docket Sheet) (jat) (Entered: 11/18/2016)
11/18/2016		USCA Case Number 16-3951 for <a href="#">208</a> Notice of Appeal filed by State Court Plaintiffs (jat) Modified on 11/18/2016 (jat). (Entered: 11/18/2016)
11/18/2016		USCA Case Number 16-3952 for <a href="#">209</a> Notice of Appeal filed by State Court Plaintiffs. (jat) (Entered: 11/18/2016)
11/18/2016		USCA Case Number 16-3953 for <a href="#">210</a> Notice of Appeal filed by State Court Plaintiffs. (jat) (Entered: 11/21/2016)
11/22/2016	<a href="#">214</a>	Joint Motion to Amend/Correct <a href="#">20</a> Temporary Restraining Order <i>and Asset Freeze Order</i> by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Text of Proposed Order) (Peltz, Jennifer) (Entered: 11/22/2016)
11/22/2016	<a href="#">215</a>	ORDER granting <a href="#">214</a> Motion to Amend Temporary Restraining Order and Asset Freeze Order. Signed by District Judge James D. Peterson on 11/22/16. (jat) (Entered: 11/22/2016)
11/23/2016	<a href="#">216</a>	Disregard. Seventh Circuit form to be used. Transcript Request Form by Interested Party State Court Plaintiffs. (Schott, Patrick) Modified on 11/23/2016. (lak) (Entered: 11/23/2016)
11/23/2016	<a href="#">217</a>	Appeal Information Packet. (lak) (Entered: 11/23/2016)
11/28/2016	<a href="#">218</a>	Transcript Request Form by Interested Party State Court Plaintiffs. (Schott, Patrick) (Entered: 11/28/2016)
11/29/2016	<a href="#">219</a>	Court Reporter Certification of Seventh Circuit Transcript Information Sheet re <a href="#">208</a> Notice of Appeal, <a href="#">209</a> Notice of Appeal, <a href="#">210</a> Notice of Appeal. (jat) (Entered: 11/29/2016)
11/29/2016	<a href="#">220</a>	Transcript of Digital Recording of Motion Hearing, held 10/18/16 before Judge James D. Peterson. Court Reporter: LS. Please review the court policy regarding electronic transcripts: see <a href="#">Electronic Transcript Instructions</a> and <a href="#">Notice of Intent to Request Redaction</a> . (jat) (Entered: 11/29/2016)
11/29/2016	<a href="#">221</a>	Stipulation for Payment to Kravit, Hovel & Krawczyk S.C. of Fees and Expenses by Interested Party Michael S Polsky, (Attachments: # <a href="#">1</a> Text of Proposed Order) (Polsky, Michael) (Entered: 11/29/2016)



11/30/2016	<a href="#">222</a>	ORDER Approving Receiver's Phase 1 Distribution Plan for Life Insurance Proceeds and Certain Other Liquid Assets. Signed by District Judge James D. Peterson on 11/30/16. (jat) (Entered: 11/30/2016)
11/30/2016	<a href="#">223</a>	ORDER granting <a href="#">221</a> Stipulation for Payment to Kravit, Hovel & Krawczyk S.C. of Fees and Expenses. Signed by District Judge James D. Peterson on 11/30/16. (jat) (Entered: 11/30/2016)
11/30/2016	<a href="#">224</a>	Joint Motion to Authorize Payment to Independent Monitor <i>David Omachinski</i> by Plaintiff United States Securities and Exchange Commission, (Attachments: # <a href="#">1</a> Exhibit A - October Invoice (Redacted)) (Moye, Robert) Modified on 12/1/2016. (lak) (Entered: 11/30/2016)
12/01/2016	225	** TEXT ONLY ORDER ** ORDER granting <a href="#">224</a> Joint Motion to Authorize Payment to Independent Monitor David Omachinski. Signed by District Judge James D. Peterson on 12/1/16. (jat) (Entered: 12/01/2016)
12/12/2016	<a href="#">226</a>	Notice by Interested Party Michael S Polsky <i>of Appointment of Receiver</i> , (Attachments: # <a href="#">1</a> Certificate of Service) (Polsky, Michael) Modified on 12/12/2016. (lak) (Entered: 12/12/2016)
12/12/2016	<a href="#">227</a>	Notice by Interested Party Michael S Polsky <i>of Appointment of Receiver</i> , (Attachments: # <a href="#">1</a> Certificate of Service) (Polsky, Michael) Modified on 12/12/2016. (lak) (Entered: 12/12/2016)
12/13/2016	<a href="#">228</a>	Notice by Interested Party Michael S Polsky <i>of Appointment of Receiver-Honefi</i> , (Attachments: # <a href="#">1</a> Certificate of Service) (Polsky, Michael) Modified on 12/13/2016. (lak) (Entered: 12/13/2016)
12/13/2016	<a href="#">229</a>	Notice by Interested Party Michael S Polsky <i>of Appointment of Receiver-Honefi</i> , (Attachments: # <a href="#">1</a> Certificate of Service) (Polsky, Michael) Modified on 12/13/2016. (lak) (Entered: 12/13/2016)
12/19/2016	<a href="#">230</a>	Response to the Requests set forth in the Notice of Appointment of Receiver from Counsel for Partnership Bank (f/k/a Town & County Bank). (Attachments: # <a href="#">1</a> Cover Letter) (jat) Modified on 12/20/2016 (jat). (Entered: 12/20/2016)
12/20/2016	<a href="#">231</a>	ORDER: The clerk of court shall release \$9,564,140.36 in principal and \$17,626.51 in interest held in the court' registry. Signed by District Judge James D. Peterson on 12/20/16. (jat) (Entered: 12/21/2016)
12/21/2016	<a href="#">232</a>	Response to the Notice of Appointment of Receiver from Vice President- Cashier for PremierBank. (jat) (Entered: 12/21/2016)
12/23/2016	<a href="#">233</a>	Response to the Notice of Appointment of Receiver from Vice President and General Counsel for Ixonia Bank. (Attachments: # <a href="#">1</a> Cover Letter) (jat) (Entered: 12/23/2016)
01/16/2017	<a href="#">234</a>	Motion to Employ Professionals by Interested Party Michael S Polsky, (Attachments: # <a href="#">1</a> Text of Proposed Order to Employ Professionals) (Polsky, Michael) (Entered: 01/16/2017)
01/17/2017		Set Deadlines as to <a href="#">234</a> Motion to Employ Professionals. Response due 1/27/2017. (jat) (Entered: 01/17/2017)
01/30/2017	<a href="#">235</a>	Status Report <i>for Quarter Ending December 31, 2016</i> by Interested Party Michael S Polsky. (Polsky, Michael) (Additional attachment(s) added on 1/31/2017: # <a href="#">1</a> Exhibit A - Receiver's Schedule of Receipts and Disbursements, # <a href="#">2</a> Exhibit B - Creditors of ISC, Inc. and Honefi LLC) (lak). (Entered: 01/30/2017)


01/31/2017	236	<p><b>** TEXT ONLY ORDER **</b></p> <p>The receiver's motion to employ professionals, Dkt. <a href="#">234</a> , is GRANTED with respect to BCBP, Cowie, and KRD. But the receiver's request to employ KHK is DENIED without prejudice. The court does not doubt that KHK has "in-depth knowledge of the background and complexities of this case," but the receiver does not explain, specifically, why he needs to retain KHK's services. The court is left to guess at what assisting "with limited transition-related legal matters affecting these proceedings" may mean. If the receiver would benefit from KHK's assistance, he will need to explain what, specifically, KHK will bring to the table. Signed by District Judge James D. Peterson on 1/31/17. (jat) (Entered: 01/31/2017)</p>
02/08/2017	<a href="#">237</a>	<p>Quarterly Motion for Attorney Fees by Interested Party Michael S Polsky, (Attachments: # <a href="#">1</a> Exhibit - Fund Accounting Report, # <a href="#">2</a> Exhibit - Itemized Billings, # <a href="#">3</a> Exhibit - Summary of Hours, # <a href="#">4</a> Exhibit - Summary of Costs, # <a href="#">5</a> Exhibit - Draft Order, # <a href="#">6</a> Text of Proposed Order) (Polsky, Michael) Modified on 2/8/2017. (lak) (Entered: 02/08/2017)</p>
02/21/2017	<a href="#">238</a>	<p>ORDER granting <a href="#">237</a> Quarterly Motion for Attorney Fees. Signed by District Judge James D. Peterson on 2/21/2017. (jls) (Entered: 02/21/2017)</p>
02/22/2017	<a href="#">239</a>	<p>Motion for Attorney Fees. <i>Phase 1 Distribution of Payment to Kravit, Hovel &amp; Krawczyk, S.C.</i> by Defendants Arlene Holzhueter, Honefi, LLC, ISC, Inc., The Estate of Loren W. Holzhueter, (Attachments: # <a href="#">1</a> Text of Proposed Order) (Kravit, Stephen) Modified on 2/22/2017. (lak) (Entered: 02/22/2017)</p>
02/24/2017		<p>Set Deadlines as to <a href="#">239</a> Motion for Attorney Fees. <i>Phase 1 Distribution of Payment to Kravit, Hovel &amp; Krawczyk, S.C.</i> Response due 3/3/2017. (jat) (Entered: 02/24/2017)</p>
03/03/2017	<a href="#">240</a>	<p>Brief in Opposition by Interested Party Michael S Polsky re: <a href="#">239</a> Motion for Attorney Fees. <i>Phase 1 Distribution of Payment to Kravit, Hovel &amp; Krawczyk, S.C.</i>, filed by Arlene Holzhueter, ISC, Inc., The Estate of Loren W. Holzhueter, Honefi, LLC, (Attachments: # <a href="#">1</a> Text of Proposed Order) (Polsky, Michael) Modified on 3/5/2017. (lak) (Entered: 03/03/2017)</p>
03/03/2017	<a href="#">241</a>	<p>Brief in Opposition by Plaintiff United States Securities and Exchange Commission re: <a href="#">239</a> Motion for Attorney Fees, filed by Arlene Holzhueter, ISC, Inc., The Estate of Loren W. Holzhueter, Honefi, LLC. (Moye, Robert) (Entered: 03/03/2017)</p>
03/06/2017	<a href="#">242</a>	<p>Objection to <a href="#">239</a> Motion for Attorney Fees (Phase 1 Distribution of Payment to Kravit, Hovel &amp; Krawczyk, S.C.) by G. Zastrow. (Attachments: # <a href="#">1</a> Envelope) (jat) (Entered: 03/06/2017)</p>
03/08/2017	<a href="#">243</a>	<p>Notice of Appearance <i>and Request for Notice</i> filed by Timothy J. Peyton for Claimants Stanley Kuehl, Louann Kuehl. (Peyton, Timothy) (Entered: 03/08/2017)</p>
03/09/2017	<a href="#">244</a>	<p>Letter from the Court to Ms. Zastrow re: <a href="#">242</a> . (jat) (Entered: 03/09/2017)</p>
03/09/2017	<a href="#">245</a>	<p>ORDER denying <a href="#">239</a> Motion for Attorney Fees. Signed by District Judge James D. Peterson on 3/9/17. (jat) (Entered: 03/09/2017)</p>
03/10/2017	<a href="#">246</a>	<p>Disregard. See <a href="#">247</a> . Modified on 3/13/2017. (lak) (Entered: 03/10/2017)</p>
03/13/2017	<a href="#">247</a>	<p>Notice by Interested Party Michael S Polsky of <i>Final Claim Determinations</i>, (Attachments: # <a href="#">1</a> Exhibit A - List of Final Claim Determinations,</p>


		# <a href="#">2</a> Exhibit B - Form Investor Notice of Final Claim Determination) (Polsky, Michael) (Entered: 03/13/2017)
03/23/2017	<a href="#">248</a>	Motion for Hearing and Objection to Final Claim Determination of Claim 161 by Claimants Louann Kuehl, Stanley Kuehl. Response due 3/30/2017. (Attachments: # <a href="#">1</a> Exhibit 1 - Claim Notice, # <a href="#">2</a> Exhibit 2 - Letter dated February 13, 2017, # <a href="#">3</a> Exhibit 3 - Investor Notice of Final Claim Determination-Claim 161) (Peyton, Timothy) Modified on 3/24/2017. (lak) (Entered: 03/23/2017)
03/29/2017	<a href="#">249</a>	Stipulation and Scheduling Order Related to the Objection to the Final Claim Determination of Claim 161 by Interested Party Michael S Polsky, (Attachments: # <a href="#">1</a> Text of Proposed Order, # <a href="#">2</a> Cover Letter) (Polsky, Michael) Modified on 3/29/2017. (lak) (Entered: 03/29/2017)
03/30/2017	<a href="#">250</a>	Brief in Opposition by Interested Party Michael S Polsky re: <a href="#">248</a> Motion for Hearing, filed by Louann Kuehl, Stanley Kuehl (Polsky, Michael) (Entered: 03/30/2017)
03/30/2017	<a href="#">251</a>	Disregard. Modified on 4/3/2017. (lak) (Entered: 03/30/2017)
04/03/2017	<a href="#">252</a>	Affidavit of Michael S. Polsky filed by Interested Party Michael S Polsky re: <a href="#">248</a> Motion for Hearing, (Attachments: # <a href="#">1</a> Exhibit A - Chart) (lak) (Entered: 04/03/2017)
04/04/2017	<a href="#">253</a>	ORDER approving <a href="#">249</a> Stipulation and Scheduling Order Related to the Objection to the Final Claim Determination of Claim 161. Signed by District Judge James D. Peterson on 4/4/17. (jat) (Entered: 04/04/2017)
04/04/2017		Reset Deadlines as to <a href="#">248</a> Objection to Final Claim Determination of Claim 161. Response due 6/9/2017. (jat) (Entered: 04/04/2017)
04/12/2017	<a href="#">254</a>	Motion for Extension of Time <i>For the Sale of ISC, Honefi and Their Assets</i> by Interested Party Michael S Polsky. Motions referred to Magistrate Judge Stephen L. Crocker. (Polsky, Michael) (Entered: 04/12/2017)
04/13/2017	255	** TEXT ONLY ORDER ** ORDER granting <a href="#">254</a> Motion for Extension of Time. Signed by District Judge James D. Peterson on 4/12/17. (jat) (Entered: 04/13/2017)
04/26/2017	<a href="#">256</a>	Motion for Order of Sale <i>of Substantially All of the Assets of ISC, Inc. and Honefi, LLC Free and Clear of All Liens, Claims and Encumbrances and For a Sale Hearing</i> by Interested Party Michael S Polsky, (Attachments: # <a href="#">1</a> Exhibit A - Draft Notice of Sale Hearing, # <a href="#">2</a> Text of Proposed Order Approving Sale of Assets) (Polsky, Michael) Modified on 4/27/2017. (lak) (Entered: 04/26/2017)
04/27/2017		Set Deadlines as to <a href="#">256</a> Motion for Order of Sale <i>of Substantially All of the Assets of ISC, Inc. and Honefi, LLC Free and Clear of All Liens, Claims and Encumbrances and For a Sale Hearing</i> . Brief in Opposition due 5/11/2017. Brief in Reply due 5/18/2017. (jat) (Entered: 04/27/2017)
04/27/2017	257	** TEXT ONLY ORDER ** The court will hold a hearing on the receiver's sale motion, Dkt. <a href="#">256</a> , on Thursday, May 25, 2017, at 9:00 a.m. in courtroom 260. The court approves the notice of the hearing, except that the receiver should note that the room number for the hearing will be 260, not 320. Signed by District Judge James D. Peterson on 4/27/17. (jat) (Entered: 04/27/2017)

04/27/2017	<a href="#">258</a>	Notice of Appearance filed by Mary Carolyn Turke for Interested Party AVID Acquisitions LLC. (Turke, Mary) Modified on 4/28/2017: Second page is blank. (lak) (Entered: 04/27/2017)
04/27/2017	<a href="#">259</a>	Quarterly Motion for Attorney Fees by Interested Party Michael S Polsky, (Attachments: # <a href="#">1</a> Exhibit A - Standardized Fund Accounting Reports, # <a href="#">2</a> Exhibit B - Slip Sheet for Itemized Statement of Service Performed by Receiver and BCBP, # <a href="#">3</a> Exhibit C - Chart Summarizing Hours and Fees (Subject Quarter), # <a href="#">4</a> Exhibit D - Itemized Statement of Costs and Expenses, # <a href="#">5</a> Exhibit E - Slip Sheet for Itemized Statement of Services Performed by Cowie Management Group (Subject Quarter), # <a href="#">6</a> Exhibit F - Slip Sheet for Itemized Statement of Services of Cowie Management Group (Prior Quarter), # <a href="#">7</a> Exhibit G - Chart Summarizing Total Hours and Fees of Cowie Management Group (Prior Quarter), # <a href="#">8</a> Text of Proposed Order Authorizing and Allowing Fees) (Polsky, Michael) Modified on 4/28/2017. (lak) (Entered: 04/27/2017)
04/27/2017	<a href="#">260</a>	Motion to Seal Documents <i>Attached as Exhibits B, E and F to Receiver's Quarterly Fee Application</i> by Interested Party Michael S Polsky. Motions referred to Magistrate Judge Stephen L. Crocker. (Polsky, Michael) Modified on 4/28/2017. (lak) (Entered: 04/27/2017)
05/01/2017	<a href="#">261</a>	Notice by Interested Party Michael S Polsky re: <a href="#">256</a> Motion for Order of Sale of <i>Substantially All of the Assets of ISC, Inc. and Honefi, LLC Free and Clear of All Liens, Claims and Encumbrances and For a Sale Hearing on May 25, 2017 at 9:00 a.m.</i> (Polsky, Michael) (Entered: 05/01/2017)
05/01/2017	<a href="#">262</a>	Certificate of Service by Interested Party Michael S Polsky as to <a href="#">261</a> Notice, <a href="#">256</a> Motion for Order of Sale of <i>Substantially All of the Assets of ISC, Inc. and Honefi, LLC Free and Clear of All Liens, Claims and Encumbrances and For a Sale Hearing.</i> (Polsky, Michael) (Entered: 05/01/2017)
05/01/2017	<a href="#">263</a>	Status Report <i>For Period Ending March 31, 2017</i> by Interested Party Michael S Polsky, (Attachments: # <a href="#">1</a> Exhibit A - Schedule of Receiver's Receipts and Disbursements, # <a href="#">2</a> Exhibit B - List of All Known Creditors and Amounts of Their Claims) (Polsky, Michael) (Entered: 05/01/2017)
05/03/2017	264	** TEXT ONLY ORDER ** ORDER granting <a href="#">260</a> Motion to Seal Documents Attached as Exhibits B, E and F to <a href="#">259</a> Quarterly Motion for Attorney Fees. Signed by Magistrate Judge Stephen L. Crocker on 5/3/17. (jat) (Entered: 05/03/2017)
05/03/2017	<a href="#">265</a>	In Camera Submission. <i>Exhibit B - Itemized Statement of Services Performed by Receiver and BCBP</i> , by Interested Party Michael S Polsky. ( <u>Ex Parte Document</u> ) (Polsky, Michael) (Entered: 05/03/2017)
05/03/2017	<a href="#">266</a>	In Camera Submission. <i>Exhibit E - Itemized Statement of Service of Cowie Management Group (Subject Quarter)</i> , by Interested Party Michael S Polsky. ( <u>Ex Parte Document</u> ) (Polsky, Michael) (Entered: 05/03/2017)
05/03/2017	<a href="#">267</a>	In Camera Submission. <i>Exhibit F - Itemized Statement of Services of Cowie Management Group (Prior Quarter)</i> , by Interested Party Michael S Polsky. ( <u>Ex Parte Document</u> ) (Polsky, Michael) (Entered: 05/03/2017)
05/08/2017	<a href="#">268</a>	ORDER regarding <a href="#">259</a> Quarterly Motion for Attorney Fees. Signed by District Judge James D. Peterson on 5/8/17. (jat) (Entered: 05/08/2017)

05/10/2017	<a href="#">269</a>	Notice of Appearance filed by Mark S. DesRochers for Creditor Krizan Insurance Services, Inc. (DesRochers, Mark) (Entered: 05/10/2017)
05/10/2017	<a href="#">270</a>	Brief in Opposition by Creditor Krizan Insurance Services, Inc. re: <a href="#">256</a> Motion for Order of Sale of <i>Substantially All of the Assets of ISC, Inc. and Honefi, LLC Free and Clear of All Liens, Claims and Encumbrances and For a Sale Hearing</i> filed by Michael S Polsky, (Attachments: # <a href="#">1</a> Exhibit 1 - Licensed Intermediaries-Agreement, # <a href="#">2</a> Exhibit 2 - Licensed Intermediaries-Addendum Agreement, # <a href="#">3</a> Exhibit 3 - Amendment) (DesRochers, Mark) Modified on 5/10/2017. (lak) (Entered: 05/10/2017)
05/10/2017	<a href="#">271</a>	Notice of Appearance filed by Mark S. DesRochers for Creditor Double Bubble, Ltd. (DesRochers, Mark) (Entered: 05/10/2017)
05/10/2017	<a href="#">272</a>	Brief in Opposition by Creditor Double Bubble, Ltd. re: <a href="#">256</a> Motion for Order of Sale of <i>Substantially All of the Assets of ISC, Inc. and Honefi, LLC Free and Clear of All Liens, Claims and Encumbrances and For a Sale Hearing</i> filed by Michael S, Polsky, (Attachments: # <a href="#">1</a> Exhibit 1 - Asset Purchase Agreement, # <a href="#">2</a> Exhibit 2 - Business Note, # <a href="#">3</a> Exhibit 3 - Consumer Collateral Pledge Agreement, # <a href="#">4</a> Exhibit 4 - UCC Filings, # <a href="#">5</a> Exhibit 5 - Articles of Amendment, # <a href="#">6</a> Exhibit 6 - Assignment Business Note, # <a href="#">7</a> Exhibit 7 - Assignment Consumer Collateral Pledge Agreement) (DesRochers, Mark) Modified on 5/10/2017. (lak) (Entered: 05/10/2017)
05/10/2017	<a href="#">273</a>	Notice of Appearance filed by Mark S. DesRochers for Creditor Larry Schneider. (DesRochers, Mark) (Entered: 05/10/2017)
05/10/2017	<a href="#">274</a>	Brief in Opposition by Creditor Larry Schneider re: <a href="#">256</a> Motion for Order of Sale of <i>Substantially All of the Assets of ISC, Inc. and Honefi, LLC Free and Clear of All Liens, Claims and Encumbrances and For a Sale Hearing</i> filed by Michael S. Polsky, (Attachments: # <a href="#">1</a> Exhibit 1 - Employee/Handbook, # <a href="#">2</a> Exhibit 2 - Paragraph 10, # <a href="#">3</a> Exhibit 3 - Addendum, # <a href="#">4</a> Exhibit 4 - Document dated 1/20/1999, # <a href="#">5</a> Exhibit 5 - Document dated 1/20/1999) (DesRochers, Mark) Modified on 5/10/2017. (lak) (Entered: 05/10/2017)
05/10/2017	<a href="#">275</a>	Brief in Opposition by Interested Party State Court Plaintiffs re: <a href="#">256</a> Motion for Order of Sale, filed by Michael S Polsky (Schott, Patrick) (Entered: 05/10/2017)
05/10/2017	<a href="#">276</a>	Motion to Stay re: <a href="#">256</a> Motion for Order of Sale of <i>Substantially All of the Assets of ISC, Inc. and Honefi, LLC Free and Clear of All Liens, Claims and Encumbrances and For a Sale Hearing</i> by Interested Party State Court Plaintiffs. Response due 5/17/2017. (Schott, Patrick) (Entered: 05/10/2017)
05/15/2017	<a href="#">277</a>	Motion to Appear by Telephone at May 25, 2017 Motion Hearing by Defendants Arlene Holzhueter, The Estate of Loren W. Holzhueter. (Kravit, Stephen) Modified on 5/15/2017. (lak) (Entered: 05/15/2017)
05/15/2017	278	** TEXT ONLY ORDER ** ORDER granting <a href="#">277</a> Motion to Appear by Telephone at the 5/25/2017 Motion Hearing. Counsel is to notify the clerk's office of the number they can be reached at the time of the hearing. Signed by District Judge James D. Peterson on 5/15/17. (jat) (Entered: 05/15/2017)

05/17/2017	<a href="#">279</a>	Amended Notice of Appearance filed by James Burnett for Creditor Double Bubble, Ltd. (Burnett, James) Modified on 5/17/2017. (lak) (Entered: 05/17/2017)
05/17/2017	<a href="#">280</a>	Amended Notice of Appearance filed by James Burnett for Creditor Krizan Insurance Services, Inc. (Burnett, James) Modified on 5/17/2017. (lak) (Entered: 05/17/2017)
05/17/2017	<a href="#">281</a>	Stipulation Regarding the Objection of Double Bubble, Ltd. f/k/a The Insurance Shoppe, Ltd. to the Proposed Sale of ISC, Inc., Honefi, LLC and/or Their Assets by Interested Party Michael S Polsky. (Polsky, Michael) Modified on 5/17/2017. (lak) (Entered: 05/17/2017)
05/18/2017	<a href="#">282</a>	Stipulation Regarding the Objection of Krizan Insurance Services, Inc. to the Proposed Sale of ISC, Inc., Honefi LLC and/or Their Assets by Interested Party Michael S Polsky. (Polsky, Michael) Modified on 5/18/2017. (lak) (Entered: 05/18/2017)
05/18/2017	<a href="#">283</a>	Stipulation Regarding the Objection of Larry F. Schneider to the Proposed Sale of ISC, Inc., Honefi LLC and/or Their Assets by Interested Party Michael S Polsky. (Polsky, Michael) Modified on 5/18/2017. (lak) (Entered: 05/18/2017)
05/18/2017	<a href="#">284</a>	Joint Motion for Extension of Time <i>to File Consolidated Reply and Response in Support of Motion for Sale</i> by Plaintiff United States Securities and Exchange Commission. Motions referred to Magistrate Judge Stephen L. Crocker. (Moye, Robert) (Entered: 05/18/2017)
05/18/2017	285	** TEXT ONLY ORDER ** ORDER granting <a href="#">284</a> Motion for Extension of Time. Signed by District Judge James D. Peterson on 5/18/17. (jat) (Entered: 05/18/2017)
05/19/2017	<a href="#">286</a>	Joint Brief in Reply by Plaintiff United States Securities and Exchange Commission in Support of <a href="#">256</a> Motion for Order of Sale, Joint Response re: <a href="#">276</a> Motion to Stay, <i>on Behalf of the SEC and the Receiver</i> . (Moye, Robert) Modified on 5/22/2017. (lak) (Entered: 05/19/2017)
05/23/2017	<a href="#">287</a>	Declaration of Michael S. Polsky filed by Interested Party Michael S Polsky re: <a href="#">256</a> Motion for Order of Sale, (Attachments: # <a href="#">1</a> Exhibit A - Summary of Investor Communications, # <a href="#">2</a> Exhibit B - Investor Communications) (Polsky, Michael) Modified on 5/24/2017: Clarified exhibit descriptions. (lak) (Entered: 05/23/2017)
05/24/2017	<a href="#">288</a>	Notice by Interested Party Michael S Polsky re: <a href="#">256</a> Motion for Order of Sale. <i>Notice of Amended Asset Purchase Agreement and of Sale Agreement</i> . (Polsky, Michael) Modified on 5/25/2017. (lak) (Entered: 05/24/2017)
05/24/2017	<a href="#">289</a>	Amended Document by Interested Party Michael S Polsky. Amendment to <a href="#">256</a> Motion for Order of Sale. <i>Revised Proposed Sale Order</i> . (Polsky, Michael) Modified on 5/25/2017. (lak) (Entered: 05/24/2017)
05/25/2017	<a href="#">290</a>	Minute Entry for proceedings held before District Judge James D. Peterson: Motion Hearing held on 5/25/2017 re <a href="#">256</a> Motion for Order of Sale. [1:17] (Court Reporter JD.) (voc) (Entered: 05/25/2017)
05/25/2017	<a href="#">291</a>	Certified and Transmitted Record on Appeal to US Court of Appeals re: <a href="#">208</a> Notice of Appeal, <a href="#">209</a> Notice of Appeal, <a href="#">210</a> Notice of Appeal, (Attachments: # <a href="#">1</a> Certification) (lak) (Entered: 05/25/2017)
05/25/2017	<a href="#">292</a>	ORDER APPROVING SALE OF SUBSTANTIALLY ALL OF THE ASSETS OF ISC, INC. AND HONEFI LLC FREE AND CLEAR OF ALL LIENS, CLAIMS AND ENCUMBRANCES. Signed by District Judge James D. Peterson on 5/25/17. (jat) (Entered: 05/25/2017)

05/31/2017	<a href="#">293</a>	Motion to Employ <i>Wadsworth Whitestar Consultants</i> by Interested Party Michael S Polsky. Response due 6/7/2017. (Polsky, Michael) (Entered: 05/31/2017)
05/31/2017	<a href="#">294</a>	Declaration of Patrick Caracciolo filed by Interested Party Michael S Polsky re: <a href="#">293</a> Motion to Employ <i>Wadsworth Whitestar Consultants</i> . (Polsky, Michael) Modified on 6/1/2017. (lak) (Entered: 05/31/2017)
06/01/2017	295	** TEXT ONLY ORDER ** ORDER granting <a href="#">293</a> Motion to Employ <i>Wadsworth Whitestar Consultants</i> . Signed by District Judge James D. Peterson on 6/1/17. (jat) (Entered: 06/01/2017)
06/06/2017	<a href="#">296</a>	Stipulation Resolving The Objection to The Final Claim Determination of Claim 161 by Interested Party Michael S Polsky. (Polsky, Michael) (Entered: 06/06/2017)
06/07/2017	297	** TEXT ONLY ORDER ** The court accepts the stipulation resolving claimants 161's final claim determination objection. Dkt. <a href="#">296</a> . The objection, Dkt. <a href="#">248</a> , is withdrawn. Claimants 161 are entitled to an allowed claim in the amount of \$135,042.90, and the receiver is authorized to pay 63 percent of the allowed claim to the claimants. Signed by District Judge James D. Peterson on 6/6/17. (jat) (Entered: 06/07/2017)
06/07/2017	<a href="#">298</a>	Notice by Interested Party Michael S Polsky <i>of Amendment to the Final Claim Determinations For Claimants 79 and 161</i> . (Polsky, Michael) (Entered: 06/07/2017)
06/08/2017	 <a href="#">299</a>	Notice by Interested Party Michael S Polsky <i>of the Receiver's Phase II Distribution Plan</i> , (Attachments: # <a href="#">1</a> Exhibit A - List of Investor Remaining Claims, # <a href="#">2</a> Exhibit B - Claim Form, # <a href="#">3</a> Exhibit C - Notice of Filing) (Polsky, Michael) Modified on 6/12/2017 (jat). (Entered: 06/08/2017)
06/09/2017	<a href="#">300</a>	Transcript of Motion Hearing, held 5/25/17 before Judge James D. Peterson. Court Reporter: JD. Please review the court's new policy regarding electronic transcripts of court proceedings: see <a href="#">Electronic Transcript Instructions</a> . (jat) (Entered: 06/09/2017)
06/12/2017		Set Deadlines as to <a href="#">299</a> Notice by Interested Party Michael S Polsky <i>of the Receiver's Phase II Distribution Plan</i> . Brief in Opposition due 6/22/2017. Brief in Reply due 6/29/2017. (jat) (Entered: 06/12/2017)
06/12/2017	<a href="#">301</a>	Certificate of Service by Interested Party Michael S Polsky as to <a href="#">299</a> Notice by Interested Party Michael S Polsky <i>of the Receiver's Phase II Distribution Plan</i> . (Polsky, Michael) (Entered: 06/12/2017)
06/19/2017	<a href="#">302</a>	Response Stating No Opposition re: <a href="#">299</a> Notice by Interested Party Michael S. Polsky, filed by Michael S. Polsky <i>Accepting Receiver's Phase II Distribution Plan</i> (Kravit, Stephen) Modified on 6/19/2017 (voc). Modified on 6/19/2017. (lak) (Entered: 06/19/2017)
06/21/2017	<a href="#">303</a>	Notice of Appearance filed by Derek McDermott for Creditor Double Bubble, Ltd. (McDermott, Derek) (Entered: 06/21/2017)
06/21/2017	<a href="#">304</a>	Brief in Opposition by Creditor Double Bubble, Ltd. re: <a href="#">299</a> Notice by Interested Party Michael S Polsky <i>of the Receiver's Phase II Distribution Plan</i> filed by Michael S. Polsky, (Attachments: # <a href="#">1</a> Exhibit A - Proof of Claim) (Burnett, James) Modified on 6/21/2017: Corrected docketing event; clarified exhibit description. (lak) (Entered: 06/21/2017)



06/21/2017	<a href="#">305</a>	Affidavit of Derek McDermott filed by Creditor Double Bubble, Ltd. re: <a href="#">299</a> Notice by Interested Party Michael S Polsky, (Attachments: # <a href="#">1</a> Exhibit 1 - Articles of Amendment, # <a href="#">2</a> Exhibit 2 - Assignment, # <a href="#">3</a> Exhibit 3 - Assignment, # <a href="#">4</a> Exhibit 4 - Business Note, # <a href="#">5</a> Exhibit 5 - Consumer Collateral Pledge Agreement, # <a href="#">6</a> Exhibit 6 - UCC Filing, # <a href="#">7</a> Exhibit 7 - UCC Filing, # <a href="#">8</a> Exhibit 8 - UCC Filing) (McDermott, Derek) Modified on 6/21/2017: Clarified exhibit descriptions. (lak) Modified on 6/22/2017: Also see <a href="#">311</a> . (lak) (Entered: 06/21/2017)
06/21/2017	<a href="#">306</a>	Brief in Support of <a href="#">299</a> Notice by Interested Party Michael S. Polsky, by Creditor Double Bubble, Ltd., (Attachments: # <a href="#">1</a> Exhibit A - UCC Financing Statement, # <a href="#">2</a> Exhibit B - DFI UCC Filing Search Results, # <a href="#">3</a> Exhibit C - DFI UCC Filing Search Results, # <a href="#">4</a> Exhibit D - DFI UCC Filing Search Website (Pages 7 and 8 are blank.) (McDermott, Derek) Modified on 6/21/2017: Brief in Support of Objection? E-mail to counsel. Clarified exhibit descriptions. Also see <a href="#">312</a> . (lak) (Entered: 06/21/2017)
06/21/2017	 <a href="#">307</a>	Motion for Reconsideration re: <a href="#">292</a> Order on Motion for Order of Sale, Order on Motion to Stay, Order on Stipulation, by Interested Party State Court Plaintiffs. Response due 6/28/2017. (Schott, Patrick) (Entered: 06/21/2017)
06/21/2017	<a href="#">308</a>	Brief in Support of <a href="#">307</a> Motion for Reconsideration by Interested Party State Court Plaintiffs, (Attachments: # <a href="#">1</a> Exhibit - Kokesh Slip Op.) (Schott, Patrick) (Entered: 06/21/2017)
06/21/2017	<a href="#">309</a>	Notice of Appearance filed by Christopher James Smith for Claimant T & J Liquidation, Inc. (Smith, Christopher) (Entered: 06/21/2017)
06/21/2017	<a href="#">310</a>	Notice of Appearance filed by Timothy F. Nixon for Creditor Partnership Community Bank. (Nixon, Timothy) (Entered: 06/21/2017)
06/22/2017	<a href="#">311</a>	Affidavit of Derek McDermott filed by Creditor Double Bubble, Ltd. re: <a href="#">299</a> Notice, (Attachments: # <a href="#">1</a> Exhibit 1 - Articles of Amendment, # <a href="#">2</a> Exhibit 2 - Assignment, # <a href="#">3</a> Exhibit 3 - Assignment, # <a href="#">4</a> Exhibit 4 - Business Note, # <a href="#">5</a> Exhibit 5 - Consumer Collateral Pledge Agreement, # <a href="#">6</a> Exhibit 6 - UCC Financing Statement, # <a href="#">7</a> Exhibit 7 - UCC Financing Statement Amendment, # <a href="#">8</a> Exhibit 8 - UCC Financing Statement Amendment) (McDermott, Derek) Modified on 6/22/2017. (lak) (Entered: 06/22/2017)
06/22/2017	<a href="#">312</a>	Brief in Opposition by Creditor Double Bubble, Ltd. re: <a href="#">299</a> Notice filed by Michael S. Polsky, (Attachments: # <a href="#">1</a> Exhibit A - UCC Financing Statement, # <a href="#">2</a> Exhibit B - DFI UCC Filing Search Results, # <a href="#">3</a> Exhibit C - DFI UCC Filing Search Results, # <a href="#">4</a> Exhibit D - DFI UCC Filing Search Website (Pages 7 and 8 are blank.) (McDermott, Derek) Modified on 6/22/2017. (lak) (Entered: 06/22/2017)



06/22/2017	<a href="#">313</a>	Brief in Opposition by Claimant T & J Liquidation, Inc., re: <a href="#">299</a> Notice filed by Michael S. Polsky, (Attachments: # <a href="#">1</a> Exhibit A - Business Note, # <a href="#">2</a> Exhibit B - Business Note, # <a href="#">3</a> Exhibit C - Security Agreement, # <a href="#">4</a> Exhibit D - UCC Filing Statement, # <a href="#">5</a> Exhibit E - Proof of Claim) (Smith, Christopher) Modified on 6/22/2017: Corrected docketing event; removed duplicate text; termed motion and briefing schedule. (lak) (Entered: 06/22/2017)
06/22/2017	<del>313</del> <a href="#">314</a>	Motion for Payment of Accrued Unpaid Attorney Fees and Costs by Defendant Aaron Holzhueter. Response due 6/29/2017. (Engel, Peyton) (Entered: 06/22/2017)
06/22/2017	<a href="#">315</a>	Disregard. See <a href="#">321</a> . Modified on 6/22/2017. (lak) (Entered: 06/22/2017)
06/22/2017	<a href="#">316</a>	Declaration of Marcus J. Berghahn filed by Defendant Aaron Holzhueter re: <a href="#">314</a> Motion for Payment of Accrued Unpaid Attorney Fees and Costs. (Engel, Peyton) Modified on 6/22/2017. (lak) (Entered: 06/22/2017)
06/22/2017	<a href="#">317</a>	Declaration of Martin J. Cowie filed by Defendant Aaron Holzhueter re: <a href="#">314</a> Motion for Payment of Accrued Unpaid Attorney Fees and Costs. (Engel, Peyton) Modified on 6/22/2017. (lak) (Entered: 06/22/2017)
06/22/2017	<a href="#">318</a>	Brief in Support of <a href="#">314</a> Motion for Payment of Accrued Unpaid Attorney Fees and Costs by Defendant Aaron Holzhueter. (Engel, Peyton) Modified on 6/22/2017. (lak) (Entered: 06/22/2017)
06/22/2017	<a href="#">319</a>	Brief in Opposition by Interested Party State Court Plaintiffs re: <a href="#">299</a> Notice by Interested Party Michael S Polsky <i>of the Receiver's Phase II Distribution Plan</i> filed by Michael S Polsky. (Schott, Patrick) Modified on 6/22/2017: Corrected docketing event. (lak) (Entered: 06/22/2017)
06/22/2017	<a href="#">320</a>	Declaration of Patrick J. Schott filed by Interested Party State Court Plaintiffs re: <a href="#">299</a> Notice by Interested Party Michael S. Polsky, (Attachments: # <a href="#">1</a> Exhibit 1 - Groth Proof of Claim, # <a href="#">2</a> Exhibit 2 - Heinecke Proof of Claim, # <a href="#">3</a> Exhibit 3 - Pritchard Proof of Claim, # <a href="#">4</a> Exhibit 4 - Tesch Proof of Claim, # <a href="#">5</a> Exhibit 5 - Wegner Proof of Claim) (Schott, Patrick) Modified on 6/22/2017. (lak) (Entered: 06/22/2017)
06/22/2017	<a href="#">321</a>	Declaration of Andrew W. Erlandson filed by Defendant Aaron Holzhueter re: <a href="#">314</a> Motion for Payment of Accrued Unpaid Attorney Fees and Costs, (Attachments: # <a href="#">1</a> Exhibit A - Plaintiff's Notice of Deposition of Corporate Defendant ISC, Inc., # <a href="#">2</a> Exhibit B - Complaint in David J. Heinecke v. Loren Holzhueter, Waukesha Cty. Case No. 15 CV 507, # <a href="#">3</a> Exhibit C - Complained in John W. Tesch v. Estate of Loren Holzhueter, Dodge County Case No. 15 CV 419, # <a href="#">4</a> Exhibit D - Complaint filed in James G. Pritchard v. Estate of Loren W. Holzhueter, Jefferson County Case No. 15 CV 352, # <a href="#">5</a> Exhibit E - Complaint filed in Carl A. Nicholson and Gwendolyn R. Nicholson v. Quality Tax and Accounting Services, LLC, Jefferson County Case No. 15 CV 311, # <a href="#">6</a> Exhibit F - Complaint filed in David J. Groth v. ISC, Inc., Dodge County Case No. 15 CV 289, # <a href="#">7</a> Exhibit G - Complaint filed in Barbara L. Wegner v. the Estate of Loren W. Holzhueter,

		Dodge County Case No. 15 CV 108, # <a href="#">8</a> Exhibit H - Defendants Aaron Holzhueter, Arlene Holzhueter, Loren Holzhueter and ISC, Inc., Notice of Motion and Motion to Consolidate, # <a href="#">9</a> Exhibit I - Correspondence from Hurley Burish & Stanton to Attorney Patrick J. Schott, # <a href="#">10</a> Exhibit J - Defendants Loren Holzhueter, Arlene Holzhueter, Aaron Holzhueter and ISC, Inc. Notice of Motion and Motion to Stay Further Proceedings, # <a href="#">11</a> Exhibit K - Plan of Reorganization for Aaron Holzhueter Proposed by the Debtor in Possession, Dated February 24, 2017, # <a href="#">12</a> Exhibit L - True and correct invoice showing outstanding amount due and owing, # <a href="#">13</a> Exhibit M - True and correct invoice showing outstanding amount due and owing, # <a href="#">14</a> Exhibit N - True and correct invoice showing amount due and owing, # <a href="#">15</a> Exhibit O - Letter transmitting Hurley, Burish & Stanton SC proof of claim to the offices of the court-appointed receiver) (Engel, Peyton) Modified on 6/23/2017. (lak) (Entered: 06/22/2017)
06/22/2017	<a href="#">322</a>	Notice of Appearance filed by Paul Gregory Swanson for Claimant Martin J. Cowie. (Swanson, Paul) (Entered: 06/22/2017)
06/22/2017	<a href="#">323</a>	Disregard. See <a href="#">325</a> . Modified on 6/22/2017. (lak) (Entered: 06/22/2017)
06/22/2017	<a href="#">324</a>	Disregard. See <a href="#">326</a> . Modified on 6/23/2017. (lak) (Entered: 06/22/2017)
06/22/2017	<a href="#">325</a>	Brief in Opposition by Creditor Partnership Community Bank re: <a href="#">299</a> Notice by Interested Party Michael S. Polsky, <i>and Brief in Response</i> , (Attachments: # <a href="#">1</a> Exhibit A - Loan Documentation, # <a href="#">2</a> Exhibit B - Default Notice, # <a href="#">3</a> Exhibit C - Calculation of Amounts Due) (Nixon, Timothy) Modified on 6/22/2017. (lak) (Entered: 06/22/2017)
06/23/2017	<a href="#">326</a>	Brief in Opposition by Claimant Martin J. Cowie re: <a href="#">299</a> Notice by Interested Party Michael S. Polsky, (Attachments: # <a href="#">1</a> Exhibit 1 - Martin Cowie's Claim) (Swanson, Paul) Modified on 6/23/2017. (lak) (Entered: 06/23/2017)
06/28/2017	<a href="#">327</a>	Brief in Opposition by Plaintiff United States Securities and Exchange Commission re: <a href="#">307</a> Motion for Reconsideration filed by State Court Plaintiffs, (Attachments: # <a href="#">1</a> See <a href="#">329</a> . (Leiman, Timothy) Modified on 6/28/2017; Filed declaration as a separate docket entry. (lak) (Entered: 06/28/2017)
06/28/2017	<a href="#">328</a>	Notice by Interested Party Michael S Polsky re: <a href="#">327</a> Brief in Opposition. <i>Receiver's Joinder to Plaintiff Securities and Exchange Commission's Response to State Court Plaintiffs' Motion for Reconsideration</i> . (Polsky, Michael) Modified on 6/28/2017: Linked to the pending motion to which the Brief in Opposition relates. (lak) (Entered: 06/28/2017)
06/28/2017	<a href="#">329</a>	Declaration of Michael S. Polsky filed by Plaintiff United States Securities and Exchange Commission re: <a href="#">307</a> Motion for Reconsideration. (lak) (Entered: 06/28/2017)
06/28/2017	<a href="#">330</a>	Joint Brief by Interested Party Michael S Polsky and Plaintiff United States Securities and Exchange Commission re: <a href="#">299</a> Notice by Interested Party Michael S Polsky of the Receiver's Phase II Distribution Plan filed by Michael S Polsky Notice, and <a href="#">319</a> Brief in Opposition by Interested Party State Court Plaintiffs. (Attachments: # <a href="#">1</a> Disregard. To be refiled. Exhibit A - Declaration of Michael Polsky) (Leiman, Timothy) Modified on 6/29/2017. (lak) Modified docket text to clarify this docket no. is related to dockets <a href="#">299</a> , <a href="#">319</a> , 7/5/2017 (jef). (Entered: 06/28/2017)

06/29/2017	<a href="#">331</a>	Declaration of Michael S. Polsky filed by Plaintiff United States Securities and Exchange Commission <i>In Support of Joint Response to Objection of State Court Plaintiffs to Phase II Distribution Plan</i> re: <a href="#">299</a> Notice. (Leiman, Timothy) Modified on 6/30/2017. (lak) (Entered: 06/29/2017)
06/29/2017	<a href="#">332</a>	Brief in Support of <a href="#">299</a> Notice. <i>Receiver's Response to the Objection to Proposed Receiver's Phase II Distribution Plan on Behalf of Double Bubble, Ltd. and Its Assigns Dexter Sattler, Nannette Popp and Karen Wettstein</i> by Interested Party Michael S Polsky. (Polsky, Michael) Modified on 6/30/2017: Brief in Reply? (lak) (Entered: 06/29/2017)
06/29/2017	<a href="#">333</a>	Declaration of Michael S. Polsky filed by Interested Party Michael S Polsky <i>In Support of Receiver's Response to the Objection to Proposed Receiver's Phase II Distribution Plan on Behalf of Double Bubble, Ltd. and Its Assigns, Dexter Sattler, Nannette Popp and Karen Wettstein</i> re: <a href="#">299</a> Notice. (Polsky, Michael) Modified on 6/30/2017. (lak) (Entered: 06/29/2017)
06/29/2017	<a href="#">334</a>	Brief in Support of <a href="#">299</a> Notice. <i>Receiver's Response to FDL Insurance Agency, Inc. n/k/a T&amp;J Liquidation, Inc.'s Objection to the Receiver's Phase II Distribution Plan</i> by Interested Party Michael S Polsky. (Polsky, Michael) Modified on 6/30/2017: Brief in Reply? (lak). (Entered: 06/29/2017)
06/29/2017	<a href="#">335</a>	Joint Brief in Opposition by Interested Party Michael S Polsky, Plaintiff United States Securities and Exchange Commission re: <a href="#">314</a> Motion for Payment of Accrued Unpaid Attorney Fees and Costs filed by Aaron Holzhueter, (Attachments: # <a href="#">1</a> Exhibit A - Holzhueter Consent) (Leiman, Timothy) Modified on 6/30/2017. (lak) (Entered: 06/29/2017)
06/29/2017	<a href="#">336</a>	Brief in Support of <a href="#">299</a> Notice. <i>Response to Objection By Martin J. Cowie To Receiver's Phase II Distribution Plan</i> by Plaintiff United States Securities and Exchange Commission. (Peltz, Jennifer) Modified on 6/30/2017: Brief in Reply? (lak) (Entered: 06/29/2017)
06/29/2017	<a href="#">337</a>	Declaration of Luz M. Aguilar filed by Plaintiff United States Securities and Exchange Commission <i>In Support of Plaintiff Securities and Exchange Commission's Response to Objection By Martin J. Cowie to Receiver's Phase II Distribution Plan</i> re: <a href="#">299</a> Notice, (Attachments: # <a href="#">1</a> Exhibit A - June 2, 2015 Email, # <a href="#">2</a> Exhibit B - September 16, 2016 Email, # <a href="#">3</a> Exhibit C - December 14, 2015 Email, # <a href="#">4</a> Exhibit D - January 28, 2016 Email, # <a href="#">5</a> Exhibit E - March 7, 2016 Email, # <a href="#">6</a> Exhibit F - July 6, 2016 Email, # <a href="#">7</a> Exhibit G - Summary of Investor Claims, # <a href="#">8</a> Exhibit H - Cowie Management Group Invoices, # <a href="#">9</a> Exhibit I - Analysis of Cowie Management Group Invoices) (Peltz, Jennifer) Modified on 6/30/2017. (lak) (Entered: 06/29/2017)
06/29/2017	<a href="#">338</a>	Notice by Interested Party Michael S Polsky re: <a href="#">336</a> Brief in Support <i>Receiver's Joinder to Plaintiff Securities and Exchange Commission's Response to Objection by Martin J. Cowie to Receiver's Phase II Distribution Plan</i> . (Polsky, Michael) Modified on 6/30/2017: This is not linked to a pending motion. (lak) (Entered: 06/29/2017)
06/29/2017	<a href="#">339</a>	Joint Brief in Reply by Interested Party Michael S Polsky, Plaintiff United States Securities and Exchange Commission in Support of <a href="#">299</a> Notice <i>and Opposing Objection of Partnership Bank to Phase II Plan</i> , (Attachments: # <a href="#">1</a> Exhibit A - Email Between G&K and SEC, # <a href="#">2</a> Exhibit B - Email Between G&K and SEC,

		<p># <a href="#">3</a> Exhibit C - Email Between G&amp;K and SEC,  # <a href="#">4</a> Exhibit D - Letter from Bank to Receiver,  # <a href="#">5</a> Exhibit E - 2014 G&amp;K Invoices,  # <a href="#">6</a> Exhibit F - 2015 G&amp;K Invoices,  # <a href="#">7</a> Exhibit G - 2016 G&amp;K Invoices,  # <a href="#">8</a> Exhibit H - 2017 G&amp;K Invoices) (Leiman, Timothy) Modified on 6/30/2017. (lak) (Entered: 06/29/2017)</p>
06/29/2017	<a href="#">340</a>	Brief in Opposition by Interested Party State Court Plaintiffs re: <a href="#">314</a> Motion for Payment of Accrued Unpaid Attorney Fees and Costs filed by Aaron Holzhueter. (Barker, April) Modified on 6/30/2017. (lak) (Entered: 06/29/2017)
06/29/2017	<a href="#">341</a>	Disregard. See <a href="#">342</a> . Modified on 6/30/2017. (lak) (Entered: 06/29/2017)
06/29/2017	<a href="#">342</a>	<p>Declaration of April Rockstead Barker filed by Interested Party State Court Plaintiffs re: <a href="#">314</a> Motion for Payment of Accrued Unpaid Attorney Fees and Costs, (Attachments: # <a href="#">1</a> Exhibit 1 - Amended Complaint (Heinecke v. Holzhueter et al.), # <a href="#">2</a> Exhibit 2 - Amended Complaint (Wegner v. Holzhueter et al.), # <a href="#">3</a> Exhibit 3 - Counterclaim in Adversary Proceedings, # <a href="#">4</a> Exhibit 4 - Excerpts from David and Judith Heinecke Interrogatory Responses, # <a href="#">5</a> Exhibit 5 - Excerpts from Rule 2004 Examination and Exhibits, # <a href="#">6</a> Exhibit 6 - Excerpts from Barbara Wegner Interrogatory Responses, # <a href="#">7</a> Exhibit 7 - Excerpts from Rule 2004 Examination and Exhibits, # <a href="#">8</a> Exhibit 8 - Excerpts from James Pritchard Interrogatory Responses, # <a href="#">9</a> Exhibit 9 - Excerpt from Rule 2004 Examination, # <a href="#">10</a> Exhibit 10 - Affidavit of Andrew W. Erlandson, # <a href="#">11</a> Exhibit 11 - Excerpt from Amended Plan) (Barker, April) Modified on 6/30/2017. (lak) (Entered: 06/29/2017)</p>
07/05/2017	<a href="#">343</a>	Brief in Reply by Defendant Aaron Holzhueter in Support of <a href="#">314</a> Motion for Payment of Accrued Unpaid Attorney Fees and Costs. (Engel, Peyton) Modified on 7/5/2017: Corrected docketing event, etc. (lak) (Entered: 07/05/2017)
07/06/2017	 <a href="#">344</a>	Stipulation for Resolution of Partnership Community Bank's Objection to the Receiver's Proposed Phase II Distribution Plan by Interested Party Michael S Polsky. (Attachments: # <a href="#">1</a> Text of Proposed Order Approving Stipulation Resolving Partnership Community Bank's Objection to the Receiver's Proposed Phase II Distribution Plan) (Polsky, Michael) (Entered: 07/06/2017)
07/17/2017	<a href="#">345</a>	Brief in Reply by Creditor Double Bubble, Ltd. in Support of <a href="#">299</a> Motion for Miscellaneous Relief (McDermott, Derek) Modified on 7/17/2017 (jat). Cover Letter included with Main Document. (Entered: 07/17/2017)
07/21/2017	 <a href="#">346</a>	Motion for Declaratory Relief With Respect to the Asset Purchase Agreement Executed Between The Receiver and Avid Risk Solutions, Inc., Tom Schwarz, and Family Insurance Center, Inc. by Interested Party Michael S Polsky. Response due 7/28/2017. (Polsky, Michael) (Entered: 07/21/2017)
07/21/2017	<a href="#">347</a>	<p>Declaration of Michael S. Polsky, Esq. filed by Interested Party Michael S Polsky <i>In Support of Receiver's Motion For Declaratory Relief With Respect to the Asset Purchase Agreement Executed Between the Receiver and Avid Risk Solutions, Inc., Tom Schwarz, and Family Insurance, Inc.</i> re: <a href="#">346</a> Motion for Miscellaneous Relief, (Attachments: # <a href="#">1</a> Exhibit 1- Asset Purchase Agreement, # <a href="#">2</a> Exhibit 2 -Amendment Agreement) (Polsky, Michael) (Entered: 07/21/2017)</p>

07/24/2017	<a href="#">348</a>	NOTICE OF APPEAL by Interested Party State Court Plaintiffs as to <a href="#">292</a> Order on Motion for Order of Sale, Order on Motion to Stay, Order on Stipulation. Filing fee of \$ 505, receipt number 0758-2072943 paid. Docketing Statement filed. (Attachments: # <a href="#">1</a> Docketing Statement) (Schott, Patrick) (Entered: 07/24/2017)
07/25/2017	<a href="#">349</a>	Appeal Information Packet. (lak) (Entered: 07/25/2017)