### SECOND DIVISION

# IN THE

# APPELLATE COURT OF ILLINOIS

## FIRST DISTRICT

THE PEOPLE OF THE STATE OF ILLINOIS,	) )	Appeal from the Circuit Court Of Cook County.
Plaintiff-Appellee,	) )	
V.	) )	No. 09 CR 1455
RONALD PATTERSON,	) )	The Honorable Ellen Mandeltort,
Defendant-Appellant.	)	Judge Presiding.

JUSTICE NEVILLE delivered the judgment of the court, with opinion. Presiding Justice Pierce and Justice Simon concurred in the judgment and opinion.

### **OPINION**

A jury found Ronald Patterson guilty of aggravated criminal sexual assault committed when he was 15 years old. The trial court sentenced Patterson, under statutes for the sentencing of adult offenders, to 36 years in prison. Our supreme court has affirmed the conviction and remanded the case to this court for consideration of sentencing issues. We now vacate the sentence and remand the case to juvenile court for further proceedings.

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#### BACKGROUND

- Police arrested Patterson on December 14, 2008, in his home at a facility run by Streamwood Behavioral Health Systems (SBHS). A grand jury charged Patterson with three counts of aggravated criminal sexual assault. The Juvenile Court Act of 1987 required the transfer of the case to criminal court for the trial of Patterson as an adult. 705 ILCS 405/5-130(1) (West 2008). A jury found Patterson guilty as charged.
- The presentence investigation report said that Patterson tested positive for cocaine at birth. A relative of Patterson's mother adopted him at 18 months of age, and he grew up with his adoptive parents until they found they could not protect his siblings from his increasingly violent behavior. He had extensive psychiatric treatment from the time he turned 11. The Department of Children and Family Services took custody of Patterson, at his adoptive parents' request, in 2006, when he was 13. He took Thorazine, Benadryl, Prozac, Trileptal, and Abilify, amongst other medications, to try to control his aggressive behavior and his moods. An IQ test in 2006 resulted in a full-scale score of 72.
  - School records and records from SBHS showed that Patterson acted somewhat violently on numerous occasions. He threw hot water on a teacher in 2004, tried to bite SBHS staff members when they restrained him in 2006, threatened to stab a staff member in 2006, and stabbed a staff member with a pencil in 2008. The behaviors led to some loss of privileges at SBHS and other discipline. Records also showed that at times SBHS rewarded Patterson for extended periods of good behavior.

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¶9

- If 6 The presentence investigator said in his report that Patterson had no prior police contacts. According to a printout from the police department, Patterson had one prior arrest, for throwing hot water on a teacher when he was 11, and the arrest resulted in a station adjustment.
- ¶7 The trial court found several factors in aggravation, and none in mitigation, so the court sentenced Patterson to 12 years in prison on each count, with the sentences to run consecutively, for a total sentence of 36 years. Patterson appealed.
- The appellate court reversed the convictions and remanded for retrial. *People v. Patterson*, 2012 IL App (1st) 101573. The supreme court reversed the appellate court's judgment and rejected all of Patterson's arguments for a new trial. *People v. Patterson*, 2014 IL 115102. The supreme court remanded the case to this court for consideration of the sentencing issues Patterson raised in his appeal, which this court found no need to consider on the initial appeal due to the decision to remand for a new trial. *Patterson*, 2014 IL 115102
  - After the supreme court filed its opinion, but before the parties finished briefing the appeal on remand, the general assembly amended the Juvenile Court Act, changing the provision that required the juvenile court to transfer the case to criminal court for the State to prosecute Patterson as an adult. Patterson now asks us to remand the case to the juvenile court for resentencing, in accord with the amended statute.

¶ 10

### ANALYSIS

¶ 11 In 2008, when Patterson committed the offense, section 5-130 of the Juvenile Court Act provided:

"The definition of delinquent minor under Section 5-120 of this Article shall not apply to any minor who at the time of an offense was at least 15 years of age and who is charged with \*\*\* aggravated criminal sexual assault \*\*\*.

These charges and all other charges arising out of the same incident shall be prosecuted under the criminal laws of this State." 705 ILCS 405/5-130(1)(a) (West 2008).

- ¶ 12 Thus, section 5-130 of the Juvenile Court Act required prosecution of Patterson under Illinois's criminal laws. The general assembly adopted Public Act 99-258 in 2015, changing the Juvenile Court Act to make the minimum age for mandatory transfer 16, not 15. The Public Act includes no explicit provision establishing the effective date for the change to section 5-130. Pub. Act 99-258 (eff. Jan. 1, 2016) (amending 705 ILCS 405/5-130(1)(a) (West 2014)).
- ¶ 13 Public Act 99-258 also amended section 5-805 of the Juvenile Court Act, concerning the discretionary transfer of jurisdiction from juvenile court to criminal court. As amended, the section provides that if the State files a motion for a transfer to criminal court of a case against a minor at least 13 years old, and the juvenile court finds that the prosecution of the minor under criminal law would best serve the interests of the public, the court may transfer the case to the criminal courts. 705 ILCS 405/5-805(3)(a) (West 2014). The Effective Date of

Laws Act established January 1, 2016, as the effective date of Public Act 99-258, because Public Act 99-258 does not expressly state its effective date. Pub. Act 99-258 (eff. Jan. 1, 2016); 5 ILCS 75/1 (West 2014).

¶ 14 Public Act 99-258 includes a provision expressly limiting the retroactive application of the amendment to section 5-805. The Public Act states, "The changes made to this Section [5-805] by this amendatory Act \*\*\* apply to a minor who has been taken into custody on or after the effective date of this amendatory Act \*\*\*." Pub. Act 99-258 (eff. Jan. 1, 2016) (amending 705 ILCS 405/5-130(1)(a) (West 2014)). Public Act 99-258 does not include any express statement concerning the retroactive application of the amendment to section 5-130. Our supreme court, in *Caveney v. Bower*, 207 III. 2d 82 (2003), found that, by adopting section 4 of the Statute on Statutes (5 ILCS 70/4 (West 2014)), "the legislature has clearly indicated the 'temporal reach' of *every* amended statute." (Emphasis in original) *Caveney*, 207 III. 2d at 92. "[S]ection 4 represents a clear legislative directive as to the temporal reach of statutory amendments and repeals: those that are procedural in nature may be applied retroactively, while those that are substantive may not." *Caveney*, 207 III. 2d at 92.

¶15

Because the legislature included no express provision concerning retroactive application of the amendment to section 5-130, under *Caveney*, we must determine whether the amendment makes a substantive or procedural change to the Juvenile Court Act. See *People v. Bethel*, 2012 IL App (5th) 100330, ¶ 15. The State claims that the amendment operates substantively to reduce sentences. But the State has successfully argued, in this case as well as others, that despite their effect on sentences, the parts of the Juvenile Court Act governing

the transfer of cases to the criminal courts count as procedural provisions. *Patterson*, 2014 IL 115102, ¶¶ 104-05; *In re M.C.*, 319 III. App. 3d 713, 719 (2001); *People v. Pena*, 321 III. App. 3d 538, 543-44 (2001). The procedural provisions that operated to increase sentences did not become substantive when an amendment made them work to reduce sentences.

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The State argues that the legislature implicitly intended prospective application for the amendment, as the amended statute has an effective date in 2016, well after the legislature passed the amendment in 2015. But the Statute on Statutes controls exactly this situation, where the legislature makes no explicit statement regarding retroactive application of an amendment. See *People v. Glisson*, 202 Ill. 2d 499, 506-07 (2002).

¶ 17 The State claims that the decision in *People v. Brown*, 225 Ill. 2d 188 (2007), requires a finding that the amendment here operates only prospectively. But the statute at issue in *Brown*, unlike section 5-130 here, included an express provision concerning its effective date. Because the legislature expressly delayed implementation of the statute, the *Brown* court found that the legislature intended the statute not to apply retroactively. *Brown*, 225 Ill. 2d at 201. Here, the legislature set no explicit effective date, so the Effective Date of Laws Act set its effective date, and the Statute on Statutes governed its retroactivity. Under the Statute on Statutes, the procedural amendment applies retroactively to all cases pending on direct appeal. *Caveney*, 207 Ill. 2d at 92; *Glisson*, 202 Ill. 2d at 506-07; *People v. Hauschild*, 226 Ill. 2d 63, 77-78 (2007).

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Finally, the State cites the Synopsis for House Bill 3718, which became Public Act 99-258, where the Synopsis states that the bill "[p]rovides that the amendatory changes to the

transfer of jurisdiction provisions are prospective." I Final Legislative Synopsis and Digest of the 99th Ill. Gen. Assem. (No. 16), at 2351. That part of the synopsis accurately describes the effect of the amendment to section 5-805(7), which makes the amendment to section 5-805 operate prospectively. The synopsis does not alter the absence of any similar provision concerning the amendment to section 5-130, which governs the transfer of Patterson's case to criminal court. Because the case comes before us on direct appeal, the procedural amendment to section 5-130, concerning mandatory transfers to criminal court, governs this case. See Glisson, 202 Ill. 2d at 506-07.

¶19 The amended provisions for discretionary transfer to criminal court would apply here only if the State took Patterson into custody after January 1, 2016, the effective date of Public Act 99-258. Pub. Act 99-258 (eff. Jan. 1, 2016) (amending 705 ILCS 405/5-130(1)(a) (West 2014)). The version of section 5-805 in effect in 2008, which governs the prosecution of Patterson, provided:

> "If the State's Attorney files a petition, at any time prior to commencement of the minor's trial, to permit prosecution under the criminal laws and the petition alleges the commission by a minor 15 years of age or older of: (i) a Class X felony other than armed violence \*\*\* and, if the juvenile judge assigned to hear and determine motions to transfer a case for prosecution in the criminal court determines that there is probable cause to believe that the allegations in the petition and motion are true, there is a rebuttable presumption that the minor is not a fit and proper subject to be dealt with under the Juvenile Justice Reform

Provisions of 1998 \*\*\* and that, except as provided in paragraph (b), the case should be transferred to the criminal court." 705 ILCS 405/5-805(2)(a) (West 2008).

¶ 20

The State did not file the motion required by section 5-805(2)(a) prior to trial. However, under the circumstances of this case, because the law in effect at the time of the arrest and trial did not require the filing of a motion to transfer, instead providing for automatic transfer of the case to criminal court, we find that we should permit the State on remand to exercise its discretion and file the requisite motion if it chooses to request a hearing under the provisions of section 5-805(2)(b). 705 ILCS 405/5-805(2)(b) (West 2008). In effect, we treat the case like *People v. Clark*, 119 Ill. 2d 1 (1987). In *Clark*, the juvenile court transferred a case against a minor to the criminal court, and our supreme court found that the transfer hearing did not accord with the requirements of the Juvenile Court Act. The *Clark* court remanded the case to the juvenile court for a new transfer hearing. *Clark*, 119 Ill. 2d at 16-20.

¶21

Now that the amendment makes the automatic transfer provision from 2008 inapplicable to Patterson's case, the Juvenile Court Act requires a hearing before the transfer to the criminal court of a case of Class X felony charges against a 15 year old minor. 705 ILCS 405/5-805 (West 2008). Patterson had no such hearing. We vacate Patterson's sentence and remand the case to the juvenile court to permit the State to file a motion for transfer of the case to criminal court for sentencing. If the State files such a motion, the juvenile court should hold a transfer hearing in accord with the procedures and standards established in the 2008 version of the Juvenile Court Act of 1987. 705 ILCS 405/5-805(2)(b) (West 2008).

Regardless of whether the juvenile court or the criminal court sentences Patterson, the sentencing court should take into account the reasoning of *Roper v. Simmons*, 543 U.S. 551 (2005), *Graham v. Florida*, 560 U.S. 48 (2010), and *Miller v. Alabama*, 567 U.S. \_\_\_\_, 132 S. Ct. 2455 (2012), concerning punishment of juveniles.

### ¶22

#### CONCLUSION

¶ 23 The procedural amendment to section 5-130 of the Juvenile Court Act applies to cases on direct appeal, including the prosecution of Patterson. Under the amended Act, the juvenile court should have held a hearing under section 5-805 of the Act before transferring the prosecution of the case against Patterson to the criminal court. We vacate the sentence imposed on Patterson and remand to the juvenile court for the State to exercise its discretion to decide whether to file a petition to transfer the case to criminal court for sentencing.

¶ 24 Convictions affirmed; sentence vacated; cause remanded.