

STATE OF MICHIGAN
COURT OF APPEALS

JENNIFER PAUL,

Plaintiff-Appellant,

v

GLENDALE NEUROLOGICAL ASSOCIATES,
P.C.,

Defendant-Appellee.

FOR PUBLICATION
February 20, 2014
9:05 a.m.

No. 309927
Oakland Circuit Court
LC No. 2011-118814-CP

Before: SERVITTO, P.J., and MURRAY and BOONSTRA, JJ.

BOONSTRA, J.

Plaintiff appeals by right from the opinion and order of the trial court granting summary disposition to defendant, denying plaintiff's motion for summary disposition, and dismissing plaintiff's complaint with prejudice. We affirm.

I. PERTINENT FACTS AND PROCEDURAL HISTORY

Plaintiff allegedly injured her shoulder while at work, and filed a worker's compensation claim. Plaintiff's employer's insurance company, Citizens Management, Inc., hired Medicolegal Services, Inc. to obtain an independent medical evaluation (IME) of plaintiff. Plaintiff was examined by Dr. Joseph Salama, who had been contracted by Medicolegal Services. Salama ordered an MRI and an arthrogram of plaintiff's left shoulder, for which Medicolegal Services hired defendant.¹

Plaintiff received the MRI and arthrogram from defendant on January 4, 2011. A report was then sent to Salama, who authored his own report and sent it to Citizens. On February 8, 2011, plaintiff's counsel wrote to defendant and requested copies of plaintiff's "medical chart

¹ An arthrogram is "a test using X-rays to obtain a series of pictures of a joint after a contrast material (such as a dye, water, air, or a combination of these) has been injected into the joint." WebMD, "Arthrogram (Joint X-Ray)" <<http://arthritis.webmd.com/arthrogram-joint-x-ray>> (accessed January 7, 2014).

including office notes, diagnostic test results, consulting physician reports, correspondence, and related documents[.]” Defendant declined to send the requested records.

Plaintiff filed suit, alleging that defendant denied her access to records of those procedures in violation of the Medical Records Access Act (MRAA), MCL 333.26261 *et seq.*, and that this denial also constituted “an unfair, unconscionable, or deceptive method, act or practice in the conduct of trade or commerce” in violation of the Michigan Consumer Protection Act (MCPA), MCL 445.901 *et seq.* Defendant answered and denied that plaintiff was “a patient” because the services she received were “part of a legal evaluation pursuant to a [w]orker’s [c]ompensation claim she had filed” and she “signed a consent [form] acknowledging that she was not receiving medical care and that no physician-patient relationship was being formed.”

Both parties moved for summary disposition pursuant to MCR 2.116(C)(10). The trial court heard the motions on April 4, 2012. On April 6, 2012, the trial court entered a written opinion and order. It first found that plaintiff had standing to sue under the MRAA because her “allegations that she is a patient of [d]efendant and is entitled to access her records give her a substantial interest in the MRAA that confers standing.” It then found that the records plaintiff sought were not “medical records” as defined by the MRAA because plaintiff “present[ed] no evidence that [d]efendant performed any part of its evaluation, ordered the MRI, or created any medical records while caring for [p]laintiff’s health,”² and, therefore, plaintiff did not “demonstrate that she has a right to access the records. Thus, [d]efendant [was] entitled to summary disposition of [p]laintiff’s MRAA claim.” Finally, the trial court held that the MCPA did not apply to plaintiff’s claim because the independent medical examination was “requested and paid for by the worker’s compensation insurance carrier for the sole purpose of evaluating the merits of [p]laintiff’s worker’s compensation claim,” and, citing *Zine v Chrysler Corp*, 236 Mich App 261, 273; 600 NW2d 384 (1999), the MCPA does not apply to services purchased primarily for business or commercial, rather than personal, purposes. This appeal followed.

II. STANDARD OF REVIEW

We review a trial court’s decision on a motion for summary disposition de novo. *Anzaldua v Neogen Corp*, 292 Mich App 626, 629; 808 NW2d 804 (2011). We also review issues of statutory interpretation de novo. *In re Townsend Conservatorship*, 293 Mich App 182, 186; 809 NW2d 424 (2011).

A motion for summary disposition under MCR 2.116(C)(10) tests the factual sufficiency of the complaint. *Corley v Detroit Bd of Ed*, 470 Mich 274, 278; 681 NW2d 342 (2004). Summary disposition “is appropriate if there is no genuine issue of material fact and the moving party is entitled to judgment as a matter of law.” *McCoig Materials, LLC v Galui Const, Inc*,

² The MRAA defines “[m]edical record” as “information oral or recorded in any form or medium that pertains to a patient’s health care, medical history, diagnosis, prognosis, or medical condition and that is maintained by a health care provider or health facility in the process of caring for the patient’s health.” MCL 333.26263(i).

295 Mich App 684, 693; 818 NW2d 410 (2012). “A genuine issue of material fact exists when the record, giving the benefit of reasonable doubt to the opposing party, leaves open an issue upon which reasonable minds could differ.” *Bronson Methodist Hosp v Auto-Owners Ins Co*, 295 Mich App 431, 441; 814 NW2d 670 (2012). “This Court reviews the motion by considering the pleadings, admissions, and other evidence submitted by the parties in the light most favorable to the nonmoving party.” *Auto Club Group Ins Ass’n v Andrzejewski*, 292 Mich App 565, 569; 808 NW2d 537 (2011). “When a motion under [MCR 2.116(C)(10)] is made and supported as provided in this rule, an adverse party may not rest upon the mere allegations or denials of his or her pleading, but must . . . set forth specific facts showing that there is a genuine issue for trial.” MCR 2.116(G)(4); *Coblentz v City of Novi*, 475 Mich 558, 569; 719 NW2d 73 (2006).

“A court’s primary purpose in interpreting a statute is to ascertain and effectuate legislative intent.” *Michigan Ed Ass’n v Secretary of State (On Rehearing)*, 489 Mich 194, 217; 801 NW2d 35 (2011). “[T]his task begins by examining the language of the statute itself. The words of a statute provide the most reliable evidence of the Legislature’s intent.” *United States Fidelity Ins & Guaranty Co v Michigan Catastrophic Claims Ass’n*, 484 Mich 1, 13; 795 NW2d 101 (2009). “The words used by the Legislature are given their common and ordinary meaning. If the statutory language is unambiguous, we presume that the Legislature intended the meaning that it clearly expressed, and further construction is neither required nor permitted.” *Joseph v Auto Club Ins Ass’n*, 491 Mich 200, 206; 815 NW2d 412 (2012).

III. PLAINTIFF’S CLAIM UNDER THE MRAA

Plaintiff first argues that the trial court erred when it granted summary disposition in favor of defendant on the basis that the records plaintiff sought were not within the scope of the MRAA, and erred when it denied plaintiff’s motion for summary disposition. The MRAA provides in relevant part that “[e]xcept as otherwise provided by law or regulation, a patient or his or her authorized representative has the right to examine or obtain the patient’s medical record.” MCL 333.26265(1). A “patient” means “an individual who receives or has received health care from a health care provider or health facility.” MCL 333.26263(n). “Health care” means “any care, service, or procedure provided by a health care provider or health facility to diagnose, treat, or maintain a patient’s physical condition, or that affects the structure or a function of the human body.” MCL 333.26263(d). Finally, the MRAA defines “[m]edical record” as “information oral or recorded in any form or medium that pertains to a patient’s health care, medical history, diagnosis, prognosis, or medical condition and that is maintained by a health care provider or health facility in the process of caring for the patient’s health.” MCL 333.26263(i).

The trial court granted defendant’s motion for summary disposition under MCR 2.116(C)(10), reasoning that the records plaintiff sought were not “medical records” as defined by the MRAA because plaintiff “present[ed] no evidence that [d]efendant performed any part of its evaluation, ordered the MRI, or created any medical records while caring for [p]laintiff’s health,” and, therefore, plaintiff did not “demonstrate that she has a right to access the records. Thus, [d]efendant [was] entitled to summary disposition of [p]laintiff’s MRAA claim.” We agree.

An IME differs significantly from the typical interaction between a physician and patient. “In the particularized setting of an IME, the physician’s goal is to gather information for the examinee or a third party to use in employment or related financial decisions. It is not to provide a diagnosis or treatment of medical conditions.” *Dyer v Trachtman*, 470 Mich 45, 51; 679 NW2d 311 (2004). The relationship is a “limited” one that “does not involve the full panoply of the physician’s typical responsibilities to diagnose and treat the examinee for medical conditions.” *Id.* at 51. “The general duty of diagnosis and treatment is inappropriate in the IME setting given the purpose of the examination.” *Id.* at 52.

Plaintiff urges this Court to adopt a definition of the words “caring” and “care,” culled from a dictionary,³ that defines “caring” as “to give care,” and that further defines “care” as “responsibility,” “watchful attention,” and “charge, supervision.” Plaintiff further argues that adoption of these definitions necessarily results in finding that defendant was engaged “in the process of caring for [plaintiff’s] health” when plaintiff underwent the examinations at issue. We disagree, because we do not find “the process of caring for the patient’s health” to be consistent with the limited nature of a physician’s duty in an IME context. Our Supreme Court has stated that, in the context of an IME, a physician owes a “limited duty” to “exercise care consistent with his professional training and expertise so as not to cause physical harm by negligently conducting the examination.” *Dyer*, 470 Mich at 55.⁴ However, this duty does not constitute a duty to diagnose or treat an examinee’s medical conditions. *Id.* at 51. We decline to adopt plaintiff’s proposed definition of “caring for the patient’s health” as meaning, essentially, any situation where a patient, for whatever reason, undergoes an examination by a medical professional. Read in context, it is clear that this phrase refers to records maintained in the course of providing some sort of diagnostic or treatment service for the treatment and betterment of the patient. See *Bush v Shabahang*, 484 Mich 156, 167; 772 NW2d 272 (2009) (statutory language should be interpreted with regard to context).

³ Plaintiff states that this definition comes from “The Merriam-Webster Dictionary” but does not provide an edition number, date of publication, or page number.

⁴ Because the “limited physician-patient relationship” recognized by our Supreme Court in the IME context “requires that the examiner conduct the examination in such a way as not to cause harm,” it can result in a claim for medical malpractice. *Dyer*, 470 Mich at 53-54. We reject, however, as both hypothetical and incorrect, plaintiff’s suggestion that absent access to records under the MRAA, an IME patient will be precluded from bringing a medical malpractice cause of action. MCL 600.2912b(5) specifically affords to a medical malpractice claimant “access to all medical records related to the claim that are in the control of the health professional or health facility.” That access must be provided within 56 days after the claimant provides notice of intent to file a claim. *Id.* Thus, in the context of a medical malpractice action against an IME physician, a claimant is to be afforded access to the IME records prior to the time for filing a complaint for malpractice, and prior to the time by which claimant must file an expert witness’s affidavit of merit. MCL 600.2912d; see also *Ligons v Crittenton Hosp*, 285 Mich App 337, 349; 776 NW2d 361 (2009).

Plaintiff essentially asks this Court to interpret the statutory phrase “in the process of caring for the patient’s health” so broadly that it is difficult to conceive of a record maintained by a health care provider or health facility that would not fit this criterion. Such an interpretation would essentially render portions of the statute nugatory, in contravention of our principles of statutory construction. *Apsey v Memorial Hosp*, 477 Mich 120, 131; 730 NW2d 695 (2007) (“A statute is rendered nugatory when an interpretation fails to give it meaning or effect.”). We therefore hold that records of defendant’s examination of plaintiff for the benefit of a third party were not produced “in the process of caring for the patient’s health,” within the meaning of the MRAA, and that the MRAA does not apply in the context of an IME.

We affirm the trial court’s grant of summary disposition to defendant regarding plaintiff’s MRAA claim.

IV. PLAINTIFF’S CLAIM UNDER THE MCPA

Plaintiff also argues that the trial court erred when it granted summary disposition in favor of defendant with respect to plaintiff’s claims under the MCPA. We disagree.

The MCPA provides, in pertinent part, that “[u]nfair, unconscionable, or deceptive methods, acts, or practices in the conduct of trade or commerce are unlawful,” and sets forth several examples of proscribed activity. MCL 445.903(1); *Liss v Lewiston-Richards, Inc*, 478 Mich 203, 208; 732 NW2d 514 (2007). “Trade or commerce” is defined as “the conduct of a business providing goods, property, or service primarily for personal, family, or household purposes and includes the advertising, solicitation, offering for sale or rent, sale, lease, or distribution of a service or property, tangible or intangible, real, personal, or mixed, or any other article, or a business opportunity.” MCL 445.902(1)(g); *Liss*, 478 Mich at 223 n 7. “Except in a class action, a person who suffers loss as a result of a violation of this act may bring an action to recover actual damages or \$250.00, whichever is greater, together with reasonable attorneys’ fees.” MCL 445.911(2); *Gorman v American Honda Motor Co, Inc*, 302 Mich App 113, ___; ___ NW2d ___ (2013), slip op at 9 n 5.

“Given the variety of deceptive practices prohibited by the act, a single act may violate more than one subsection.” *Zine*, 236 Mich App at 282. “[O]nly allegations of unfair, unconscionable, or deceptive methods, acts, or practices in the conduct of the entrepreneurial, commercial, or business aspect of a physician’s practice may be brought under the MCPA.” *Tipton v William Beaumont Hosp*, 266 Mich App 27, 32; 697 NW2d 552 (2005). In contrast, “[a]llegations that concern misconduct in the actual performance of medical services or the actual practice of medicine would be improper.” *Id.* at 33 (quoting *Nelson v Ho*, 222 Mich App 74, 83-84; 564 NW2d 482 (1997)).

Plaintiff’s complaint alleged that defendant violated MCL 445.903(1)(n),⁵ (1)(s),⁶ and (1)(bb)⁷ when it “falsely told [p]laintiff that she had no right to obtain her medical records

⁵ “Causing a probability of confusion or of misunderstanding as to the legal rights, obligations, or remedies of a party to a transaction.” MCL 445.903(1)(n).

because she was not ‘a patient.’” The trial court found that the MCPA did not apply to plaintiff’s claim, adopting defendant’s argument that its actions were not “trade or commerce” as defined by the act:

Defendant’s [independent medical examination] was requested and paid for by the worker’s compensation insurance carrier for the sole purpose of evaluating the merits of Plaintiff’s worker’s compensation claim. Plaintiff did not contract for or purchase Defendant’s services, and there is no evidence that Defendant provided services to Plaintiff for personal purposes. Because Plaintiff fails to establish a question of fact whether Defendant performed the [independent medical examination] for business purposes, the MCPA does not apply and Defendant is entitled to summary disposition of Plaintiff’s MCPA claim.

Claims under the MCPA require an inquiry into the quality of the specific transaction at issue to determine whether the alleged violator provided “goods, property, or service primarily for personal, family, or household purposes.” MCL 445.902(1)(g); *Liss*, 478 Mich at 223 n 7; *Noggles v Battle Creek Wrecking, Inc*, 153 Mich App 363, 367-368; 395 NW2d 322 (1986). Plaintiff’s cursory argument that the tests performed were “personal” is unpersuasive, because it relies upon the conclusion that such tests were “diagnostic” in nature. The arthrogram and MRI performed on plaintiff were done at the request of Citizens Management for the business purpose of evaluating plaintiff’s workers’ compensation claim. Thus, the MCPA did not apply to those procedures because they were not undertaken ‘primarily for personal, family, or household purposes,’ MCL 445.902(1)(g), and the trial court appropriately granted summary disposition in favor of defendant on plaintiff’s claims under the MCPA.

Affirmed.

/s/ Mark T. Boonstra
/s/ Christopher M. Murray

⁶ “Failing to reveal a material fact, the omission of which tends to mislead or deceive the consumer, and which fact could not reasonably be known by the consumer.” MCL 445.903(1)(s).

⁷ “Making a representation of fact or statement of fact material to the transaction such that a person reasonably believes the represented or suggested state of affairs to be other than it actually is.” MCL 445.903(1)(bb).