FILED: July 20, 2011

IN THE COURT OF APPEALS OF THE STATE OF OREGON

STATE OF OREGON, Plaintiff-Respondent,

v.

CESAR DAVID COLMENARES-CHAVEZ, aka Juan Hernandezgarcia, aka Juan Hernandez-Garcia, aka Cesar Colmenares-Chavez, aka Cesar David Colmemares-Chavez, Defendant-Appellant.

Multnomah County Circuit Court 071034868

A139539

Kathleen M. Dailey, Judge.

Argued and submitted on December 16, 2010.

Meredith Allen, Senior Deputy Public Defender, argued the cause for appellant. With her on the briefs was Peter Gartlan, Chief Defender, Appellate Division, Office of Public Defense Services.

Janet A. Klapstein, Assistant Attorney General, argued the cause for respondent. On the brief were John R. Kroger, Attorney General, Jerome Lidz, Solicitor General, and Samuel A. Kubernick, Assistant Attorney General.

Before Schuman, Presiding Judge, and Wollheim, Judge, and Rosenblum, Senior Judge.

WOLLHEIM, J.

Convictions on counts 2 and 3 reversed and remanded with instructions to enter a judgment of conviction for one count of second-degree robbery reflecting that defendant was found guilty on both theories; remanded for resentencing; otherwise affirmed.

WOLLHEIM, J.

2	A jury found defendant guilty of two counts of robbery in the second
3	degree, ORS 164.405(1), and one count of robbery in the first degree, ORS 164.415. On
4	appeal, defendant argues that the trial court erred in (1) failing to merge defendant's
5	guilty verdicts for the two counts of robbery in the second degree and (2) failing to merge
6	defendant's guilty verdict for robbery in the first degree with the second-degree robbery
7	verdicts. Based on State v. White, 346 Or 275, 211 P3d 248 (2009), we agree with
8	defendant that the trial court erred as a matter of law in failing to merge his guilty
9	verdicts for second-degree robbery, but conclude that the trial court correctly declined to
10	merge the verdict for first-degree robbery with the second-degree robbery verdicts.
11	The facts are undisputed. One afternoon in July 2007, Alejandro, a gas
12	station employee, was working alone at a gas station in Gresham. Defendant drove up to
13	the gas station with three other people in the car. Alejandro recognized defendant
14	because their sisters are friends. Defendant told Alejandro that he was there to "give
15	[him] a lesson" but he would go away if Alejandro gave him \$10 worth of gas. Alejandro
16	gave defendant \$10 of gas, and defendant drove away. Alejandro paid for the gas out of
17	his tips.
18	A few hours later, defendant returned to the gas station with the same three
19	passengers in his car. Defendant told Alejandro to go to the cashier's station and give
20	him \$40 and he would leave; defendant said that Alejandro could call the police because
21	he would have defendant on the surveillance tape. Alejandro walked to the cashier's
22	station, and defendant followed. Then defendant pulled a gun out of his pocket, pointed

1 the gun at Alejandro, and told Alejandro to give him the rest of the money. Another

2 person from the car came in and pushed Alejandro against the wall of the cashier's

3 station. Alejandro opened the cash register. Defendant and the other person took all of

4 the money out of the register, approximately \$900. After the two men left, Alejandro

5 called the police. Alejandro later identified defendant in a photo lineup.

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Defendant was charged with one count of robbery in the first degree and
two counts of robbery in the second degree. During trial, the state argued that both
counts of second-degree robbery and the count of first-degree robbery were based on the
same incident: the confrontation between defendant and Alejandro when defendant went
to the gas station the second time. A jury found defendant guilty as charged. At
sentencing, the court stated that defendant's verdicts would merge. However, the
judgment states that defendant's verdicts do not merge.

On appeal, defendant raises two assignments of error, arguing that the trial court erred in (1) failing to merge defendant's guilty verdicts for the two counts of robbery in the second degree and (2) failing to merge defendant's guilty verdict for robbery in the first degree with the second-degree robbery verdicts. We review the trial court's rulings on merger issues for errors of law. *State v. Sanders*, 185 Or App 125, 129, 57 P3d 963 (2002), *modified on recons*, 189 Or App 107, 74 P3d 1105 (2003), *rev den*,

There has been some confusion in our opinions about whether a defendant's "verdicts" merge or a defendant's "convictions" merge. The Supreme Court addressed this in *White*, 346 Or at 279 n 4, saying, "[A] trial court applies the [anti-]merger statute to guilty verdicts on particular counts, rather than to 'convictions." However, sentences never merge; sentences are either concurrent or consecutive.

- 1 336 Or 657 (2004).
- 2 We first address defendant's argument that the trial court erred in failing to
- 3 merge his guilty verdicts for the two counts of second-degree robbery. The anti-merger
- 4 statute, ORS 161.067(1), provides:
- "When the same conduct or criminal episode violates two or more
 statutory provisions and each provision requires proof of an element that
 the others do not, there are as many separately punishable offenses as there
 are separate statutory violations."
- 9 Under ORS 164.405(1), a person commits robbery in the second degree if the person
- 10 commits robbery as defined by ORS 164.395² and the person "(a) [r]epresents by word or
- conduct that the person is armed with what purports to be a dangerous or deadly
- weapon;" or "(b) [i]s aided by another person actually present."
- In this case, defendant was convicted of two separate counts of second-
- 14 degree robbery for the same conduct toward the same victim, one for being armed with a
- 15 weapon--the gun--and the other for being aided by another person actually present--the
- other person who came into the cashier's station. The allegations against defendant were

- "(1) A person commits the crime of robbery in the third degree if in the course of committing or attempting to commit theft or unauthorized use of a vehicle as defined in ORS 164.135 the person uses or threatens the immediate use of physical force upon another person with the intent of:
- "(a) Preventing or overcoming resistance to the taking of the property or to retention thereof immediately after the taking; or
- "(b) Compelling the owner of such property or another person to deliver the property or to engage in other conduct which might aid in the commission of the theft or unauthorized use of a vehicle."

ORS 164.395 provides, in part:

- 1 that he committed theft while being aided by another person actually present (Count 2)
- 2 and that he committed theft while representing that he was armed with what purported to
- 3 be a deadly and dangerous weapon (Count 3). In White, the Supreme Court concluded
- 4 that second-degree robbery verdicts under these circumstances should merge. 346 Or at
- 5 291. The court explained:
- 6 "[T]he legislature created a single crime of second-degree robbery. The
- 7 fact that the alternative circumstances that elevate third-degree robbery to
- 8 second-degree robbery appear in two different paragraphs in ORS
- 9 164.405(1) does not make them (or the crime of second-degree robbery)
- 10 'two * * * statutory provisions.' Accordingly, ORS 161.067(1) does not
- apply here, and the trial court erred in failing to merge defendant's guilty
- verdicts on the two counts of second-degree robbery."
- 13 *Id.* at 291. Accordingly, we conclude that the trial court erred in failing to merge
- defendant's guilty verdicts on the two counts of second-degree robbery in this case.
- For the first time on appeal, the state makes an alternative argument--that
- defendant committed two separately punishable crimes of second-degree robbery against
- 17 the same victim on the same day. The state contends that the record supports a
- 18 conviction for second-degree robbery for defendant's actions the first time he stopped at
- 19 the gas station and demanded \$10 worth of gas. According to the state, because
- 20 defendant had three others in the car with him at the time, defendant committed robbery
- 21 while "aided by another person actually present," ORS 164.405(1)(b). In addition,
- 22 relying on ORS 161.067(3),³ the state asserts that there was a "sufficient pause" between

³ ORS 161.067(3) provides, in part:

[&]quot;When the same conduct or criminal episode violates only one statutory provision and involves only one victim, but nevertheless involves

1 the violations "to afford the defendant an opportunity to renounce the criminal intent."

2 The state cannot raise this new theory for the first time on appeal. As the

3 Supreme Court stated in <u>Outdoor Media Dimensions Inc. v. State of Oregon</u>, 331 Or 634,

4 660, 20 P3d 180 (2001),

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"even if the record contains evidence sufficient to support an alternative basis for affirmance, if the losing party might have created a *different* record below had the prevailing party raised that issue, and that record could affect the disposition of the issue, then we will not consider the alternative basis for affirmance."

10 (Emphasis in original.) At trial, the state argued that both counts of second-degree 11 robbery were based on the same incident: the confrontation between defendant and 12 Alejandro when defendant returned to the gas station. Because the record might have 13 developed differently had the state raised its theory below, we decline the state's 14 invitation to affirm on alternative grounds and conclude that defendant's guilty verdicts

on the two counts of second-degree robbery merge.

We next turn to defendant's argument that the trial court erred in failing to merge the two second-degree robbery verdicts with the first-degree robbery verdict.

For a single criminal act to give rise to more than one statutory violation under ORS 161.067(1), "three requirements must be satisfied: (1) defendant must have engaged in acts that are 'the same criminal conduct or episode'; (2) defendant's acts must have

repeated violations of the same statutory provision against the same victim, there are as many separately punishable offenses as there are violations, except that each violation, to be separately punishable under this subsection, must be separated from other such violations by a sufficient pause in the defendant's criminal conduct to afford the defendant an opportunity to renounce the criminal intent."

- violated two or more 'statutory provisions'; and (3) each 'statutory provision' must require
- 2 'proof of an element that the others do not." State v. Parkins, 346 Or 333, 348, 211 P3d
- 3 262 (2009) (quoting *State v. Crotsley*, 308 Or 272, 779 P2d 600 (1989)). Defendant
- 4 asserts that this case does not meet the second requirement: that his acts violated two or
- 5 more statutory provisions. Defendant argues that the statutes defining the different
- 6 degrees of robbery are all "directed at the same legislative concern: punishing offenders
- 7 according to the likelihood that violence will occur as a consequence of the means the
- 8 offender employs to take property that belongs to another." Consequently, defendant
- 9 asserts that the legislature intended to define a single crime of robbery when it enacted
- 10 the statutes, and we should consider them the same statute for the purposes of merger.
- 11 We disagree.
- 12 Although the issue in *White* was whether the verdicts for two second-
- degree robberies should merge, not whether the statute governing first-degree robbery,
- ORS 164.415, and the statute governing second-degree robbery, ORS 164.405(1), are
- separate "statutory provisions" for purposes of ORS 161.067(1), the Supreme Court's
- analysis in *White* indirectly answers the latter question. In *White*, the Supreme Court
- stated, "[T]o determine whether an action violates two statutory provisions, we must
- determine whether the legislature intended to create two crimes or only one." 346 Or at
- 19 280. In determining whether the second-degree robbery verdicts should merge, the court
- 20 described the statutory scheme as follows:
- "ORS 164.405 is one of three statutes that, together, make up the statutory
- scheme respecting robbery. In those statutes, the legislature has provided
- 23 an incrementally graded set of standards for determining the seriousness of

different forms of robbery and has divided those standards into three 1 2 groups--third-degree robbery, second-degree robbery, and first-degree 3 robbery. Third-degree robbery is the least serious and describes the basic crime of robbery: taking or attempting to take property from another, while 4 preventing or overcoming the victim's resistance to giving up the property 5 by using or threatening to use physical force. ORS 164.395. The crimes of 6 second- and first-degree robbery then use third-degree robbery as a 7 8 foundation and build on its elements by identifying additional elements 9 that, if present, make the crime a more serious one. The highest level of robbery, first-degree robbery, is a robbery in which the robber is armed or 10 actually causes or attempts to cause the victim serious injury. ORS 11 12 164.415." 13 *Id.* at 285-86 (footnotes omitted). The court noted that second-degree robbery is a Class B felony, first-degree robbery is a Class A felony, and third-degree robbery is a Class C 14 felony, and stated, "The legislature's creation of the crime of second-degree robbery thus 15 reflects concern with the increased threat of violence from a purported weapon (even if it 16 17 is a threat that the robber cannot make good on) and from the presence of an accomplice 18 with the robber." *Id.* at 286. In addition, the court stated: 19 "[T]he legislature did, in fact, distinguish between the different degrees of 20 robbery, creating an ascending scale of different degrees of one crime, each of which it classified as a different level of felony and enacted as a different 21 22 statute. Identifying a common legislative concern in the two means of 23 proving second-degree robbery--which is similar to the concerns underlying all the robbery statutes, but greater in magnitude than third-degree robbery 24 and less than first-degree robbery--does not eliminate the statutory 25 distinctions, but, rather, gives effect to the legislature's statutory structure." 26 27 *Id.* at 289 n 13 (emphasis in original). Thus, although the court described the robbery statutes as creating different degrees of "one crime," the court's analysis demonstrates 28 29 that it interpreted each degree of robbery as a distinct statutory provision while viewing the two means of proving second-degree robbery as one statutory provision. 30

The court has not retreated from its analysis in *White*. For example, in

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- describing *White*, the court stated in *Parkins*, "It is difficult to see how, when the
- 2 legislature sets out the offenses in separate sections, defines them as different degrees of
- 3 an incrementally graded offense, and assigns them different punishments, those can be
- 4 anything other than separate provisions for purposes of the anti-merger statute." 346 Or
- 5 at 354-55; see also <u>State v. Blake</u>, 348 Or 95, 98, 228 P3d 560 (2010) (stating the same
- 6 principle). First-degree robbery and second-degree robbery are in separate statutory
- 7 sections, as different degrees of incrementally graded offenses, and have different
- 8 punishments. Thus, they are different statutory provisions for purposes of ORS
- 9 161.067(1). Accordingly, we conclude that the trial court did not err in failing to merge
- 10 defendant's guilty verdict for first-degree robbery with his guilty verdicts for second-
- 11 degree robbery.
- 12 Convictions on counts 2 and 3 reversed and remanded with instructions to
- enter a judgment of conviction for one count of second-degree robbery reflecting that
- 14 defendant was found guilty on both theories; remanded for resentencing; otherwise
- 15 affirmed.