

Filed: August 16, 2012

IN THE SUPREME COURT OF THE STATE OF OREGON

STATE OF OREGON,

Plaintiff-Appellant,

v.

TERRY LAVELL HAYNES,  
aka Terry Levell Haynes,

Defendant-Respondent.

(CC 110531963; SC S060103)

En Banc

On appeal from an order of the Multnomah County Circuit Court under ORS 138.060(2) and ORAP 12.07.

Argued and submitted June 12, 2012.

Jennifer S. Lloyd, Attorney-in-Charge, Criminal Appeals, Salem, argued the cause for plaintiff-appellant. With her on the briefs were John R. Kroger, Attorney General, and Anna M. Joyce, Solicitor General.

Peter Gartlan, Chief Defender, Office of Public Defense Services, Salem, argued the cause and filed the brief for defendant-respondent.

DE MUNIZ, J.

The orders of the circuit court are affirmed, and the case is remanded to the circuit court for further proceedings.

1 DE MUNIZ, J.

2 Defendant is charged with murder and manslaughter. Defendant filed two  
3 pretrial motions to exclude evidence, the first seeking to exclude evidence of five  
4 allegations of prior bad acts, and the second seeking to exclude defendant's interview  
5 with the police. The trial court granted in its entirety defendant's motion to exclude  
6 defendant's prior bad acts, and granted in part and denied in part defendant's motion to  
7 exclude the police interview. The state seeks direct review of both rulings pursuant to  
8 ORS 138.060(2)(a).<sup>1</sup> On review, the state seeks to reverse the trial court's rulings to the  
9 extent that the trial court granted defendant's motion to exclude with respect to one of the  
10 five alleged instances of prior conduct and granted in part defendant's motion to exclude  
11 the interview. Defendant, for his part, does not challenge the trial court's order denying  
12 in part his motion to exclude the interview, but urges this court to affirm the trial court's  
13 orders in all respects. For the reasons stated below, we conclude that the state did not  
14 adequately preserve in the trial court any of the arguments that it now advances on appeal  
15 with regard to the admissibility of the prior bad acts. In addition, we decline to consider

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<sup>1</sup> ORS 138.060(2)(a) provides, in part:

"(2) \* \* \* [W]hen the state chooses to appeal from an order listed in paragraph (a) or (b) of this subsection, the state shall take the appeal to the Supreme Court if the defendant is charged with murder or aggravated murder. The orders to which this subsection applies are:

"(a) An order made prior to trial suppressing evidence[.]"

1 the state's argument with regard to the partial exclusion of the interview because it  
2 assigns error to an order that the trial court did not make. We affirm the trial court's  
3 orders and remand the case to the trial court for further proceedings.

#### 4 I. FACTS

5 Defendant is charged with committing a murder that is alleged to have  
6 occurred on the evening of May 6, 1994. The victim, a 62-year-old man who lived alone  
7 in a house on the corner of 7th and Skidmore in Northeast Portland, was discovered dead  
8 in his home on the morning of May 8, 1994. The victim's home was in disarray. A table  
9 had been overturned, a number of household items were broken and strewn throughout  
10 the house, and the refrigerator had been pushed away from the wall and left standing in  
11 the middle of the kitchen. The victim's wallet, containing cash, was found submerged in  
12 the toilet tank. The medical examiner determined that the victim's death was caused by  
13 excessive blood loss as a result of 31 stab wounds, 29 of which were located on the  
14 victim's forearms and characterized as "defensive" wounds. The medical examiner  
15 opined that none of the wounds would have been independently fatal, but for the severe  
16 loss of blood, and also noted that the victim's blood alcohol level was .49 percent at the  
17 time of his death.

18 The police conducted a search of the area surrounding the victim's home  
19 and discovered a bloody rag in an adjacent neighbor's shrubbery and a pair of  
20 bloodstained khaki pants, canvas shoes, and men's underwear behind a shrub in the yard  
21 of a home across the street. The police also interviewed several friends and  
22 acquaintances of the victim, who described the victim as a consistently heavy drinker and

1 prone to inviting strangers, prostitutes, and street people into his home. However, the  
2 police were unable to identify any suspects, and the case went cold.

3 In 2010, a police cold case unit sent the items of clothing that had been  
4 found near the crime scene to the Oregon State Police Crime Laboratory for DNA testing.  
5 Forensic scientists at the crime laboratory reported that DNA from the bloodstains on the  
6 khaki pants and canvas shoes matched the victim's DNA profile, and that a second DNA  
7 profile found on the waistband of the khaki pants and on the men's underwear matched  
8 defendant's DNA profile. Defendant, who was known to the police from previous  
9 encounters, was located and brought to the police station for questioning.

10 The interview began conversationally. A cold case officer and another  
11 officer who apparently was familiar with defendant from previous encounters spoke  
12 casually with defendant about his family, where he had gone to high school, where he  
13 had been living recently, and a recent run-in that defendant had had with the police.  
14 After some time, defendant asked the officers to tell him why he was there. The officers  
15 then read defendant his *Miranda* rights and began questioning him about the time period  
16 surrounding the murder. The officers asked defendant what he had been doing around  
17 1994. Defendant responded that he could not remember, but he thought he was either in  
18 prison or in Job Corps. The officers asked defendant if he remembered knowing anyone  
19 named "Raymond" (the victim's name) living in Northeast Portland back in 1994.  
20 Defendant responded that he knew a "light skinned dude" named Raymond "from  
21 treatment," but could not recall knowing anyone named Raymond who had lived in  
22 Northeast Portland. When asked generally whether he ever spent time around the

1 Skidmore area in 1994, defendant volunteered that his sister lives on 7th and Skidmore,  
2 but denied knowing or remembering any neighbor of his sister's named Raymond.  
3 Defendant told the officers that he had been working the streets and drinking and using  
4 drugs back then, and that he really could not remember much from that time period.

5           The officers then asked defendant (who apparently worked as a cross-  
6 dressing prostitute) whether he ever had had any violent encounters with "johns" or  
7 whether any "johns" ever had attacked him in a house. Defendant related various  
8 encounters in which he had been attacked by "johns," but again denied any memory of  
9 anything from 1994, anyone named Raymond, or anything happening around Skidmore  
10 Street. When the officers told defendant that there had been a murder and that his DNA  
11 was "coming up" at the murder scene, defendant responded, "You know what, I'm a  
12 hooker, I, I, I may have... \* \* \* They could, I may have dated the person, I don't, you  
13 know what I'm saying, but... \* \* \* I'm not into hurting people. \* \* \* You know, I've, I've  
14 had to fight to get away from people trying to harm me, but, you know, hurting people or  
15 huh-uh." The officers then asked defendant whether he remembered ever getting arrested  
16 with an old man in Vancouver, Washington.<sup>2</sup> Defendant responded, "Yeah, the guy tried  
17 to take me out in the woods and he had a gun. \* \* \* I do remember that, the guy had a

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<sup>2</sup> A police report indicated that defendant had been pulled over and cited for driving under the influence of intoxicants in Vancouver, Washington, in the early morning hours of May 7, 1994 -- the same night that the police suspected the murder had taken place. The car that defendant had been driving that night belonged to an elderly man who was riding in the passenger seat. That incident is the subject of the state's first assignment of error, and is discussed in further detail below.

1 gun he tried to take me out in the woods and the reason why I remember that is because  
2 they tried to give me 25 years over there. \* \* \* I got a DUI, uh, and I remember from that  
3 is that I had, I got, I ended up with a DUI and that's it, but anyway, I'm, you know..."  
4 Defendant then ended the interview by invoking his right to counsel.

## 5 II. PRIOR BAD ACTS

### 6 A. *Procedural Background*

7 In his motion to exclude prior bad acts, defendant moved to exclude from  
8 evidence five allegations of robbery that he thought that the state would seek to admit at  
9 defendant's trial. Defendant described the five incidents as follows:<sup>3</sup>

10 "In the robbery charge from 09/06/1994, [defendant] was accused of  
11 walking into a man's office, grabbing a wallet off his desk, punching the  
12 victim, and running away. [Defendant] was acquitted by a jury of this  
13 charge.

14 "In the robbery case from 05/06/1994, [defendant] was suspected of  
15 entering a man's car while it was stopped at a stop light, threatening the  
16 man with violence, and stealing his wallet. Additionally, [defendant] was  
17 suspected of forcing the victim to drive to his home. There, [defendant]  
18 supposedly met up with an accomplice. [Defendant] and the accomplice  
19 were accused of trying to take more money from the victim and then fleeing  
20 with the victim when seen by others.

21 "In the robbery charge from 07/11/1997, [defendant] was alleged to  
22 have forced his way into a stopped car. [Defendant] was accused of  
23 threatening the driver, stealing his wallet, and running away. The charges  
24 against [defendant] were presented to a grand jury and it was not a true bill.

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<sup>3</sup> On appeal, the state assigns error to only the part of the trial court's order excluding evidence of the May 6, 1994 incident. However, we include a brief discussion here of all five alleged prior bad acts initially at issue to provide context for the parties' arguments in the trial court.

1 "In the robbery case from 03/28/1998, [defendant] was accused of  
2 helping a prostitute rob a client at a motel. [Defendant] was accused of  
3 tackling the client and taking his credit card off of the floor. The charges  
4 against [defendant] were dismissed.

5 "Lastly, in the robbery case from 08/29/07, [defendant] was accused  
6 of entering a stopped car, threatening the driver with a weapon which the  
7 driver never actually saw, hitting the driver, taking his car keys, and  
8 fleeing. [Defendant] was acquitted by a jury on this charge."

9 Defendant argued that evidence of those five incidents should be excluded as

10 "inadmissible character evidence" under OEC 404(3), which provides that:

11 "Evidence of other crimes, wrongs or acts is not admissible to prove  
12 the character of a person in order to show that the person acted in  
13 conformity therewith. It may, however, be admissible for other purposes,  
14 such as proof of motive, opportunity, intent, preparation, plan, knowledge,  
15 identity, or absence of mistake or accident."

16 In support of that argument, defendant identified three nonpropensity purposes that,  
17 according to defendant, could *not* be used to justify admission of the prior bad acts --  
18 identity, intent, and motive.

19 The state filed a brief in response that, while styled as a "Memorandum of  
20 Law in Response to Defendant's Motion to Exclude Prior Acts," also purported  
21 affirmatively to move the trial court for an order "allowing the prosecution to admit  
22 evidence of five incidents during which [defendant] took or attempted to take the  
23 property of another person by force." The state substituted two different incidents, both  
24 from 1991, for the first and fourth incidents described in defendant's motion.<sup>4</sup> The state

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<sup>4</sup> In each of the two substituted incidents, a robbery charge against defendant had been dismissed pursuant to a plea agreement in which defendant had pled guilty to a lesser burglary charge.

1 described the remaining three incidents substantially as defendant had described them in  
2 his motion. The state's summary of the May 6-7, 1994 incident was as follows:

3 "In the robbery case from May 6, 1994, the defendant was suspected of  
4 entering a man's car while it was stopped at a stop light, threatening the  
5 man with violence, and stealing his wallet. Additionally, defendant was  
6 suspected of forcing the victim to drive to his home. There, defendant  
7 supposedly met up with an accomplice. The defendant and the accomplice  
8 were accused of trying to take more money from the victim and then fleeing  
9 with the victim when seen by others."

10 Later in its trial brief, as part of a narrative recitation of the facts underlying  
11 the current charged offense, and under the representation that, "[t]he State's evidence in  
12 the present case can be summarized as follows," the state noted that defendant had been  
13 arrested in Vancouver, Washington, on May 7, 1994, at 1:05 a.m., in the company of an  
14 elderly man named Early. In that narrative, the state noted that, during that incident,  
15 defendant

16 "was dressed in a woman's 'jumper' style dress, and he was not wearing  
17 underwear, that he had miscellaneous papers, foam, and shrubbery stuffed  
18 into a t-shirt he was wearing under the dress in an apparent attempt to  
19 appear to have women's breasts. \* \* \* [S]ome of the papers stuffed into  
20 [defendant's] t-shirt were registration papers for the vehicle (registered to \*  
21 \* \* Early) and bank documents pertaining to Early's bank accounts. [The  
22 officer] report[ed] that when she asked [defendant] where he had been  
23 driving from, [defendant] responded 'Portland.' [The officer] report[ed] that  
24 when she asked [defendant] about \* \* \* Early's accusation that [defendant]  
25 had kidnapped Early, [defendant] denied this, but stated that he had met \* \*  
26 \* Early in a Portland, Oregon park and later stated that he had met \* \* \*  
27 Early in a bar, and that Early had been teaching [defendant] to drive."

28 However, the state made no indication in its trial court brief that that incident was in any  
29 way related to any of the five "prior bad acts" that the state had identified earlier in the  
30 brief. Rather, the state's earlier description of the incident that it sought to admit alleged



1 that the incident had occurred on a different date than the incident described in the factual  
2 narrative (May 6, 1994, rather than May 7, 1994), and made no mention of the facts that  
3 the arrest had occurred in Vancouver, that defendant had been wearing women's clothing  
4 stuffed with found objects, or that defendant had not been wearing underwear. The two  
5 descriptions differed so substantially that the trial court may not even have understood, at  
6 that point, that the incidents were one and the same.

7           The state made two arguments in its trial court brief. First, the state argued  
8 that all five prior bad acts were admissible to show defendant's intent. The state argued  
9 that "[t]o determine relevance to *intent*, the question is whether the jury could infer that,  
10 because the defendant acted with a certain intent in a different act, he acted with the same  
11 intent in the present act." (Emphasis in original.) The state attempted to support that  
12 argument solely by demonstrating the similarity of the prior conduct with the charged  
13 conduct. The only specific mention the state made of any of the facts relating to the May  
14 6-7, 1994 incident was to argue, pursuant to the third step of the test described in *State v.*  
15 *Johns*, 301 Or 535, 725 P2d 312 (1986), that the victims in each prior incident were "in  
16 the same class as the victim in the present case," because "[s]everal [of the victims] were  
17 particularly vulnerable. Early was 71 years old when he was victimized by [defendant].  
18 [A victim in a separate incident] has developmental delays. The present victim was a  
19 late-stage alcoholic and his blood-alcohol content was .49%."

20           The second and final argument that the state made in its trial brief was that  
21 "[t]he prior/subsequent acts are admissible to show the defendant's motive." The state's  
22 argument in support of that contention consisted of the following two sentences:

1 "As the defendant points out in his memorandum, the court must  
2 undertake an analysis similar to that described above [with regard to the  
3 *Johns* test for intent], to determine if the prior/subsequent acts are  
4 admissible to show motive. The defendant also (correctly) points out that,  
5 for the purpose of motive, the physical elements between the two crimes  
6 need not be similar to be admitted."

7 The state made no attempt to identify any rationale under which the facts of any of the  
8 identified bad acts would be logically relevant to prove motive.

9 At the suppression hearing, the state conceded that its arguments with  
10 regard to intent "don't have much merit." The state went on, however, to argue that the  
11 prior bad acts should be admitted for two reasons: first, as to all five prior bad acts, to  
12 prove motive,<sup>5</sup> and second, with regard to only the May 6-7, 1994 incident, to show  
13 defendant's "continuing course of conduct." Although that second argument had not been  
14 raised or mentioned in the state's trial court brief, the state contended at the suppression  
15 hearing that its position was now "primarily" that the May 6-7, 1994 incident was  
16 admissible not as a prior bad act but as evidence of defendant's "continuing course of  
17 conduct" on the night of the victim's death:

18 "[I]t's the State's position primarily that that incident is admissible not  
19 because it's a prior bad act as such, but because it's part of a continuing  
20 course of conduct of that evening. You know, this homicide, as the [c]ourt  
21 will recall, there are some questions about the exact time of the death.

22 " \* \* \* \* \*

23 " \* \* \* [T]here is a lot of evidence in that scenario that has to do with

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<sup>5</sup> It appears that the state since has abandoned its motive theory of relevance, as it does not advance any motive argument in this court. We thus have no need to and do not further discuss the basis of that argument here.

1 what the defendant did immediately after, in the State's theory, causing the  
2 death of [the victim].

3 "So it's also evidence of his flight from the State of Oregon, of his  
4 continuing course of conduct, which is, the State's theory is that this was a  
5 robbery at [the victim's] house, and then there was also this taking of Mr.  
6 Early across the state line. And we've got evidence of conduct at Mr.  
7 Early's care home and, you know, some physical altercation there.

8 "There was a question to [defendant] in -- at the Vancouver Police  
9 Department about the kidnapping and about the circumstances through  
10 which he came into contact with Mr. Early. Yes, it's a prior bad act -- or I  
11 guess technically a subsequent bad act to the homicide. But my position is  
12 that it's -- that the State's not resting its theory of admissibility of that  
13 particular incident solely on a *Johns*-type analysis --

14 "\* \* \* \* \*

15 "-- because it's part of a continuing course of conduct in the State's  
16 position."

17 Those statements marked the first time that the state noted any relation between the first  
18 "prior bad act" that it sought to admit and the Vancouver incident described in its brief  
19 involving Early, as well as the first time that the state made any argument with regard to  
20 the May 6-7, 1994, incident in particular, separately from the other four alleged prior bad  
21 acts. Those statements also comprised the state's sole explanation of its new "continuing  
22 course of conduct" theory. Other than the remarks set forth above, the state made no  
23 attempt to discuss or explain that theory of admissibility or to apply it to the facts of the  
24 case.

25 In response, defendant's counsel noted the state's concession with regard to  
26 its intent argument, and focused his arguments primarily on addressing the state's  
27 argument on motive. The sole remarks that defendant's counsel made regarding the  
28 state's new "continuing course of conduct" theory conceded that that theory might support

1 the admission of certain isolated facts relating to that incident, but contended that it could  
2 not support the admission of the entire episode -- including the uncharged allegations of  
3 robbery, carjacking, and kidnapping -- as a prior bad act:

4 "And then the last thing I wanted to say is about on the argument of  
5 continuing course of conduct. Again, it's just -- the problem with it is you -  
6 - I guess I would concede this. I think that they would be able to bring in  
7 witnesses that said, 'You know what? I saw, this -- that date that this  
8 happened, I saw [defendant], and he was with somebody,' or 'he was  
9 intoxicated,' or 'he appeared' -- you know, the officers, I think that's what  
10 they would testify to, is that he appeared intoxicated to him.

11 "I don't think they should be allowed to bring in that Mr. Early was  
12 alleging this, that or the other thing.

13 "So stuff that is -- percipient witnesses that I then have to cross-  
14 examine about what you saw, but I think that's pretty limited. I don't think  
15 the State should be able to bring in that Mr. Early charged you with  
16 robbery, charged you with these other things.

17 "If it's just a simple percipient witness, I don't know that I've got the  
18 argument because the argument on these 404, on this *Johns* analysis, on  
19 these various things, says it's that allegation of misconduct that the State is  
20 offering to say this clears up an element in the case. And that's what I think  
21 is prohibited."

22 The suppression hearing concluded, with neither party making any further remarks  
23 regarding the state's "continuing course of conduct" theory.

24 The trial court issued its first order on the matter one month later. With  
25 regard to the prior bad acts issue, the trial court granted defendant's motion to exclude all  
26 five prior bad acts, holding that "the state cannot show sufficient factual and legal  
27 connection to convince this court that the prior instances of misconduct would be  
28 admissible to show intent or motive. This is classic propensity evidence." In identifying  
29 the prior bad acts that the state sought to admit, the trial court's order described the May

1 6-7, 1994 incident only as follows:

2 "On May 6, 1994, defendant is suspected of entering a man's car while  
3 stopped at a light, threatening the man and taking his wallet."

4 The order did not acknowledge or address the state's "continuing course of conduct"  
5 argument in any way.

6 In response to the trial court's order, the state filed a "Motion for Further  
7 Findings of Fact and Rulings of Law," noting that the trial court's order "focus[ed] upon  
8 the question of whether the uncharged conduct is relevant to show intent, or to show  
9 motive (which is how the parties framed the issue for the court's consideration)," and  
10 failed to address the "continuing course of conduct" argument that the state had  
11 mentioned at oral argument. The motion asked the trial court for further rulings  
12 regarding the relevance of "the particular facts of the defendant's contact with \* \* \* Early,  
13 [a nurse at Early's care home], and [the Vancouver police officer] under the more broad  
14 tenets of ORE 404(3) -- specifically, that the evidence proffered is relevant to show the  
15 defendant's *state of mind* on that evening, and to show that he was acting with a *plan*."  
16 (Emphasis added.)

17 The state had made neither of those arguments as part of its earlier motion  
18 to admit evidence of the earlier five incidents. Rather, as noted above, the state did not  
19 make a "continuing course of conduct" argument at all in its trial court brief, and in  
20 argument had mentioned it only briefly, and at that point had characterized the logical  
21 relevance of that evidence as pertaining to "the exact time of the death," "what the  
22 defendant did immediately after \* \* \* causing the death of [the victim]," and "his flight

1 from the State of Oregon." The state made no mention at the hearing of any theory  
2 relating to defendant's "state of mind" at the time of the victim's death or to any sort of  
3 "plan" that defendant might have formed to cause the victim's death. Neither did the state  
4 advance any analysis in its trial court brief, orally at the suppression hearing, or in its  
5 subsequent motion for further findings and rulings as to *how* the proposed conduct could  
6 be used to prove defendant's "state of mind" or "plan," or, even if it could, why proof that  
7 defendant had acted with a certain state of mind or had formed a particular plan would  
8 serve to make the existence of any fact at issue in the murder prosecution any more or  
9 less likely.

10           The trial court issued a second order to "clarif[y]" its first order, noting that  
11 "[a]lthough [it] was not requested in the [state's] original motion," "[t]he state now says it  
12 also intended to argue that in addition to intent and motive it was seeking admission to  
13 show defendant[']s state of mind and his plan." Despite its statement that the state had  
14 not made that argument in the prior proceedings, the trial court went on to consider the  
15 merits of the state's argument. However, the court declined to change its ruling, holding  
16 that the May 6-7, 1994 incident was not independently relevant under either of the two  
17 theories -- state of mind or plan -- that the state had argued in its motion for further  
18 findings and rulings:

19           "[T]he other conduct shows that defendant is out drinking and driving with  
20 a[n] older man and all other facts mention[ed] above. It does not indicate  
21 that defendant would use [a] knife to murder or kill or ransack a home. It  
22 does not show state of mind 30 hours earlier and it does not show a plan."

23           The state filed an Amended Motion for Further Findings of Fact and

1 Rulings of Law, which corrected an error in the state's previous motion with regard to the  
2 pertinent dates, to indicate that the incident in question had occurred on the same night as  
3 the murder as opposed to 30 hours after the murder.<sup>6</sup> In a third order, the trial court again  
4 declined to change its ruling:

5 "The court has reviewed its prior ruling to determine if that change  
6 would affect its ruling. It would not. The state[']s allegation [is] that  
7 defendant[']s conduct is admissible and relevant to show defendant[']s  
8 continuing course of conduct. Of course everything that defendant did is a  
9 continuing course of conduct. The question is: What if anything is relevant  
10 about defendant[']s conduct after the alleged murder that would show  
11 defendant[']s plan[?] That is, what about the arrest for kidnapping and  
12 DUI \* \* \* shows defendant was involved in a murder where the deceased  
13 was stabbed on the back of his arms and bled to death primarily because he  
14 had a blood alcohol level of .49 [percent] and would not have died  
15 otherwise[?]

16 "The state argues that the arrest for kidnapping and DUI are not  
17 'prior bad acts' or 'uncharged conduct' but are 'simply information relevant  
18 to the defendant[']s actions, CONDUCT, and demeanor during the time  
19 surrounding the homicide.'

20 "I disagree. This court stands by its previous ruling."

21 (Emphasis in original.)

22 B. *State's Arguments on Direct Review*

23 In this court, the state advances two theories regarding how the evidence of  
24 the May 6-7, 1994 incident would be relevant for a nonpropensity purpose. First, the

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<sup>6</sup> The state's Amended Motion was filed before the date of the trial court's second order, but apparently was not entered until after the trial court had issued that order. Thus, it would appear that the trial court did not see the state's Amended Motion until after it had issued its second order, and the state did not see the trial court's second order until after it had filed its Amended Motion.

1 state argues that "the incident involving Early tends to prove that defendant was in the  
2 area of 7th Street in Northeast Portland near the time of the homicide." In support of that  
3 "proximity" theory of relevance, the state explains that, "[i]f a factfinder believes that  
4 defendant was indeed in the vicinity at the time of the homicide, that fact has a tendency  
5 to support the state's theory that defendant committed the crime. It shows that he had an  
6 opportunity to commit the homicide, and it corroborates the DNA evidence that ties  
7 defendant to the scene." Second, the state argues that "[t]he facts of the Early incident  
8 also are necessary to show defendant's flight from the area." In support of that "flight"  
9 theory of relevance, the state explains that, "[f]light and evasive measures are relevant to  
10 a guilty conscience. A guilty conscience is circumstantial evidence of guilt."

11 Defendant contends that the state failed to preserve the arguments that it  
12 now makes before this court. The state responds that both arguments were adequately  
13 raised before the trial court, based largely on the state's single reference during argument  
14 at the hearing to "[defendant's] flight from the State of Oregon." The state argues that  
15 that single reference to "flight" was sufficient to preserve for this court's review not only  
16 the state's argument with regard to flight, but also the state's argument with respect to  
17 proximity, because, although the state did not expressly use the term "proximity" in  
18 describing its "continuing course of conduct" theory of relevance, the "common-sense  
19 meaning of the term 'flight' necessarily involves two aspects: first, that the defendant  
20 *began* in one location, and, second, that he *left* that location and went somewhere else."  
21 (Emphasis in original.)

22 As explained below, we conclude that it is unlikely that the trial court



1 understood the state's single, unadorned reference to "flight" in the context of its  
2 "continuing course of conduct" argument to encompass all of the layers of meaning and  
3 complex relevance arguments the state now presents to this court. Consequently, we hold  
4 that that reference was insufficient to preserve either of the theories of relevance that the  
5 state now presents to this court.

6 C. *Preservation*

7 ORAP 5.45(1) provides that "[n]o matter claimed as error will be  
8 considered on appeal unless the claim of error was preserved in the lower court and is  
9 assigned as error in the opening brief." In [Peeples v. Lampert](#), 345 Or 209, 219, 191 P3d  
10 637 (2008), we summarized the policies underlying that preservation requirements as  
11 follows:

12 "Preservation gives a trial court the chance to consider and rule on a  
13 contention, thereby possibly avoiding an error altogether or correcting one  
14 already made, which in turn may obviate the need for an appeal.  
15 Preservation also ensures fairness to an opposing party, by permitting the  
16 opposing party to respond to a contention and by otherwise not taking the  
17 opposing party by surprise. Finally, preservation fosters full development  
18 of the record, which aids the trial court in making a decision and the  
19 appellate court in reviewing it. Our jurisprudence, thus, has embraced the  
20 preservation requirement, '[not] to promote form over substance but to  
21 promote an efficient administration of justice and the saving of judicial  
22 time.'

23 "Preservation rules are pragmatic as well as prudential. What is  
24 required of a party to adequately present a contention to the trial court can  
25 vary depending on the nature of the claim or argument; the touchstone in  
26 that regard, ultimately, is procedural fairness to the parties and to the trial  
27 court."

28 (Citations omitted.) The purpose of the preservation doctrine is not "to promote form  
29 over substance" but to further those practical policy goals. *Id.* To that end, in analyzing

1 whether a party adequately has preserved an issue for our review, we examine the  
2 individual circumstances of the case at hand to determine whether "the policies  
3 underlying the rule have been sufficiently served." [State v. Parkins](#), 346 Or 333, 341,  
4 211 P3d 262 (2009).

5 Short-hand references, if they are adequate to serve those policies, may be  
6 sufficient to preserve an issue for appellate review. *See, e.g.,* [State v. Walker](#), 350 Or  
7 540, 550, 258 P3d 1228 (2011) (noting that "the realities of trial practice may be such  
8 that fairly abbreviated short-hand references suffice to put all on notice about the nature  
9 of a party's arguments"). However, to adequately preserve an issue for review, a short-  
10 hand reference, such as a single word or phrase, must be used in a way and context in  
11 which the other parties and the court would understand that the word or phrase refers to a  
12 particular legal or factual argument, and also would understand from that single reference  
13 the essential contours of the full argument. *See, e.g.,* [State v. Stevens](#), 328 Or 116, 122,  
14 970 P2d 215 (1998) (preservation inquiry is not "a cursory search for some common  
15 thread, however remote, between an issue on appeal and a position that was advanced at  
16 trial").

17 Under the circumstances of this case, the state's single reference to "flight"  
18 did not adequately put the trial court on notice that the state intended to rely on the  
19 theories of "flight" or "proximity" to establish admissibility and did not adequately  
20 communicate to the trial court the content and substance of those theories. Although the  
21 state quotes heavily from certain isolated excerpts of its argument to the trial court, the  
22 state's argument at the hearing consisted primarily of a discussion of the two points that it

1 had raised in its trial court brief -- intent and motive -- both of which were based on the  
2 alleged similarity of the "bad acts" to the charged crimes. In the few instances in which  
3 the state did mention any alternative theory of relevance, it referred to that theory as  
4 relating to defendant's "continuing course of conduct," without explaining to the trial  
5 court how the facts underlying that incident established any particular course of conduct  
6 or why that course of conduct was relevant to the issue of defendant's guilt.

7           The state's brief mention of "flight" during its argument to the trial court  
8 also was inadequate to fairly apprise defendant of the content and substance of the state's  
9 present arguments and to permit defendant meaningfully to respond to those arguments.  
10 The state's written brief made no mention of any "flight" or "proximity" argument.  
11 Although the state noted in passing before raising its new "continuing course of conduct"  
12 theory that the state had "mentioned this to [defense counsel] ahead of time," we do not  
13 know how far in advance the state apprised defendant of its alternative position, how  
14 exactly it defined that position to defendant, or whether defendant had any meaningful  
15 opportunity to prepare to address the issue before the hearing. We do know, however,  
16 that defendant did not submit any written argument to the trial court with regard to the  
17 state's "continuing course of conduct" argument and that defendant's only mention of that  
18 theory at the hearing was to concede that, while the state's alternative theory of relevance  
19 might have some merit with regard to whether certain isolated, neutral facts incident to  
20 the "bad act" could be established through the testimony of "percipient witnesses,"  
21 defendant understood the state's position at the hearing to be that the entire incident as a  
22 "prior bad act" was relevant and admissible.

1           It is apparent from the trial court's orders that the trial court shared that  
2 understanding of the issue. Indeed, the state never asked the trial court to partition out  
3 and separately determine the admissibility of any isolated facts incident to the bad acts,  
4 but rather defined the evidence that it sought to admit as a "robbery case from May 6,  
5 1994, [in which] the defendant was suspected of entering a man's car while it was  
6 stopped at a stop light, threatening the man with violence, and stealing his wallet.  
7 Additionally, defendant was suspected of forcing the victim to drive to his home \* \* \*  
8 [and] trying to take more money from the victim and then fleeing with the victim when  
9 seen by others." That description does not include any of the facts that the state now  
10 argues pertain to "flight" and "proximity." The issue that the parties were arguing in the  
11 trial court was limited to whether the entire episode -- including the unproved accusations  
12 of robbery, carjacking, and kidnapping -- could be admitted at trial, not whether evidence  
13 of certain discrete, neutral facts, like when and where the defendant was seen and what he  
14 was wearing (and not wearing) on the night of the murder, might be admissible  
15 independent of the allegations of criminal activity.

16           The arguments that the state made in its motion for further findings and  
17 rulings also support our conclusion that the state did not raise in the trial court the  
18 theories of admissibility that it now presents in this court. As noted, in response to the  
19 trial court's first order, which ruled only on the intent and motive theories of  
20 admissibility, the state moved for further findings and rulings with regard to its  
21 alternative theories of relevance, which it identified only as "state of mind" and "plan."  
22 Although a party need not necessarily reiterate an argument at every point in the

1 proceeding to adequately preserve it, *see, e.g., Walker*, 350 Or at 550, when a party files a  
2 motion for the specific purpose of calling the trial court's attention to arguments that it  
3 alleges the court to have overlooked and does not mention a particular argument, that  
4 provides a strong indication that the party at that time did not consider itself to be making  
5 that argument.

6           Finally, we are cognizant that, in some cases, a strict application of the  
7 preservation rule "also can come at a cost. It may prevent a reviewing court from  
8 correcting prejudicial error \* \* \* [and] also may inhibit needed development or  
9 clarification of the law." *Parkins*, 346 Or at 340. However, we note that, in this case,  
10 nothing in the trial court's order, which precluded the admission of the May 6-7, 1994  
11 robbery, kidnapping, and carjacking allegations as "prior bad acts," necessarily bars the  
12 state from offering evidence at trial of any individual facts connected with that incident  
13 that might be relevant, independent of the inadmissible allegations of bad acts, to  
14 establish defendant's proximity to the murder scene and flight therefrom. In declining to  
15 review the state's contentions in that regard, we express no opinion on the merits of those  
16 arguments but note only that they were not presented to the trial court and the trial court  
17 did not rule on them.

### 18           III. ADMISSIBILITY OF DEFENDANT'S POLICE INTERVIEW

19           The state next seeks this court's review of the trial court's order granting in  
20 part and denying in part defendant's motion to exclude his interview with the police. The  
21 trial court's order provides:

22           "Most of this interview was conducted after defendant was advised

1 of Miranda rights and knowingly waived his Miranda rights. The court  
2 finds that there are 3 sections of the interview that are relevant and  
3 admissible. The parties will have to submit redacted video or transcripts to  
4 comply with this order, or agree the interview will be admitted after  
5 Miranda with minor deletion (i.e., prison).

6 "1. Defendant's admission that he is familiar with the area of 7th and  
7 Skidmore because his sister lived in the area at the time of the  
8 incident.

9 "2. Defendant's admission that he may have dated the deceased.

10 "3. Defendant's admission that he had a memory of other events on  
11 or about the day of the incident, but generally no memory of that  
12 time period."

13 As we understand the state's argument before this court, the state contends  
14 primarily that the trial court erred in excluding evidence of defendant's denials of  
15 memory because those statements, if discredited by the factfinder, would be relevant to  
16 demonstrate defendant's guilty conscience:

17 "The circuit court \* \* \* erroneously concluded that the factfinder  
18 should be allowed to consider only the discrete statements in which  
19 defendant admits knowledge or memory of particular facts, and that the rest  
20 of the interview must be redacted from the evidence that can be admitted at  
21 trial. The court's ruling -- which presumes that defendant's self-serving  
22 denials must be taken at face value -- denies the factfinder the ability to  
23 consider the broader context of those statements, and prevents the factfinder  
24 from determining the credibility of the denials themselves."

25 Without commenting on the validity of that theory of relevance, we note that the state's  
26 argument appears to be based on a misinterpretation of the trial court's order. We do not  
27 understand that order to mandate that, except for the three sections described, the  
28 remainder of the interview must be excluded from evidence. Rather, we note that the  
29 trial court's order is set out in wholly affirmative language and provides -- in rejecting  
30 defendant's argument that the *entire* interview is *irrelevant* -- that at least three sections

1 of the interview "*are relevant.*" (Emphasis added.) That statement does not, in itself,  
2 mandate the conclusion that the remainder of the interview is irrelevant. Instead, in  
3 ordering that "[t]he parties will have to submit redacted video or transcripts to comply  
4 with this order, *or agree the interview will be admitted after Miranda with minor deletion*  
5 (i.e., prison)," (emphasis added), the trial court appears to have reserved judgment on the  
6 admissibility of the remainder of the interview, pending the parties' submission of  
7 proposed redactions. The state's argument to this court is premature, because the trial  
8 court has not yet definitively ordered that *any* part of the interview be excluded. Indeed,  
9 the trial court's conception of the likely redactions appears to anticipate certain additional  
10 objections, unrelated to relevance, that defendant has not yet made -- specifically,  
11 constitutional objections to defendant's pre-*Miranda* statements, and OEC 403 objections  
12 to references that defendant had spent time in prison.

13           Furthermore, to the extent that the state's argument focuses on the relevance  
14 of defendant's statements that denied any memory of the time period at issue, we do not  
15 understand the trial court's order to conclude that that evidence is irrelevant as an  
16 evidentiary matter. The trial court described the third "section" of the interview that it  
17 concluded to be relevant as "[d]efendant's admission that he had a memory of other  
18 events on or about the day of the incident, *but generally no memory of that time period.*"  
19 (Emphasis added.) That part of the order appears to conclude that defendant's statements  
20 regarding both his memory *and lack of memory* of that time period are relevant and

1 admissible.<sup>7</sup> Thus, the trial court's order does not appear to exclude any evidence that the  
2 state claims should be admitted; rather, it appears that the trial court either has not yet or  
3 is not going to exclude that evidence. We decline to rule on a matter that the trial court  
4 has not yet decided.

#### 5 CONCLUSION

6 In summary, as to the "prior bad acts" evidence, we conclude that the state  
7 did not adequately preserve for review the issue whether evidence of the May 6-7, 1994,  
8 incident was admissible under OEC 404(3) to prove proximity or flight. As to the  
9 challenged evidence derived from defendant's interview with the police, we do not read  
10 the trial court's order to require, as the state contends, the exclusion of the evidence that  
11 the state seeks to admit. We therefore decline to rule on the state's substantive challenge  
12 to that order.

13 The orders of the circuit court are affirmed, and the case is remanded to the  
14 circuit court for further proceedings.

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<sup>7</sup> We express no opinion on the merits of that determination, as defendant does not challenge it.